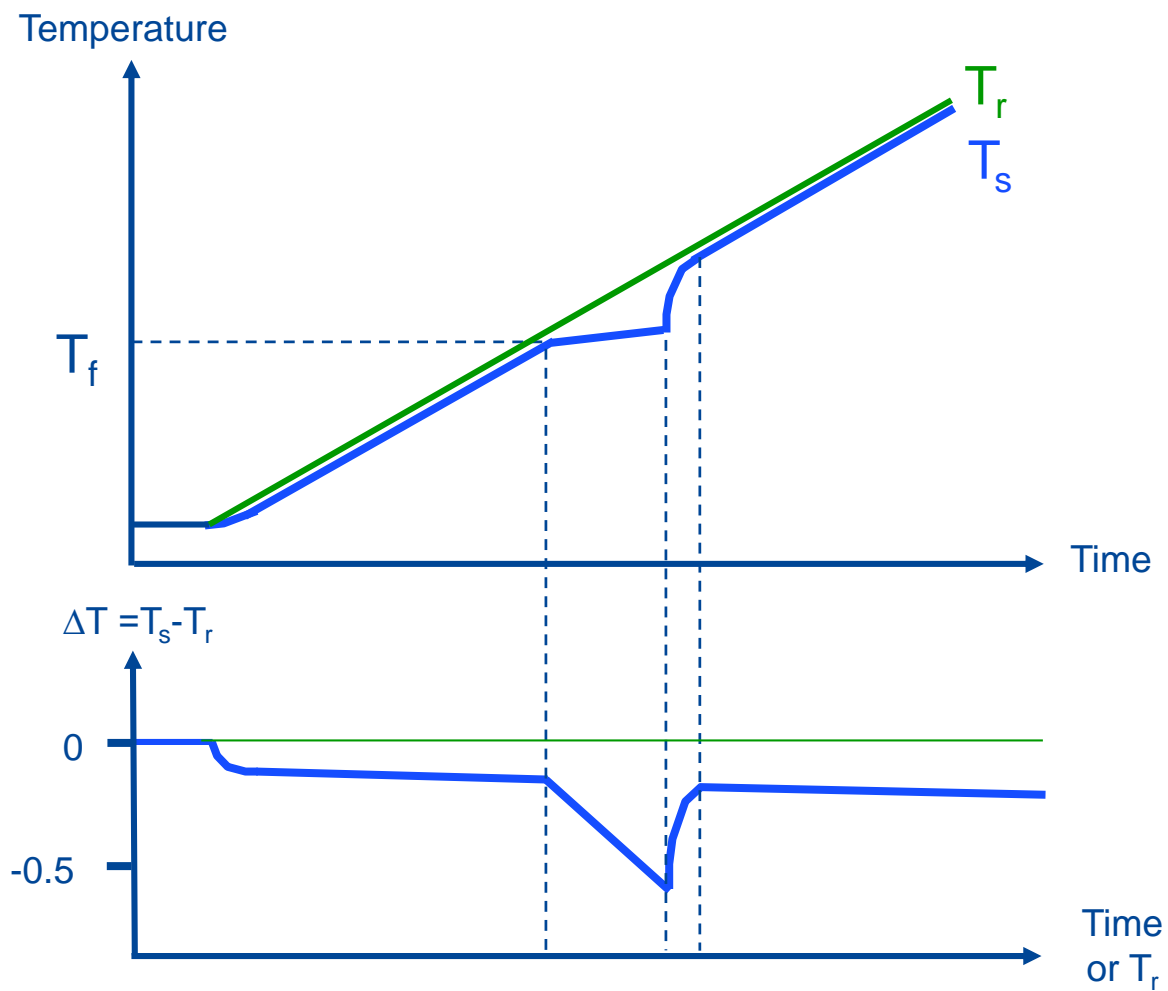


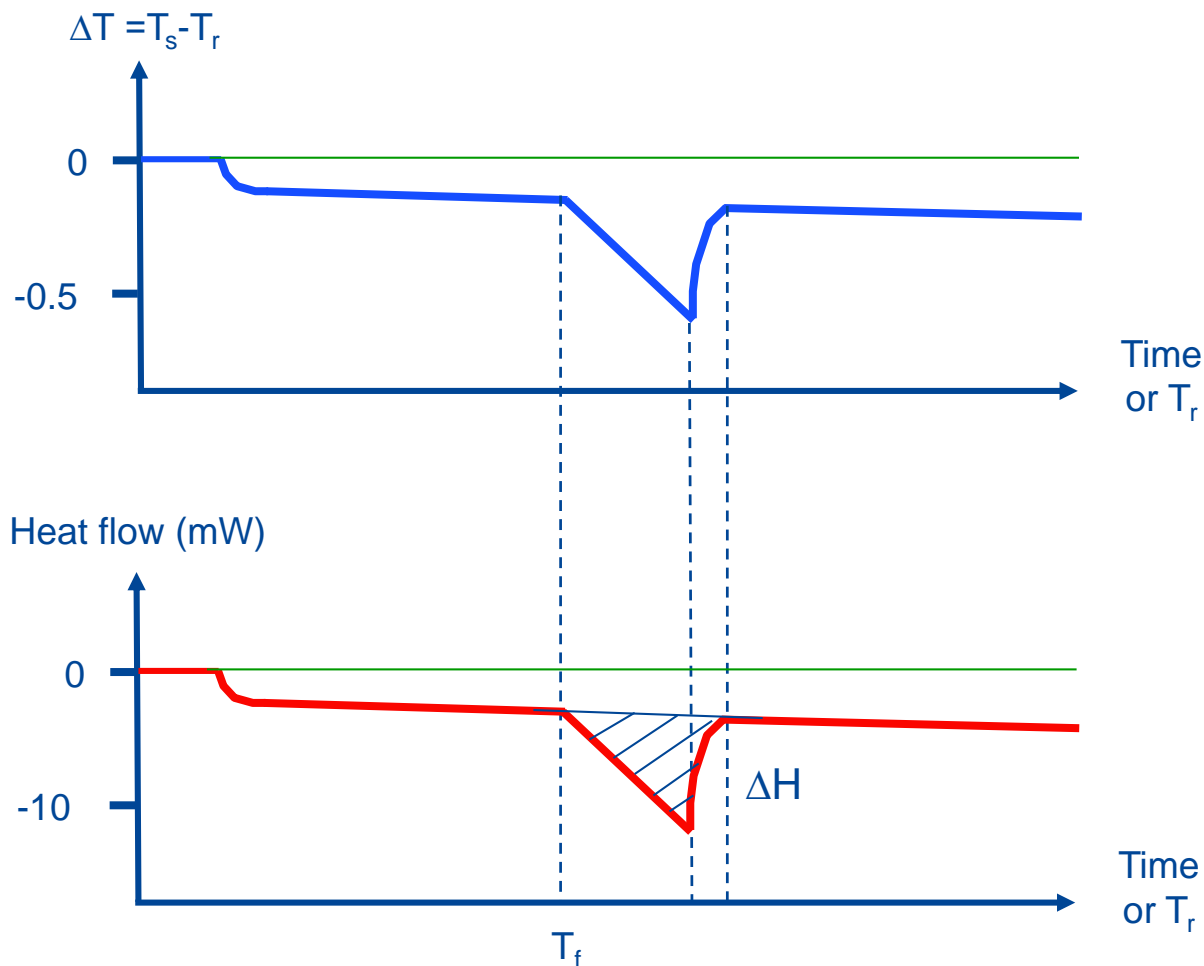
Introducción a la técnica DSC

METTLER TOLEDO

DTA signal



From DTA to DSC signal by calibration



DTA signal,

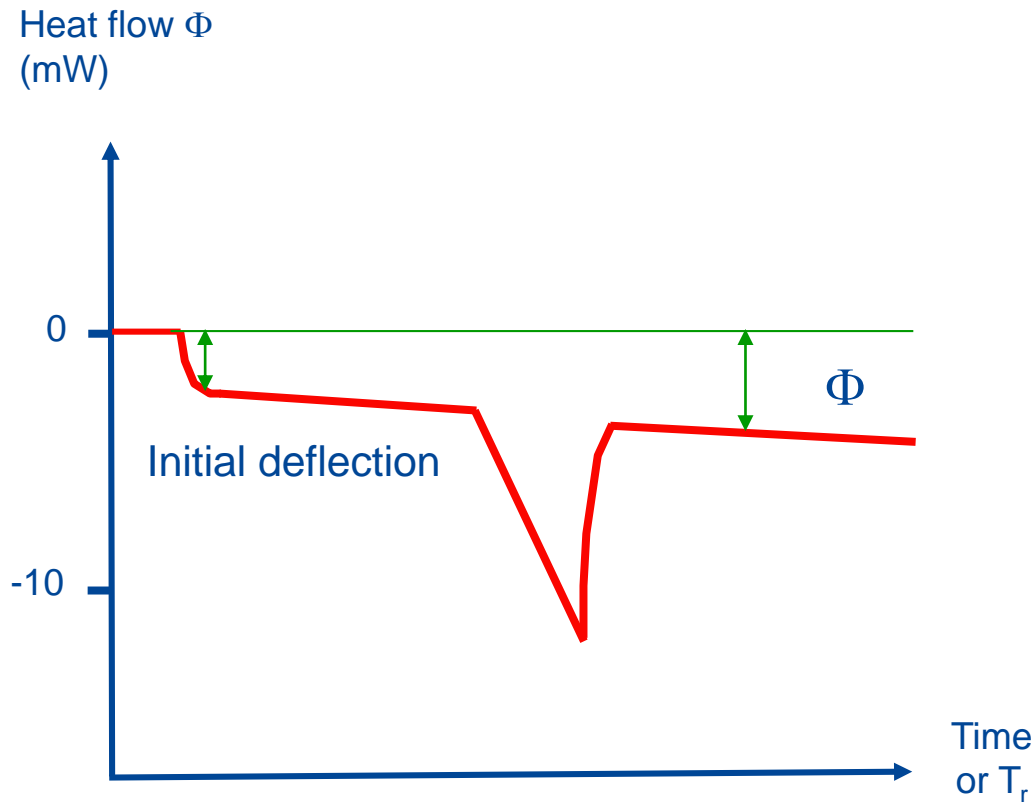
$$\Phi = \Delta T / R_{th}$$

R_{th} , thermal
resistance of the
system

DSC signal, Φ

Peak integral $\rightarrow \Delta H$

Heat Capacity is not constant with the temperature



$$\Phi = m \cdot c_p \cdot \beta$$

Where,

m is the sample mass

c_p is the specific heat capacity
of the sample

β is the heating rate

Latent and Sensible Heat

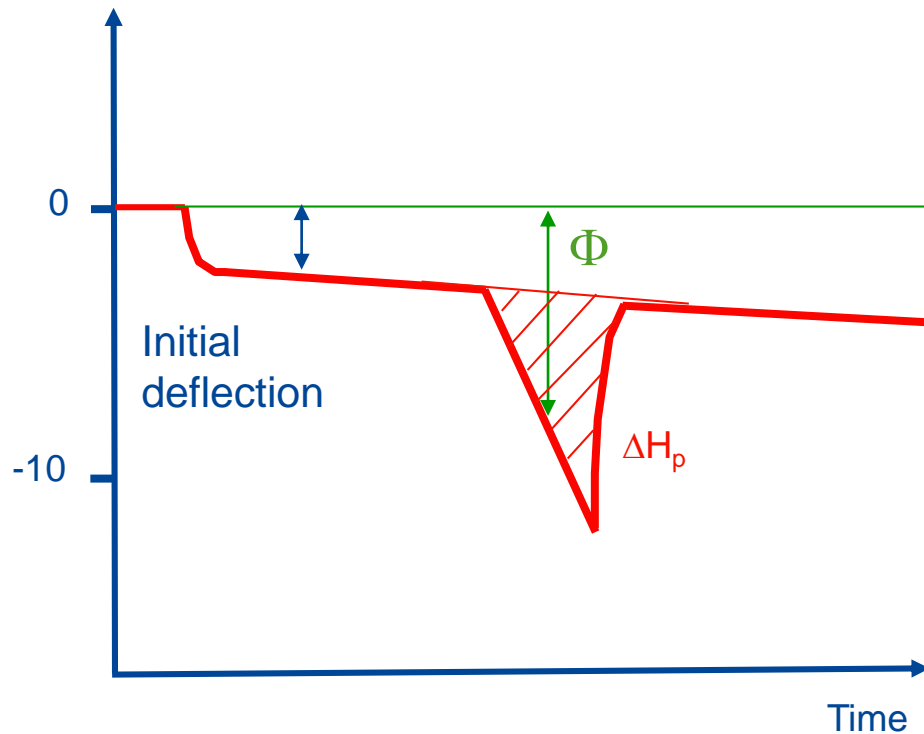
$$\Phi = mc_p\beta + \Delta H_p \frac{d\alpha}{dt}$$

Total heat flow,
measured

sensible heat flow,
due to increase of
temperature;
no structural change

latent heat flow
due to structural
changes

Heat flow Φ
(mW)



Where:

m is the sample mass

c_p is the specific heat capacity
of the sample

β is the heating rate

ΔH_p is the enthalpy of a process, e.g.
melting, reaction, evaporation

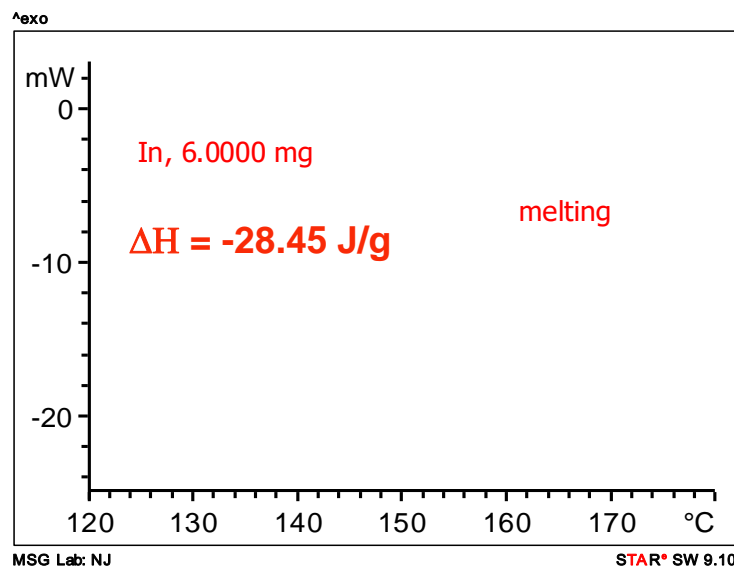
$\frac{d\alpha}{dt}$ is change of conversion per unit
time

Direction of DSC signal

ICTAC (International **C**onfederation for **T**hermal **A**nalysis and **C**alorimetry)

ICTA

endothermic downward,
exothermic upward.

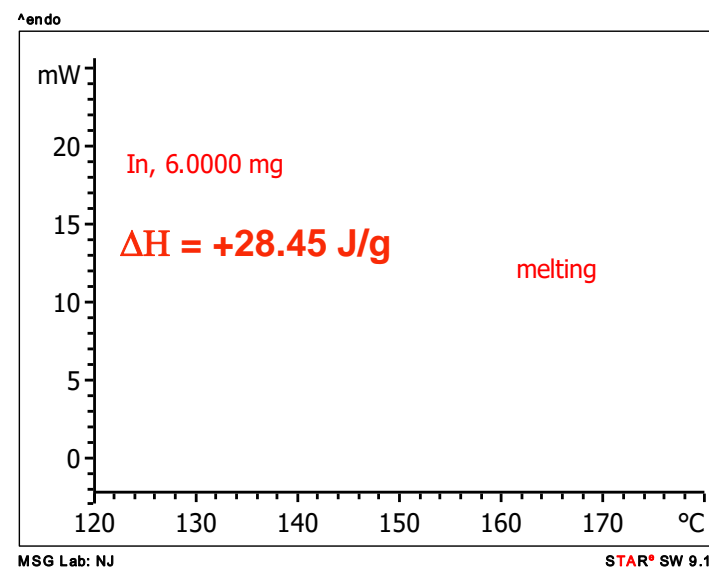


MSG Lab: NJ

STAR® SW 9.10

Anti-ICTA

endothermic upward,
exothermic downward.



MSG Lab: NJ

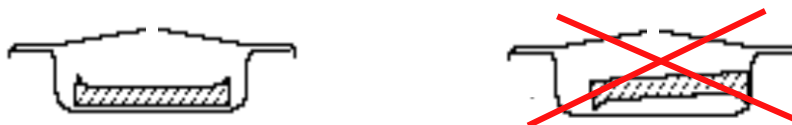
STAR® SW 9.10

Preparación de la muestra

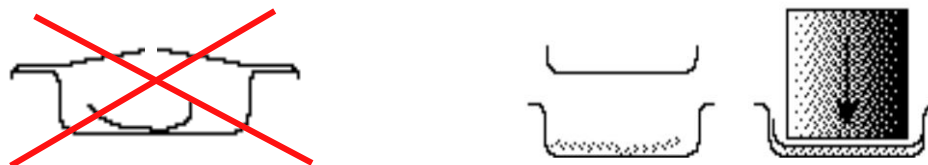
- Ideal sample geometries: fine powder, liquid and flat disks



- Prepare the sample as flat as possible and load it with flat side facing downwards to get good contact with pan bottom.



- For soft samples with irregular geometry or samples that roll up upon heating e.g. polymer films, use a lid of light Al 20 ul crucible to fix the sample.



Preparación de la muestra

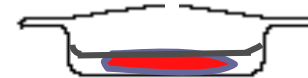
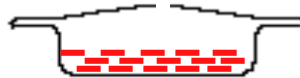
- Hard and coarse samples: grind into fine powder in a mortar if grinding doesn't induce any change (e.g. polymorph) of the sample.



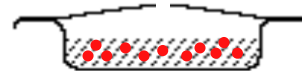
- Fibers:

- cut into small pieces

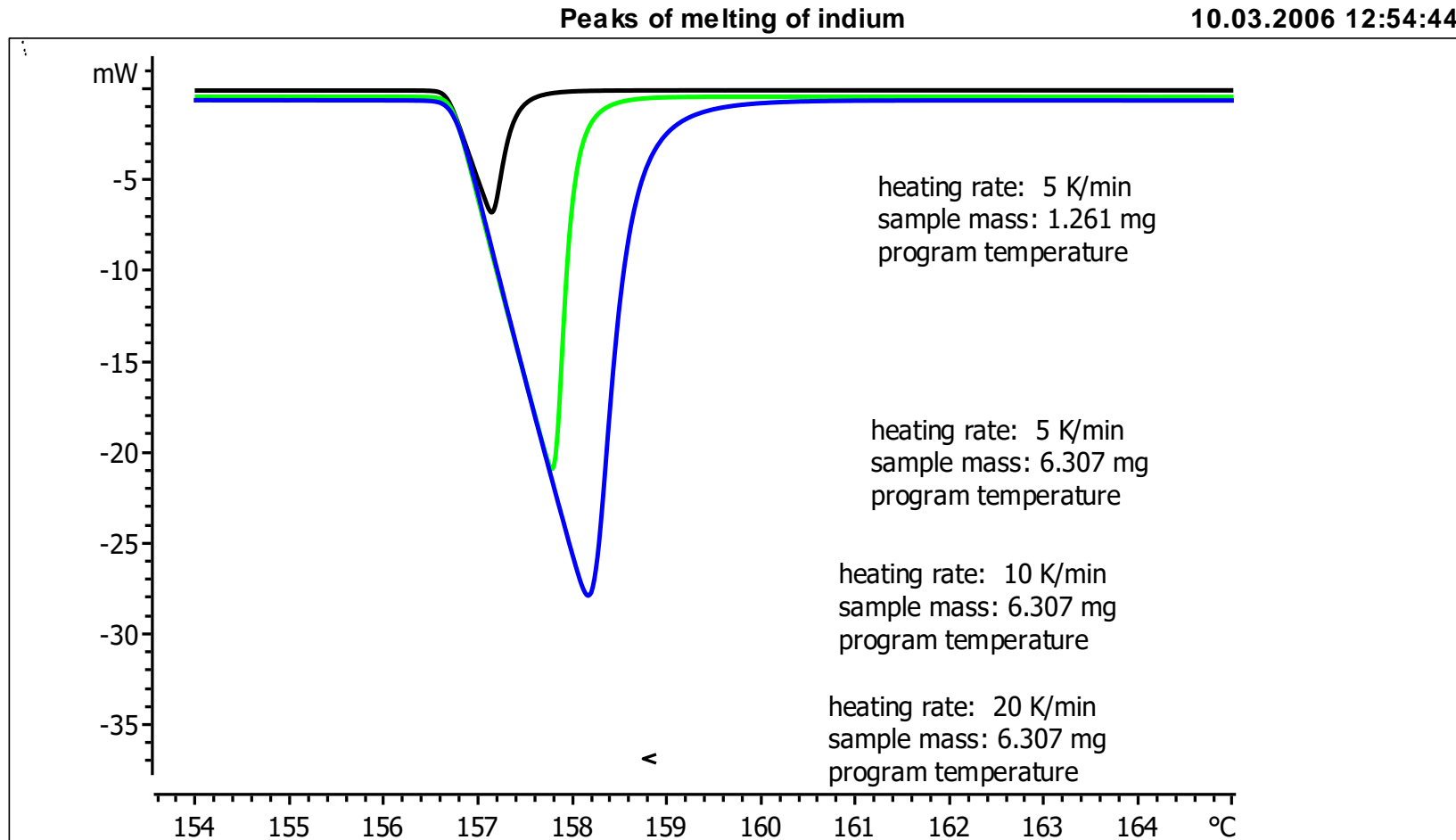
- or wind the fiber, wrap it into aluminum foil and use a lid of light Al 20 ul crucible to fix the sample.



- Strongly exothermic samples e.g. explosives: dilute the sample in an inert substance e.g. Al_2O_3 .



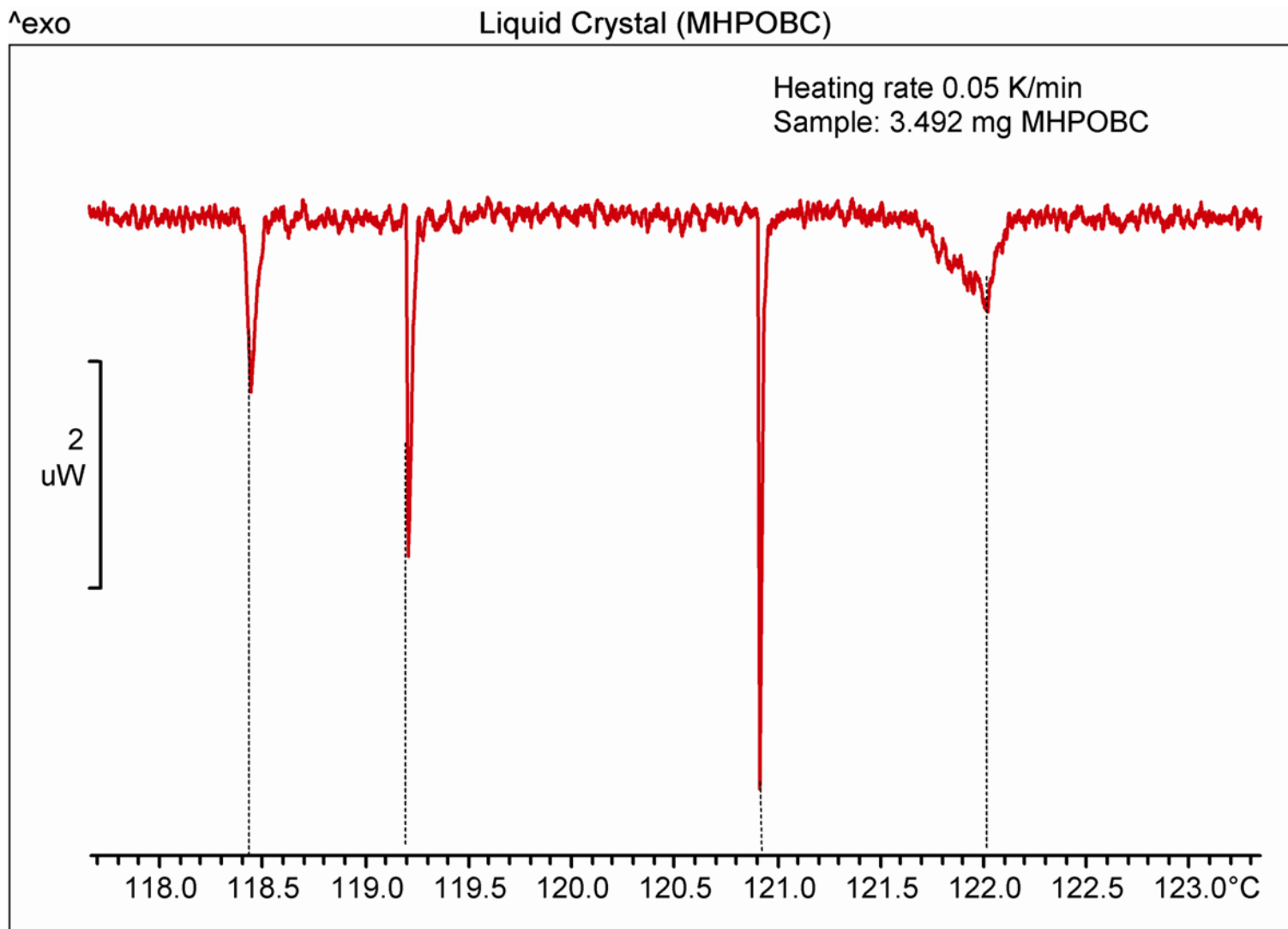
- Liquids: transfer the sample with the aid of a syringe, spatula or needle



DEMO Version

STAR[®] SW 9.01

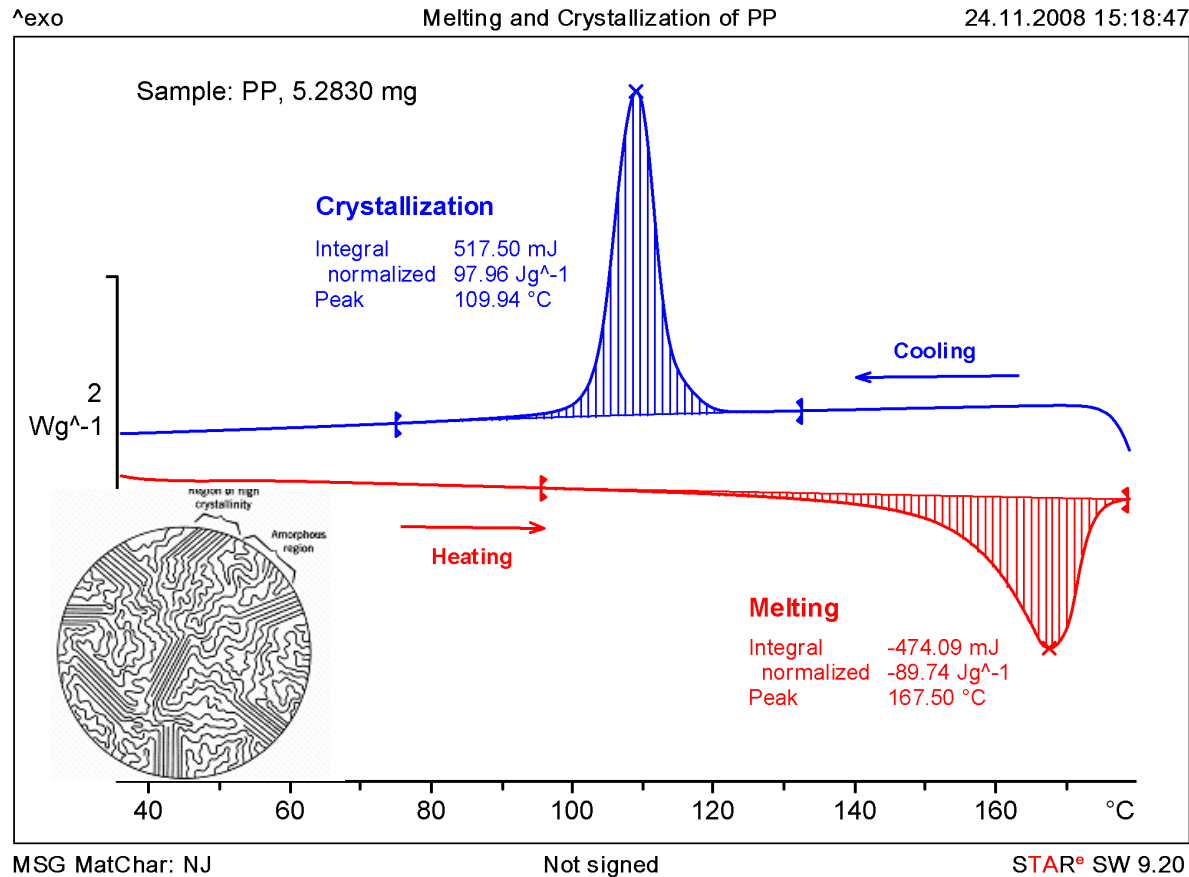
Melting onset is independent of m and β ; Peak temperature is dependent.



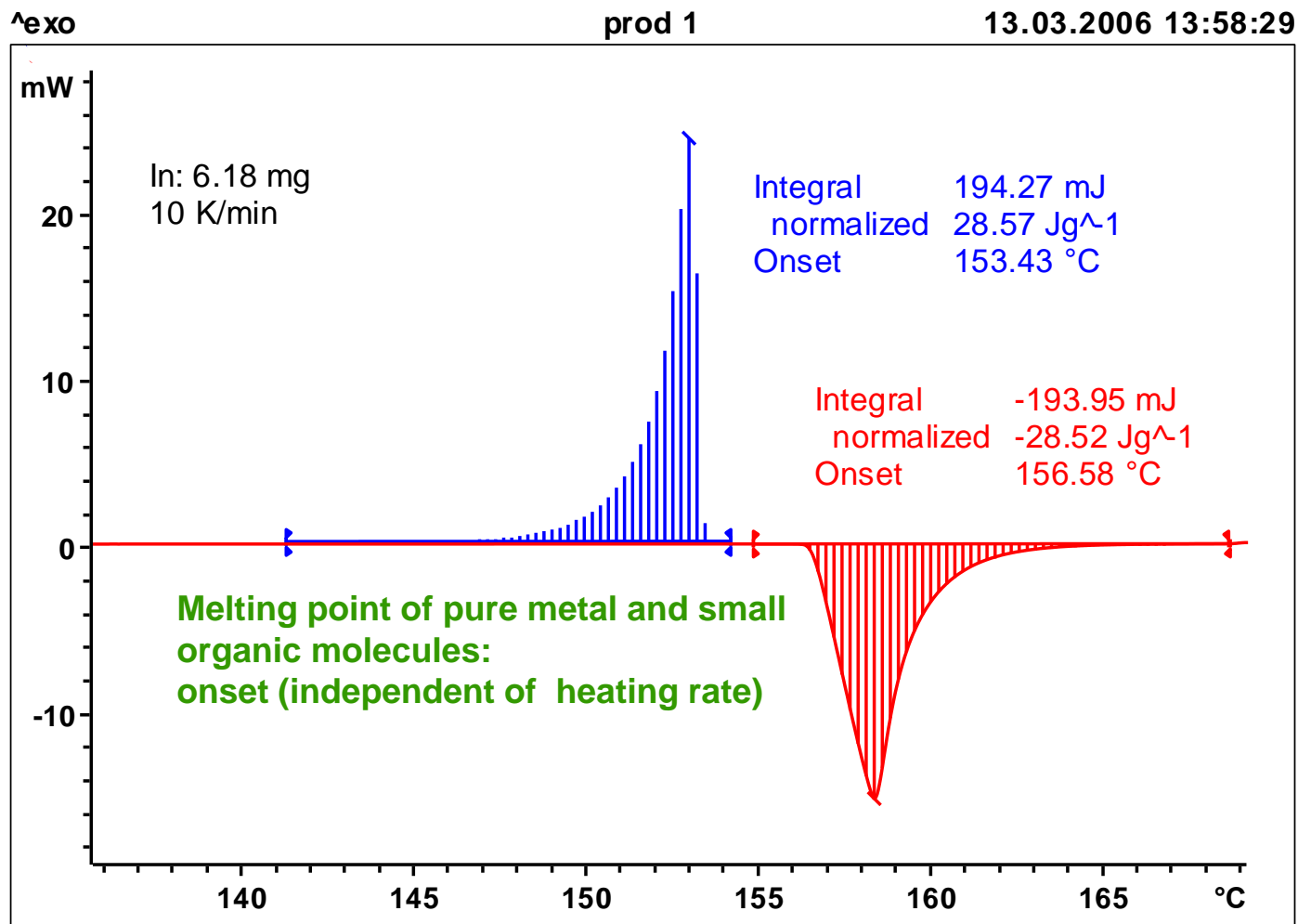
Melting



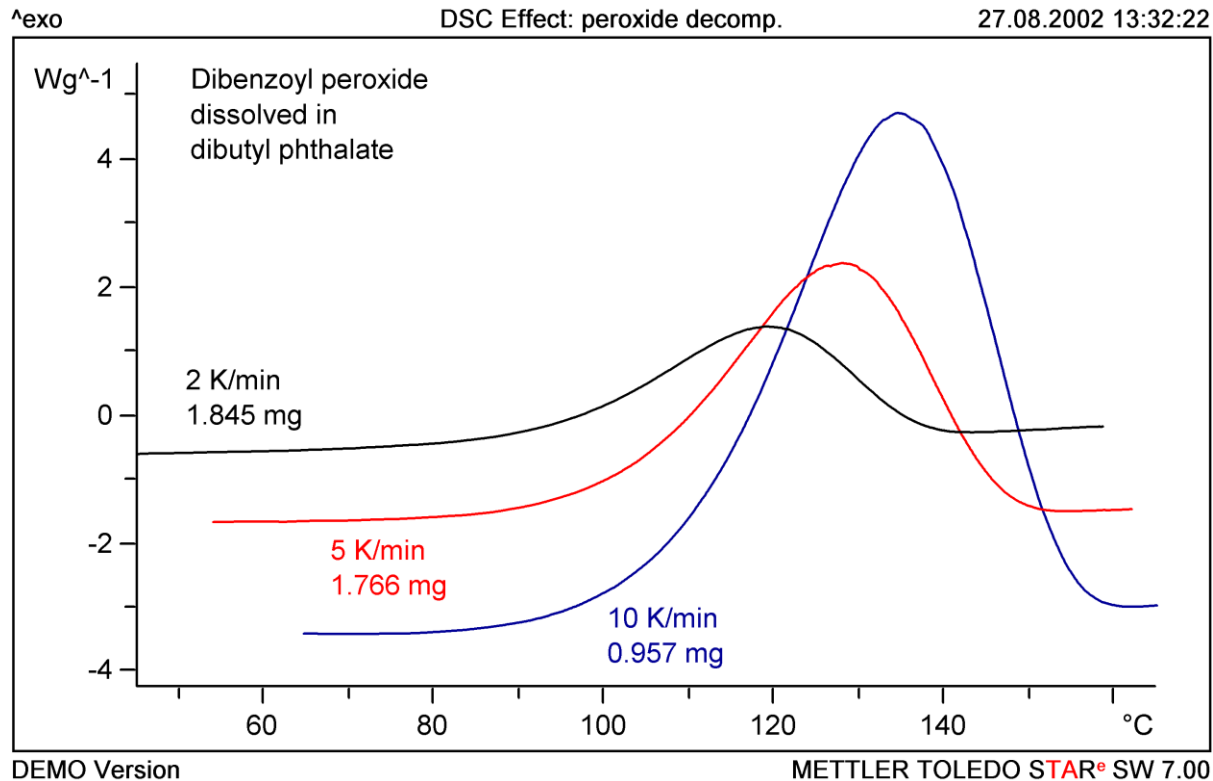
Crystallization



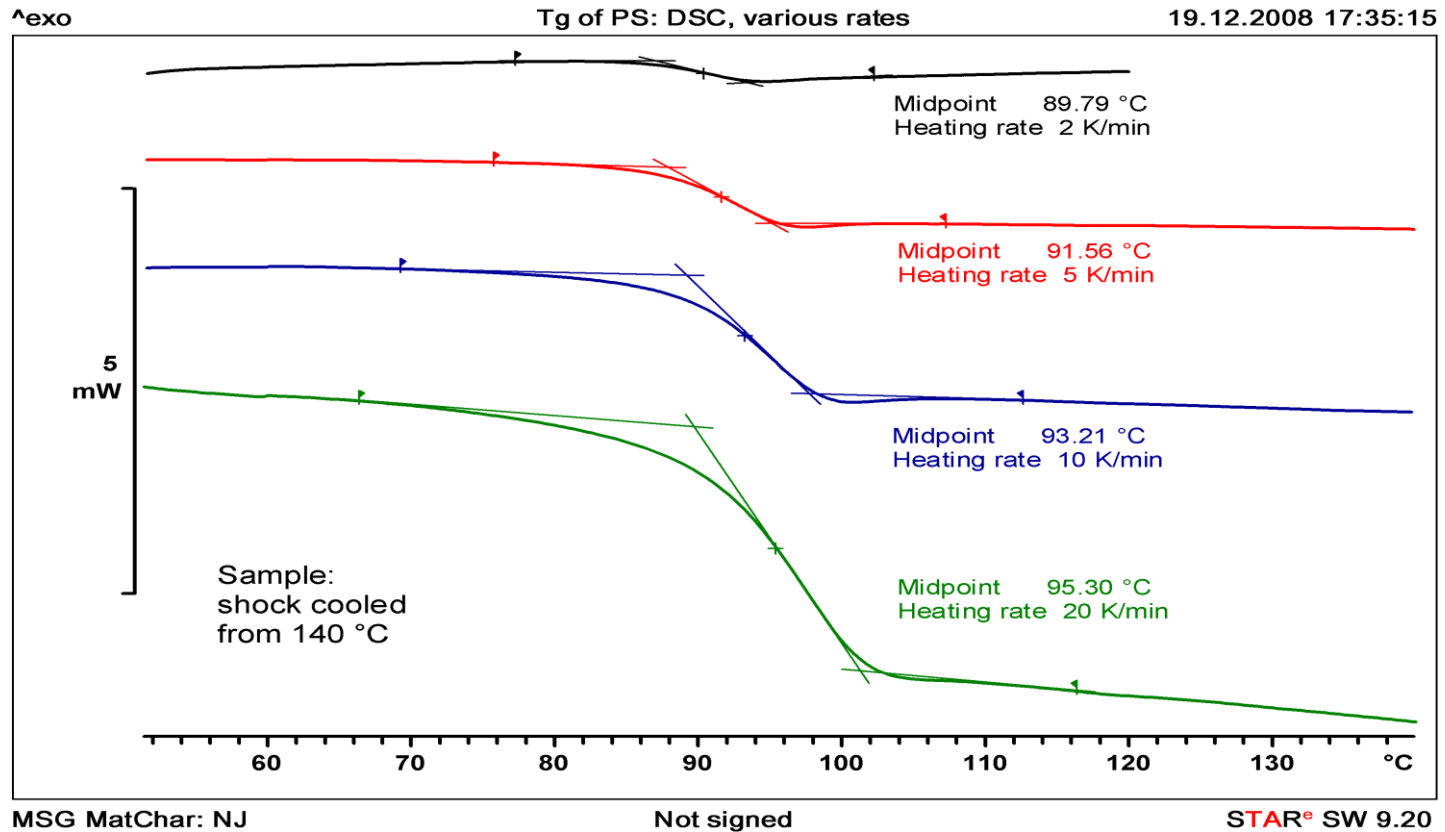
- **Polymers do not have a melting point but a melting range!**
- Peak temperature (depends on β and m) is used to characterize the melting peak.



Reacciones químicas

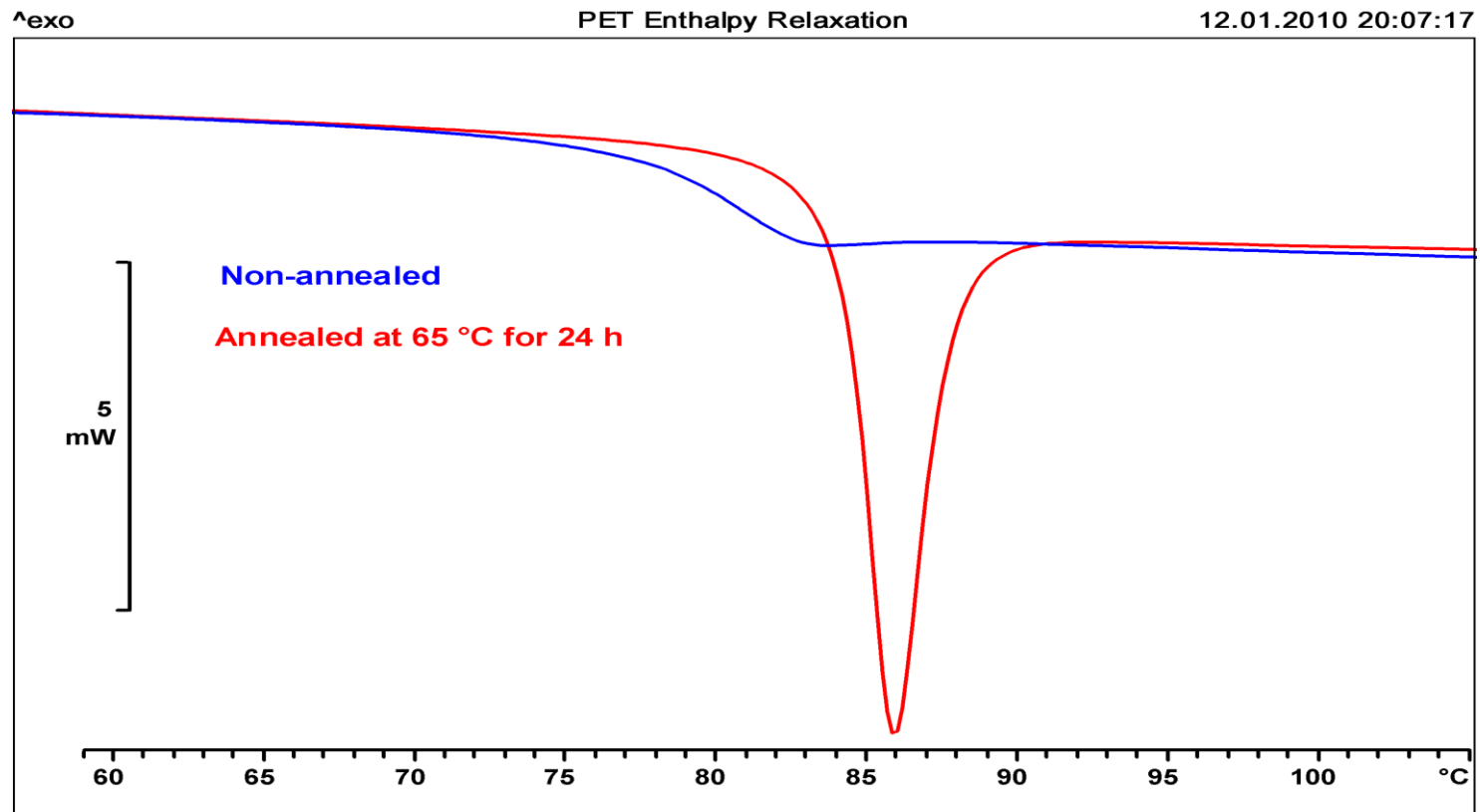


- Energy is absorbed or released during a reaction (enthalpy of reaction)
- Peak temperature and shape depend on heating rate
- Peak area corresponds to enthalpy of reaction and is independent of heating rate

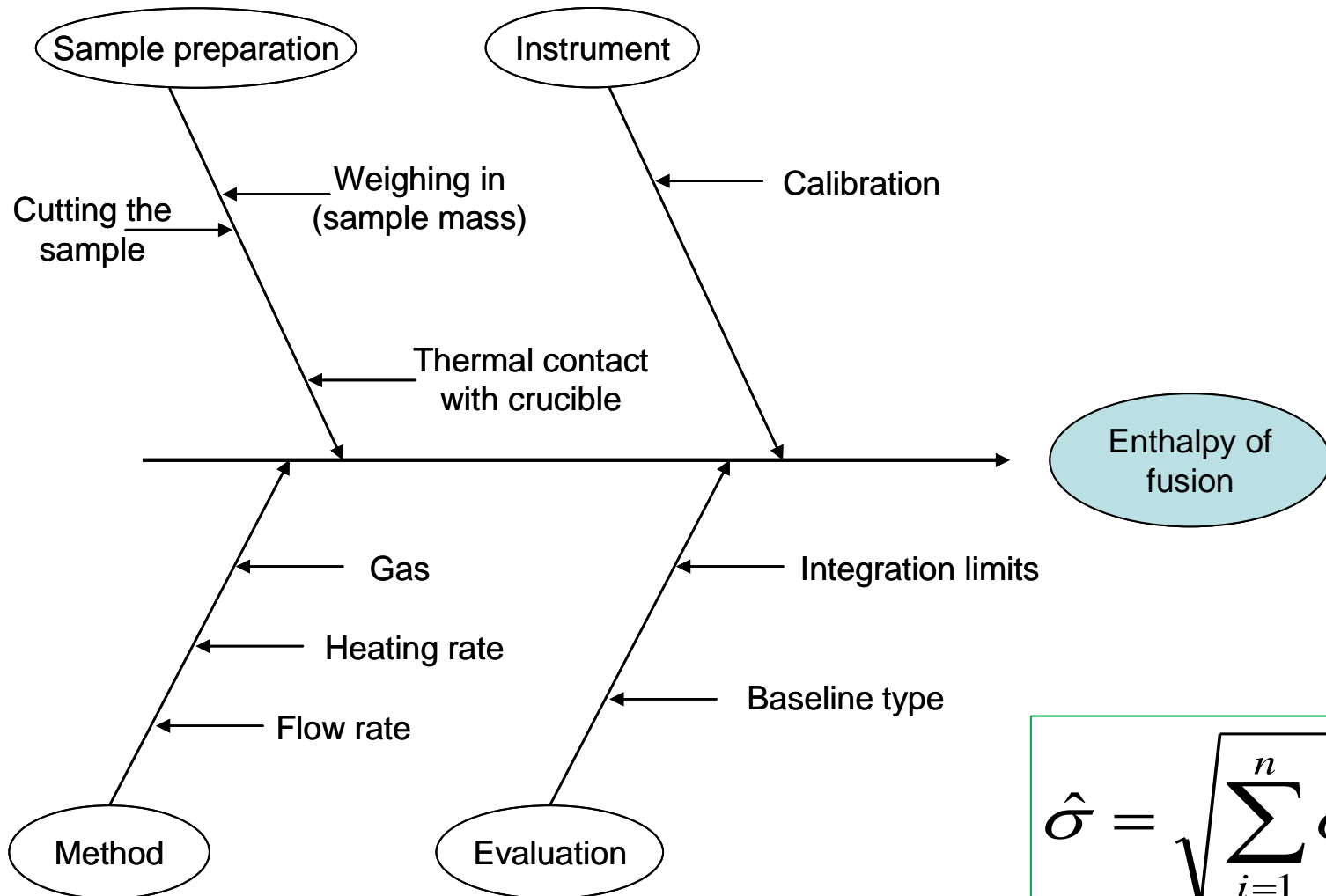


Glass transition becomes more significant with higher heating rate.

Physical aging and enthalpy relaxation



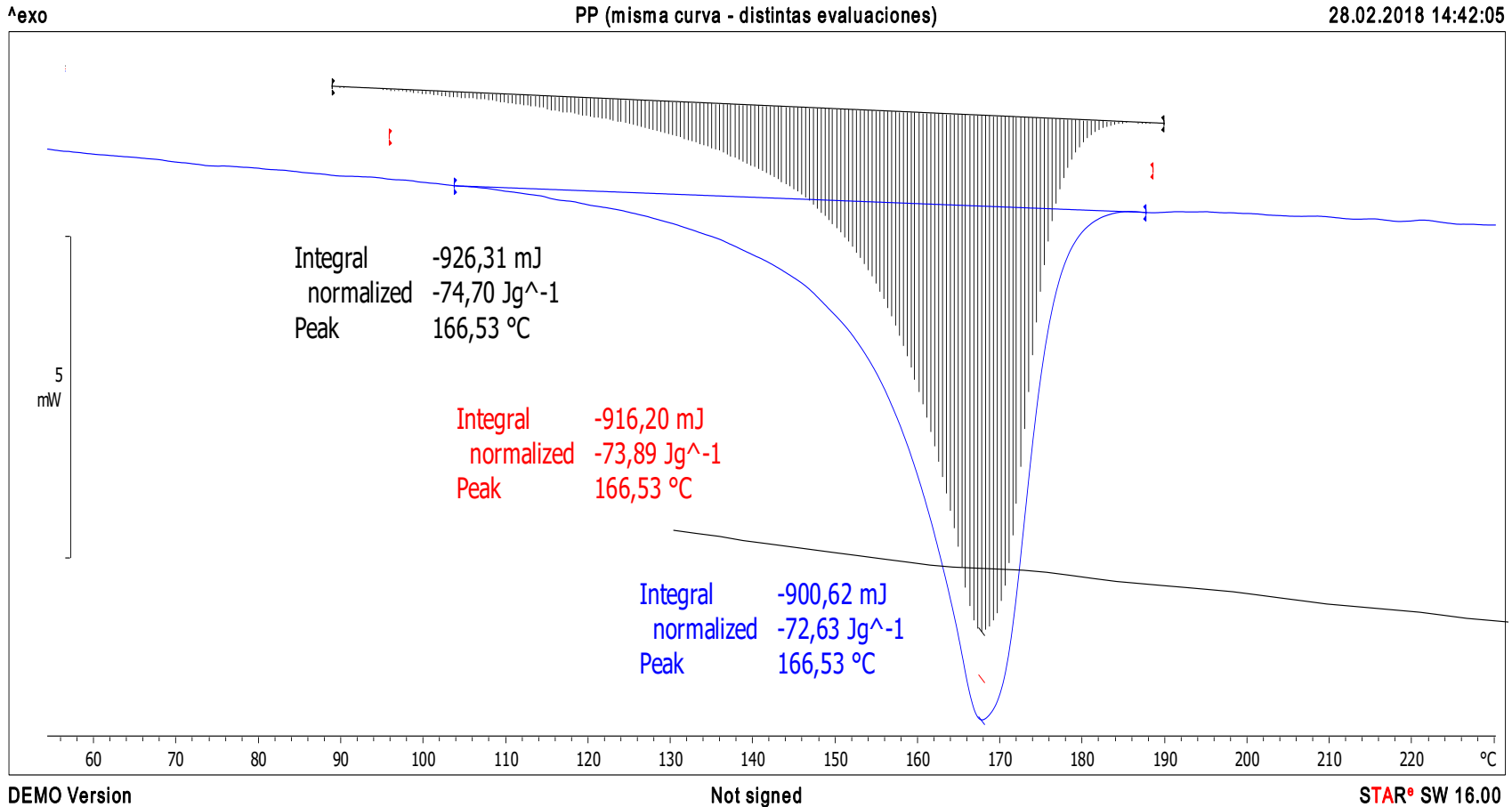
- In simple terms, the uncertainty of measurement is the range of values within which the true value of the measurement is expected to lie with a stated level of confidence.
- Uncertainty comprises much more than just specifying a standard deviation of an analytical result: sampling process, sample preparation, calibration etc. all contribute to the uncertainty of a measurement result.
- Uncertainty is not an error: uncertainty specifies a range in which the “true” value lies with a certain probability.



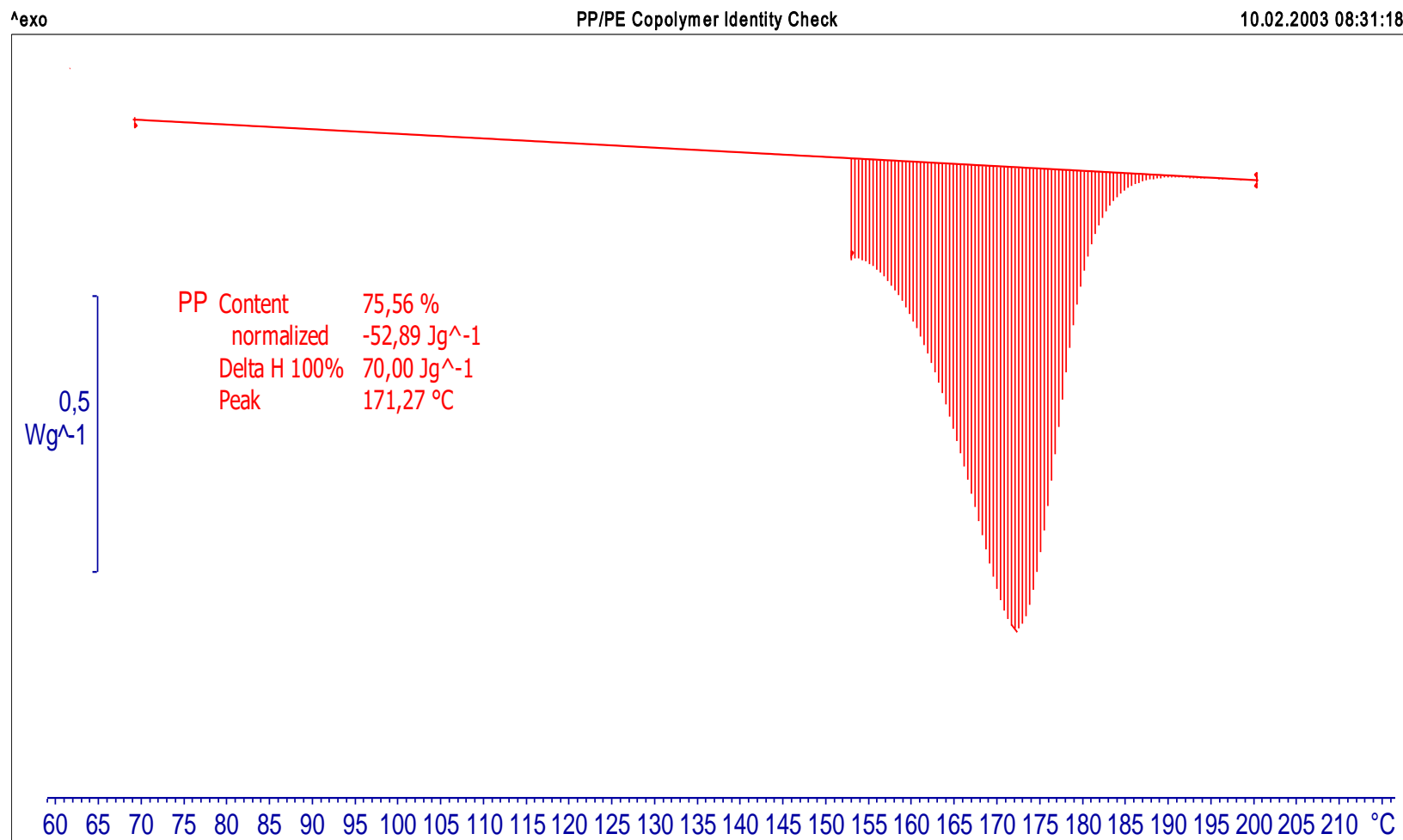
$$\hat{\sigma} = \sqrt{\sum_{i=1}^n \hat{\sigma}_i^2}$$

| Source | Uncertainty of measurement |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Mass of the test specimen | $\pm 20 \mu\text{g}$ (e.g. reproducibility of the balance); if the mass is about 10 mg, this corresponds to $\pm 0.2\%$ |
| Putting the sample into the crucible | negligible |
| Thermal contact with the crucible | $\pm 0.5\%$ (estimate) |
| Heating rate | negligible |
| Gas and gas flow | negligible if the instrument has been adjusted under the same conditions as the measurement |
| Adjustment | $\pm 1.5\%$ (uncertainty of the calibration material) |
| Integration limits and baseline type | $\pm 3\%$ (statistics of repeated evaluations) |

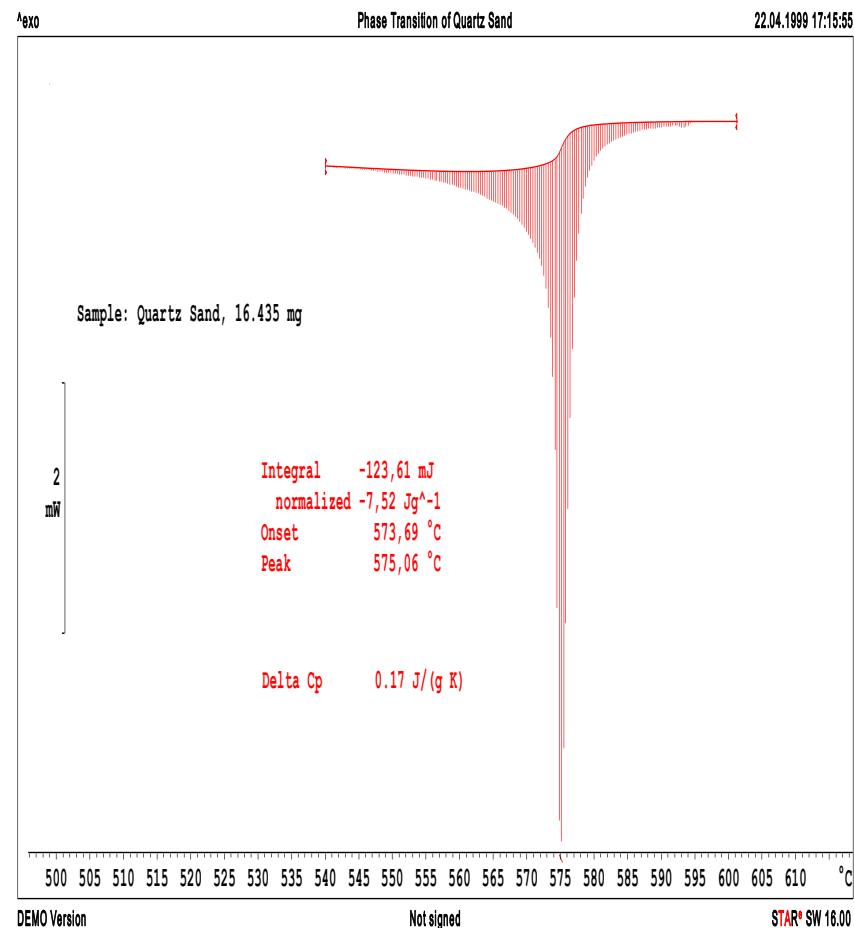
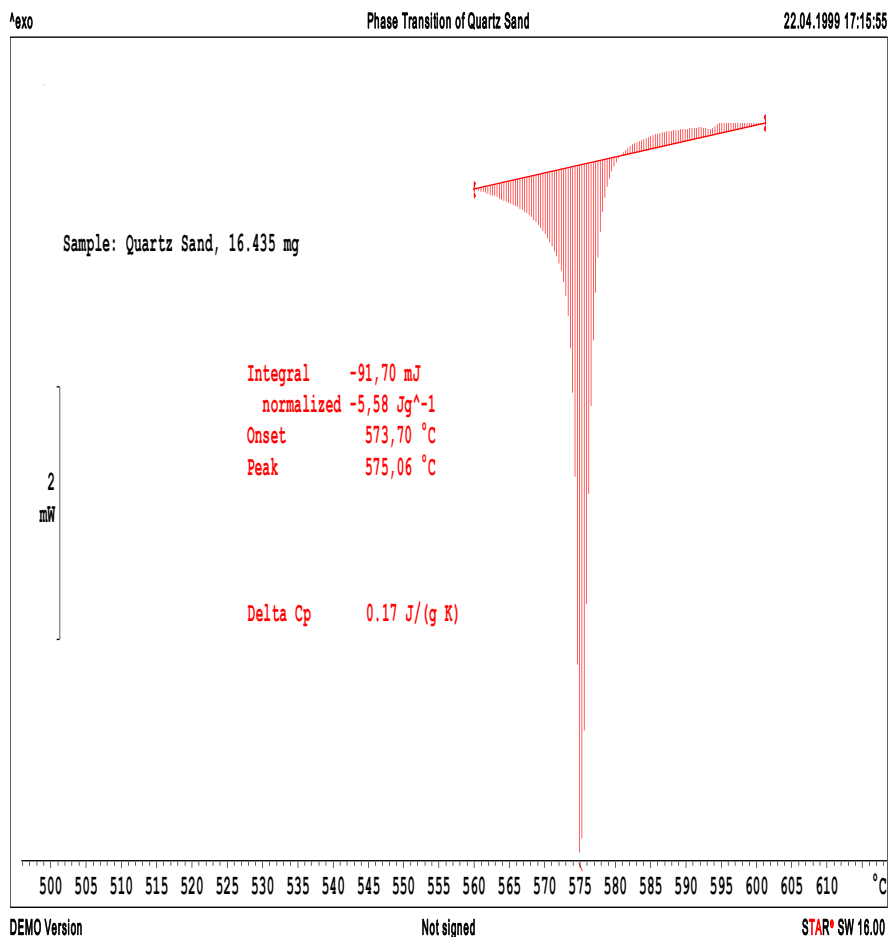
$$\text{Combined uncertainty} = \sqrt{0.2\%^2 + 0.5\%^2 + 1.5\%^2 + 3\%^2} = 3.4\%$$

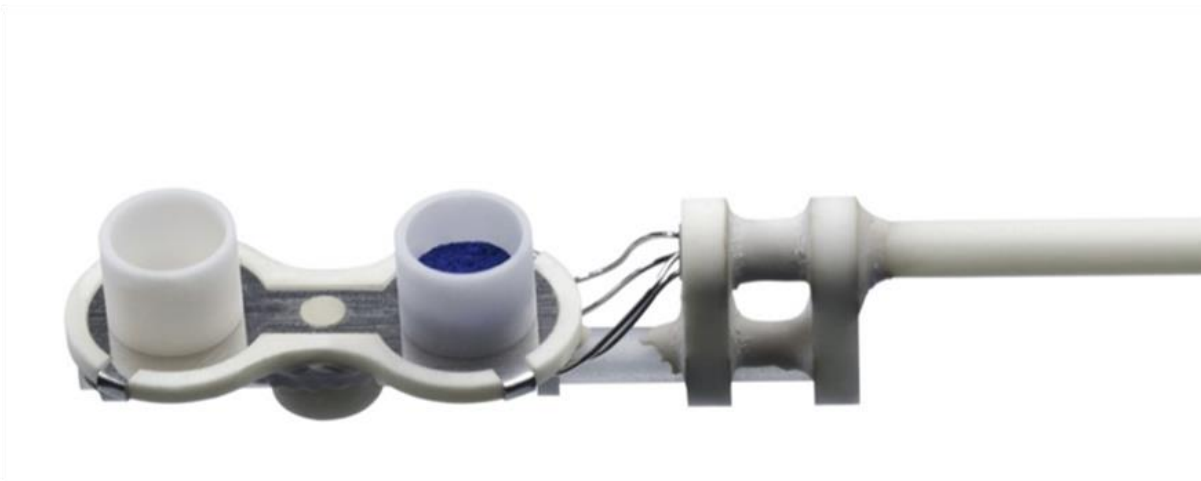


$$\text{Combined uncertainty} = \sqrt{0.2\%^2 + 0.5\%^2 + 1.5\%^2 + 3\%^2} = 3.4\%$$



Linea base

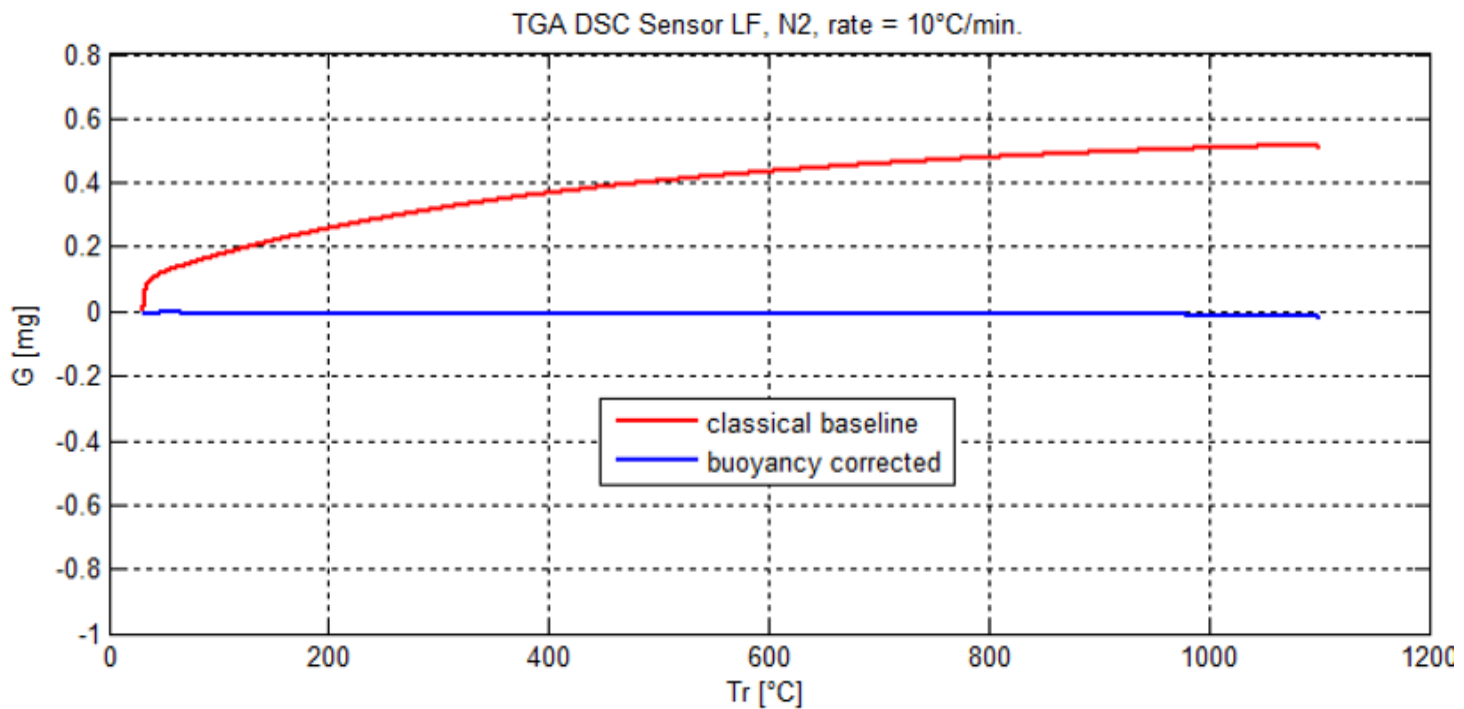


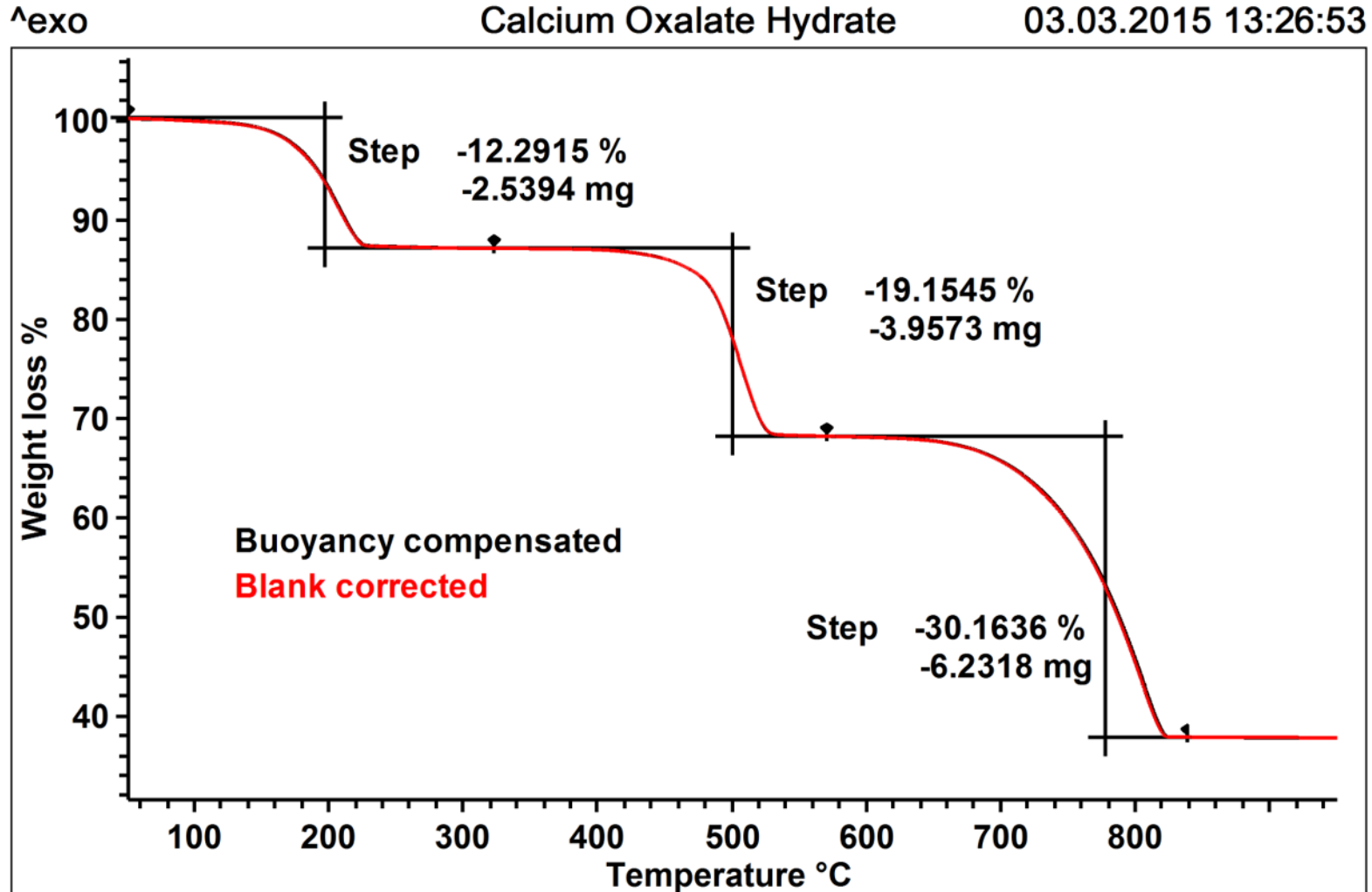


Introducción a la técnica TGA

METTLER TOLEDO

- Blank comparison
- Empty sample holder

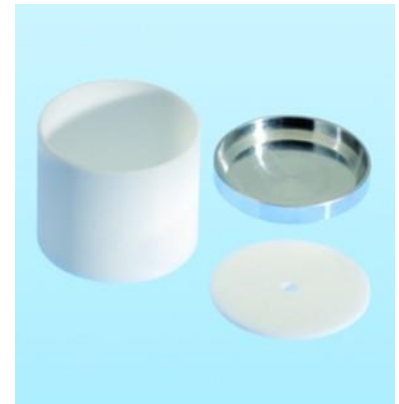
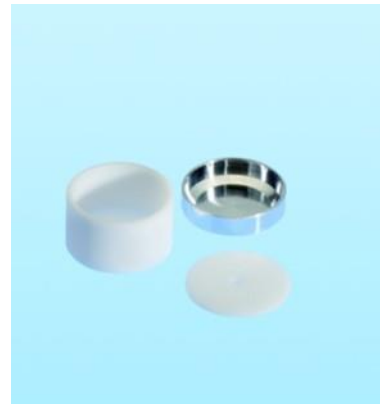


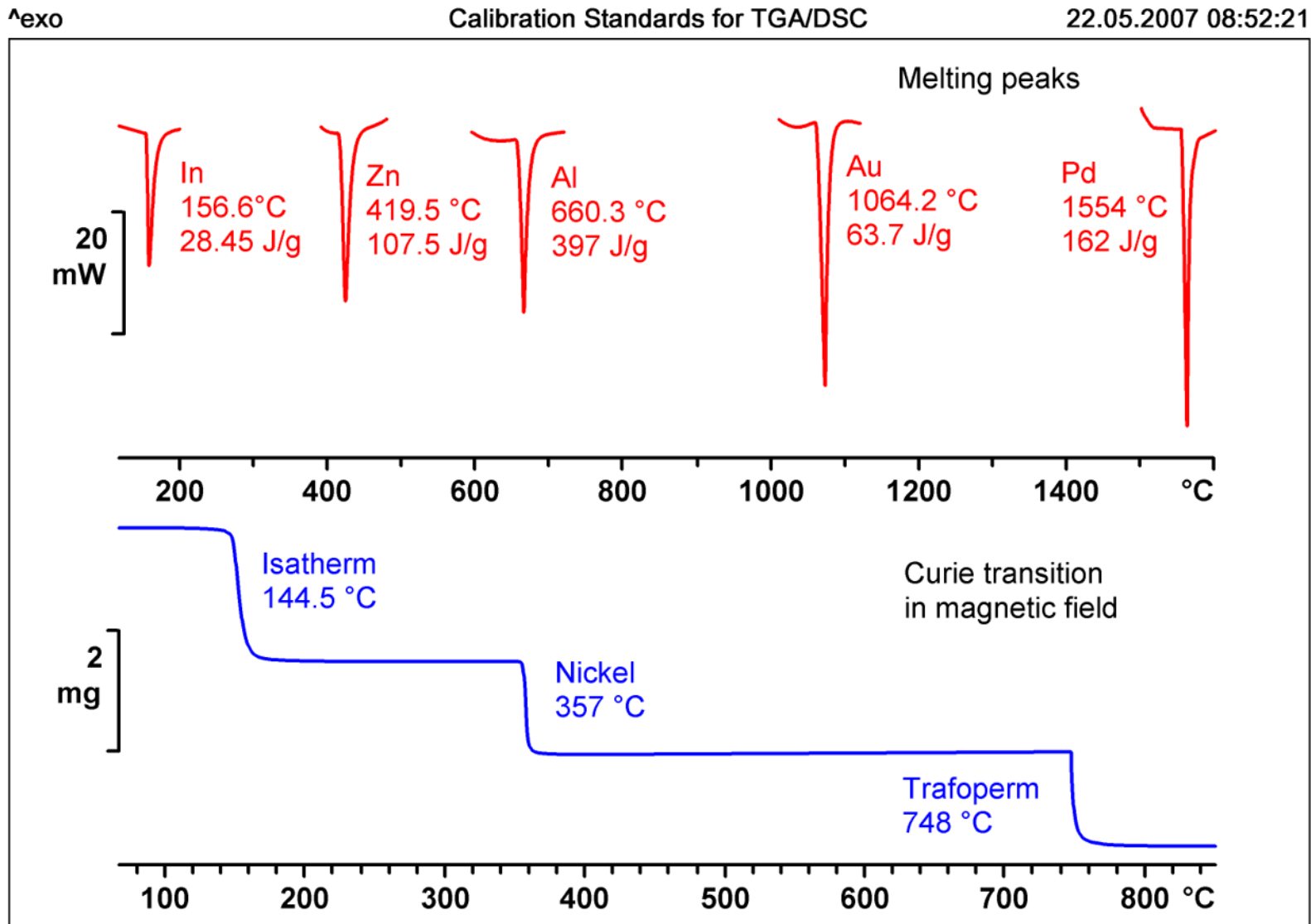


**From simple to demanding applications.
You can choose from more than 30 types of crucibles.**

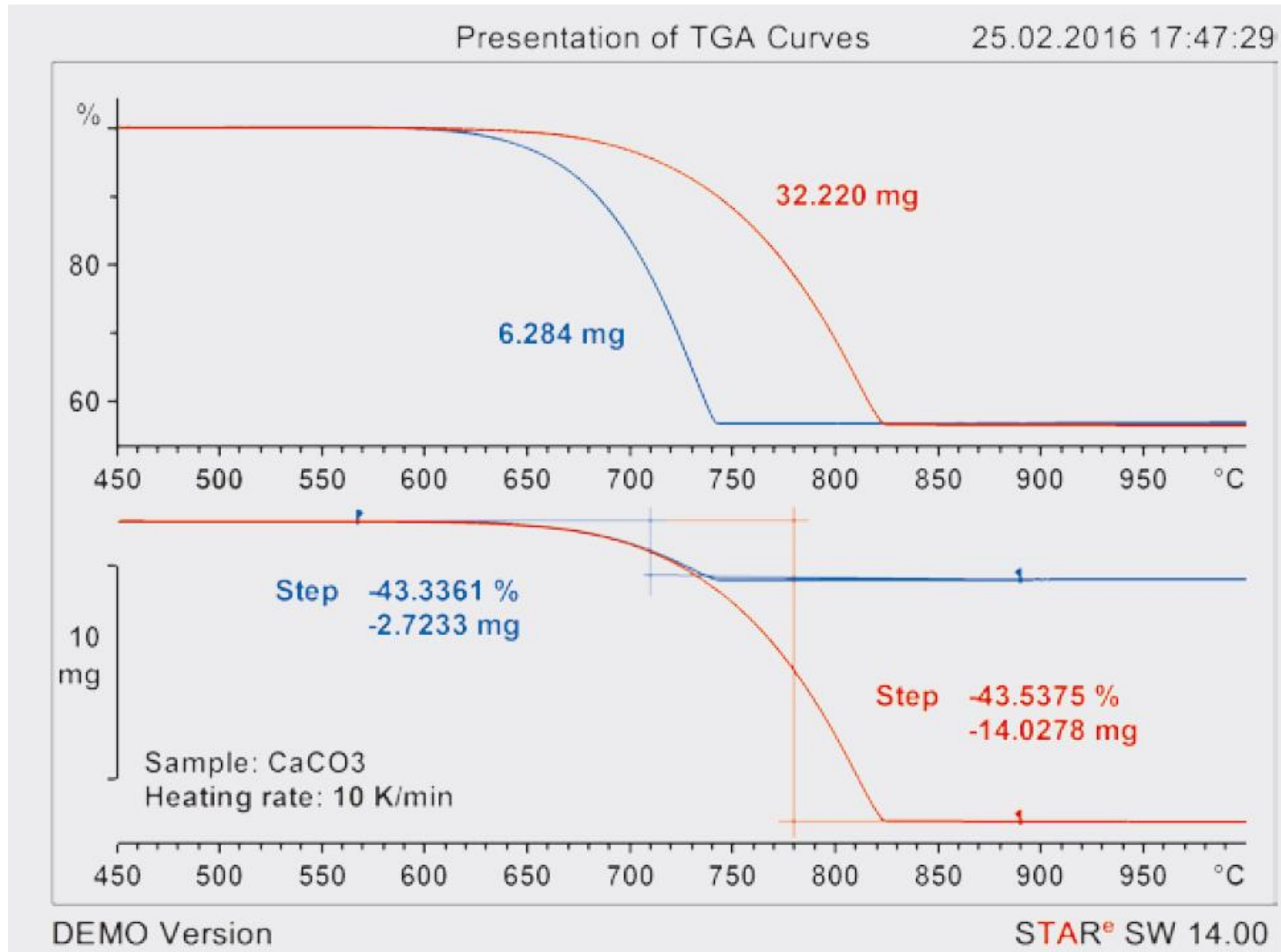
Alumina crucibles

- Alumina crucibles with lids: 30 μl , 70 μl , 150 μl , 300 μl , 600 μl and 900 μl
- Special aluminum lids to prevent contamination and evaporation before the measurement





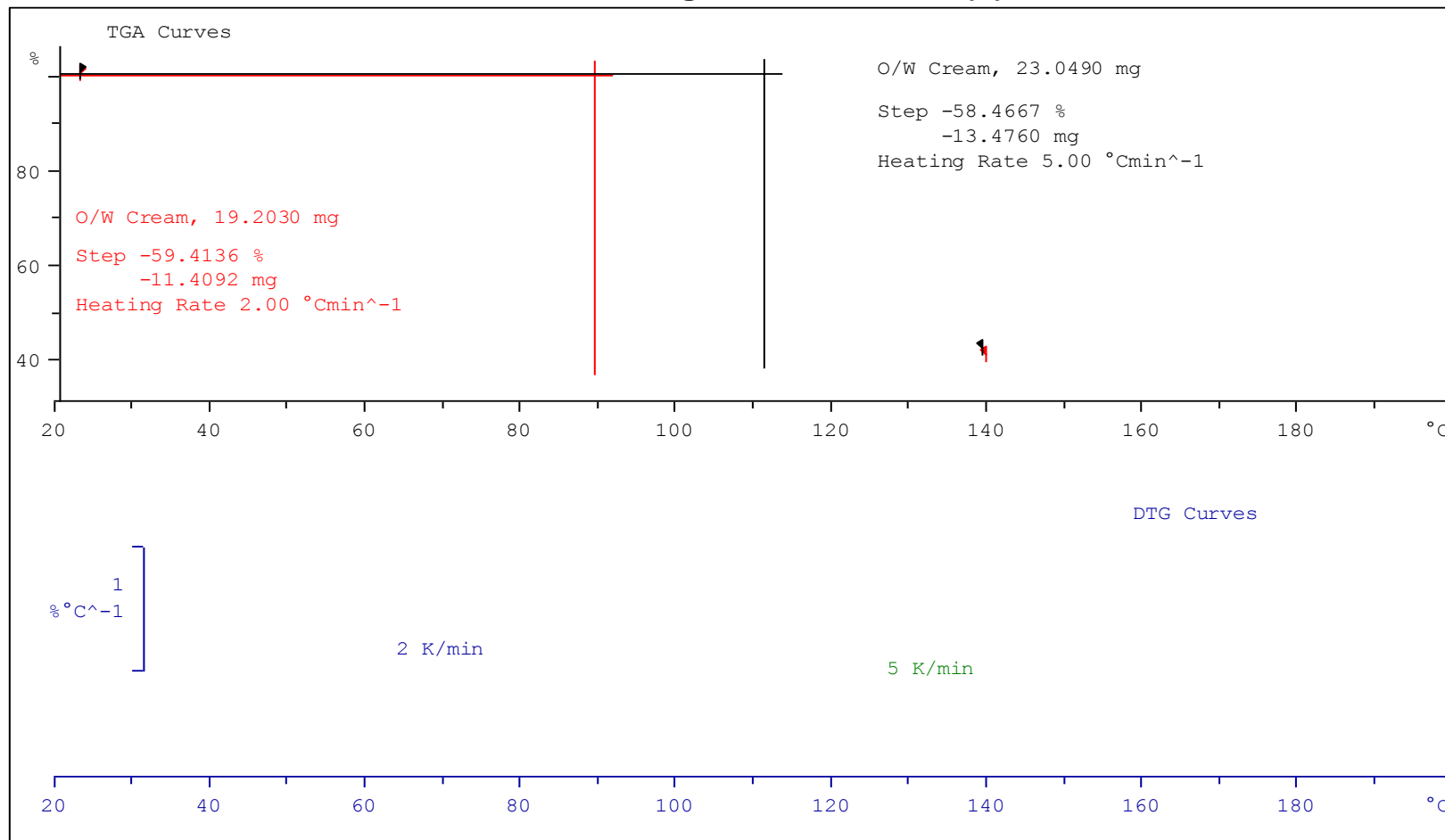
Efecto del tamaño de muestra



Efecto de la velocidad

Moisture/Heating Rate, O/W Cream (6)

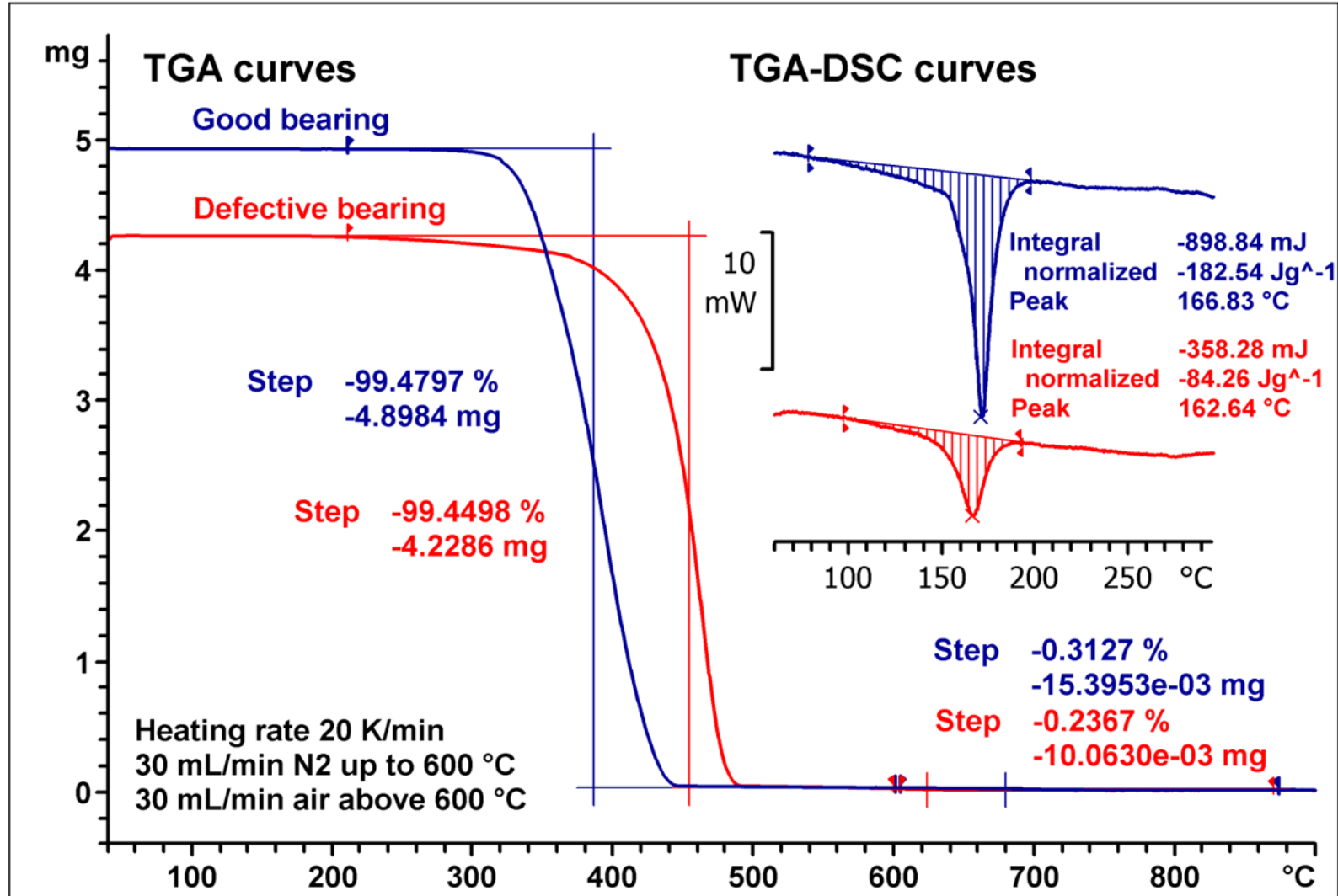
05.03.1998 18:08:17

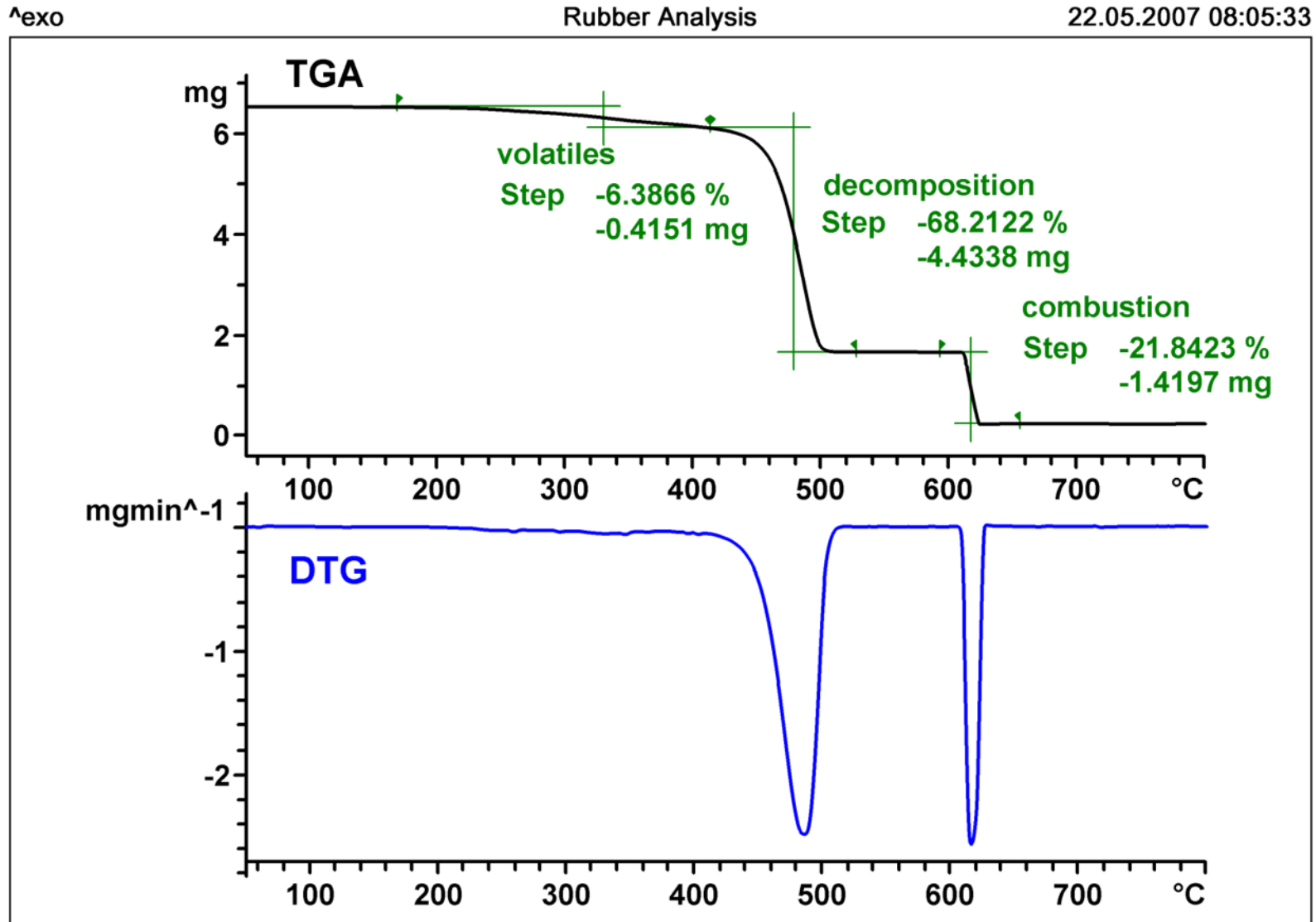


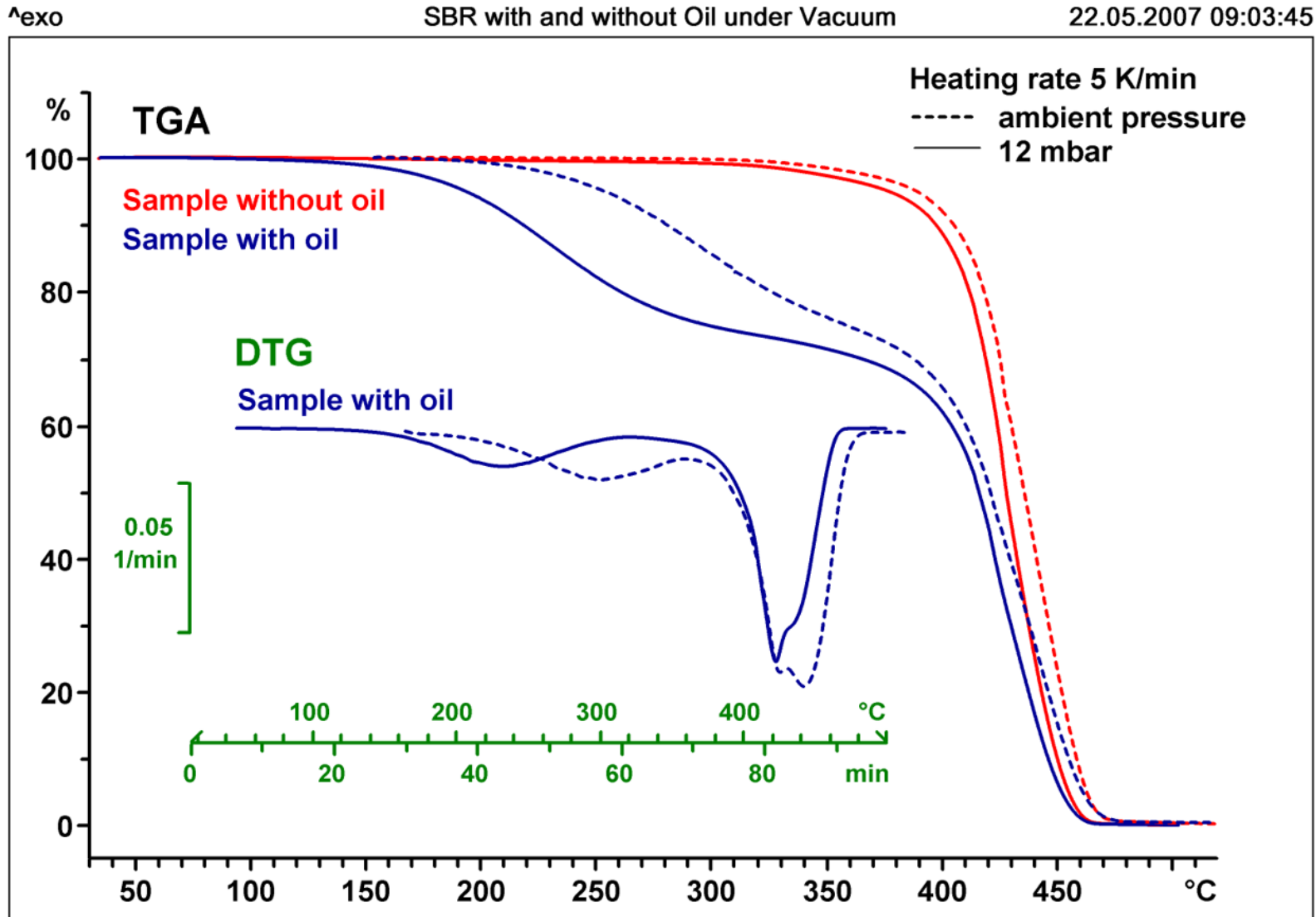
^exo

Defective and Good Bearings

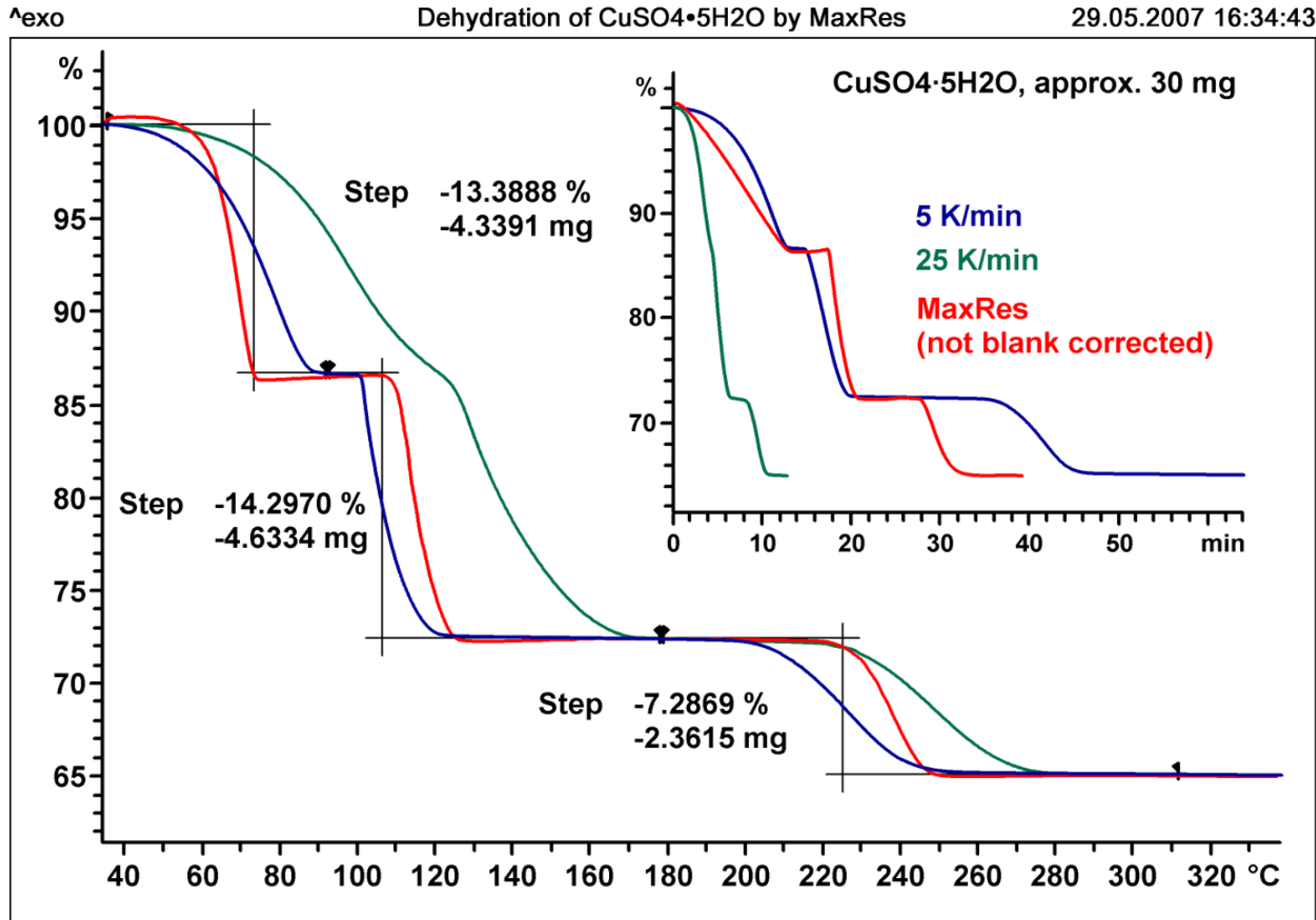
25.05.2007 14:08:55

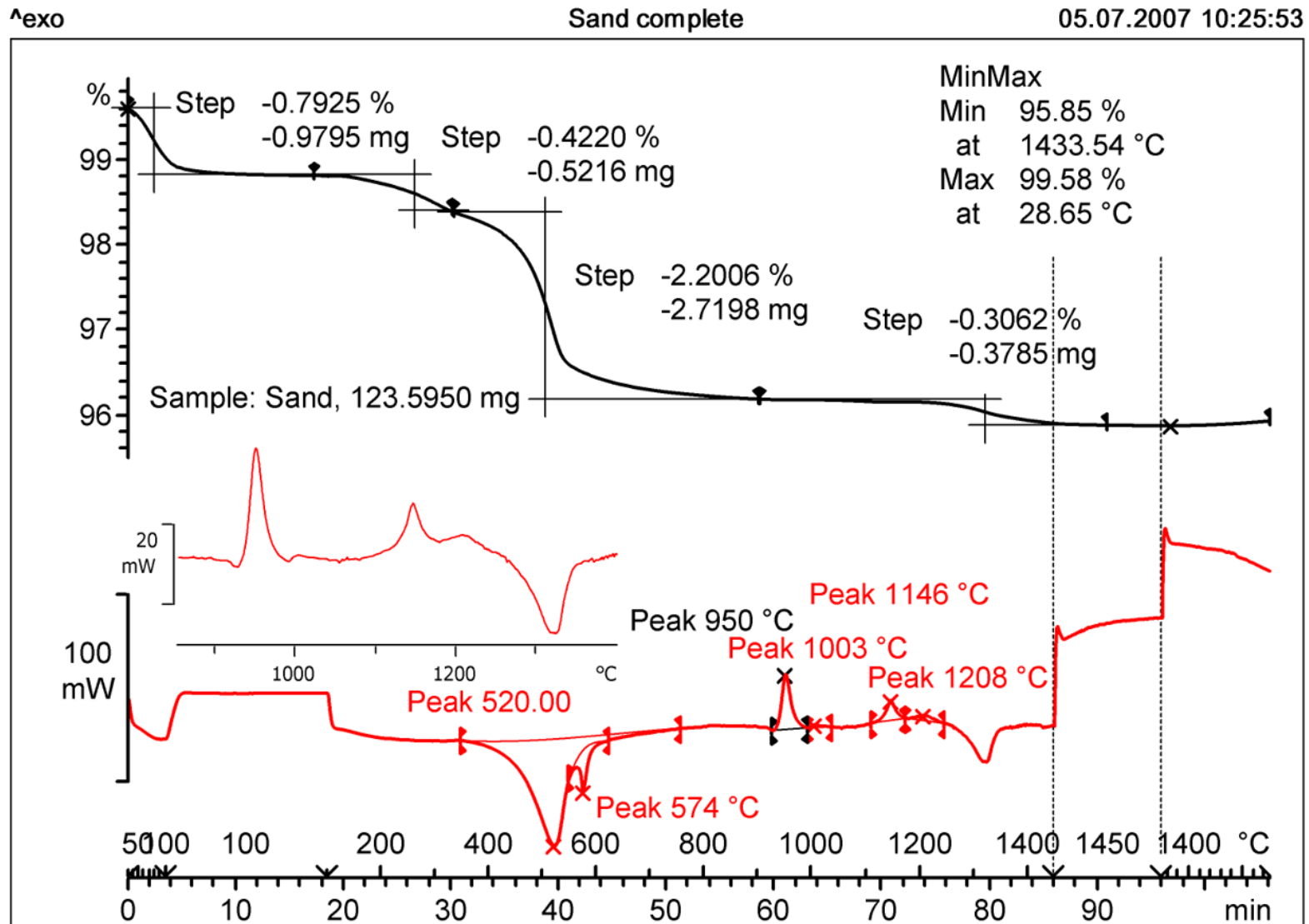






Reducir la velocidad mejora la resolución





The evolved gas is transferred from the TGA to the gas cell through a heated line.



Standard



MS



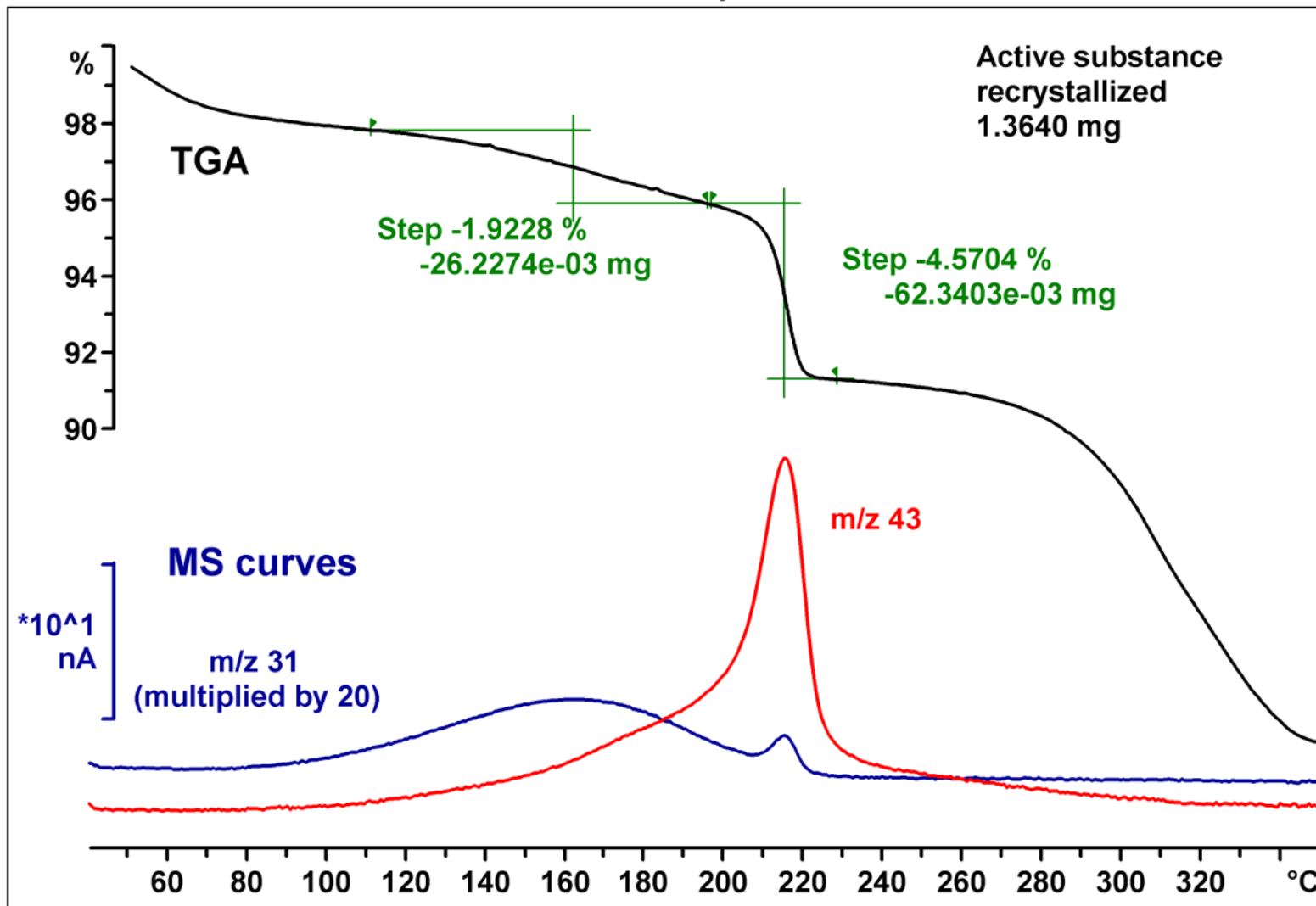
FTIR



Sorption

Solvent Detection by TGA-MS

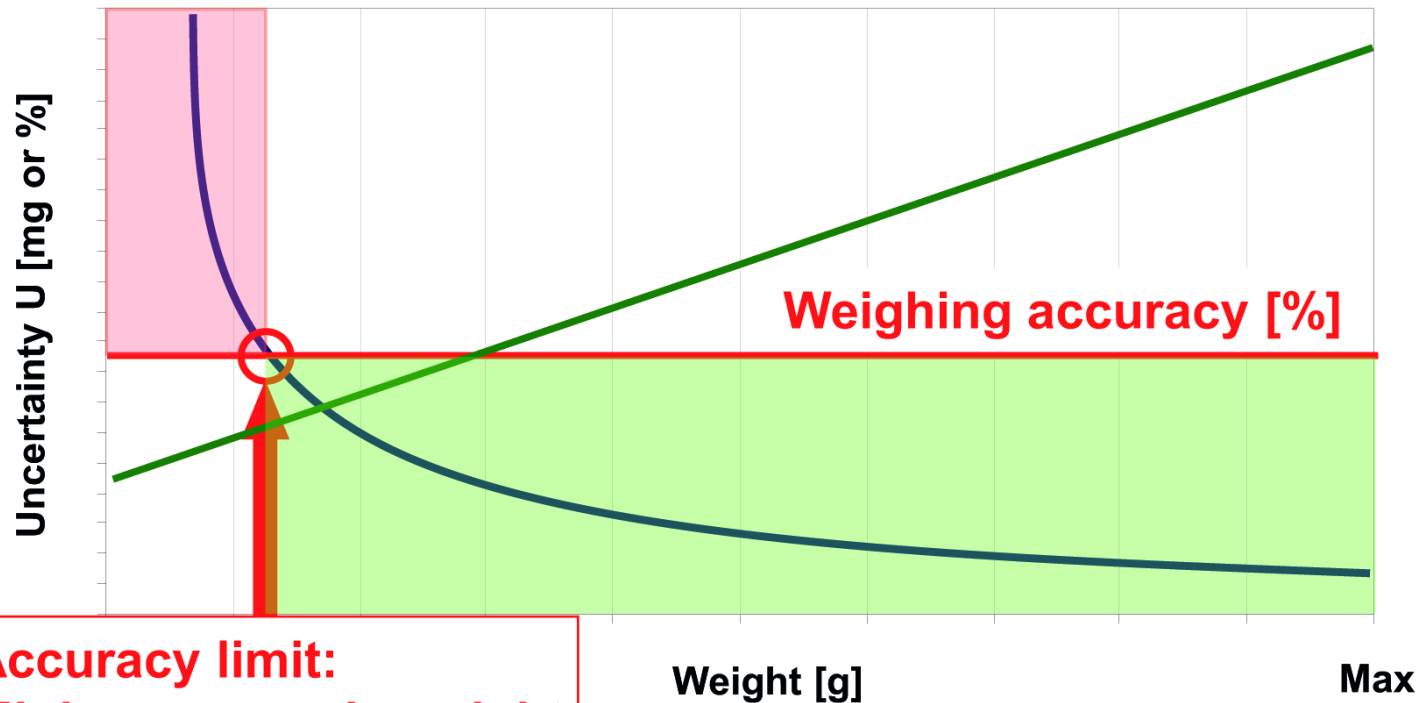
22.05.2007 08:35:29



- In simple terms, the uncertainty of measurement is the range of values within which the true value of the measurement is expected to lie with a stated level of confidence.
- Uncertainty comprises much more than just specifying a standard deviation of an analytical result: sampling process, sample preparation, calibration etc. all contribute to the uncertainty of a measurement result.
- Uncertainty is not an error: uncertainty specifies a range in which the “true” value lies with a certain probability.

Relative Measurement Uncertainty [%]
(= Absolute measurement uncertainty / weight)

Absolute Measurement Uncertainty [mg]



**Accuracy limit:
Minimum sample weight**

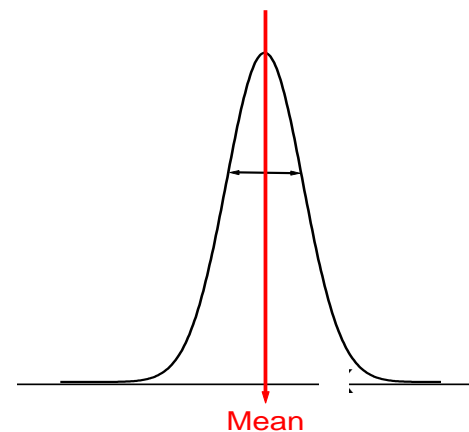
Weighing uncertainty for a balance is given by the repeatability

$$m_{\min} \approx \frac{k \cdot S_{RP}}{A_{\text{req}}}$$

k = coverage factor, usually 2

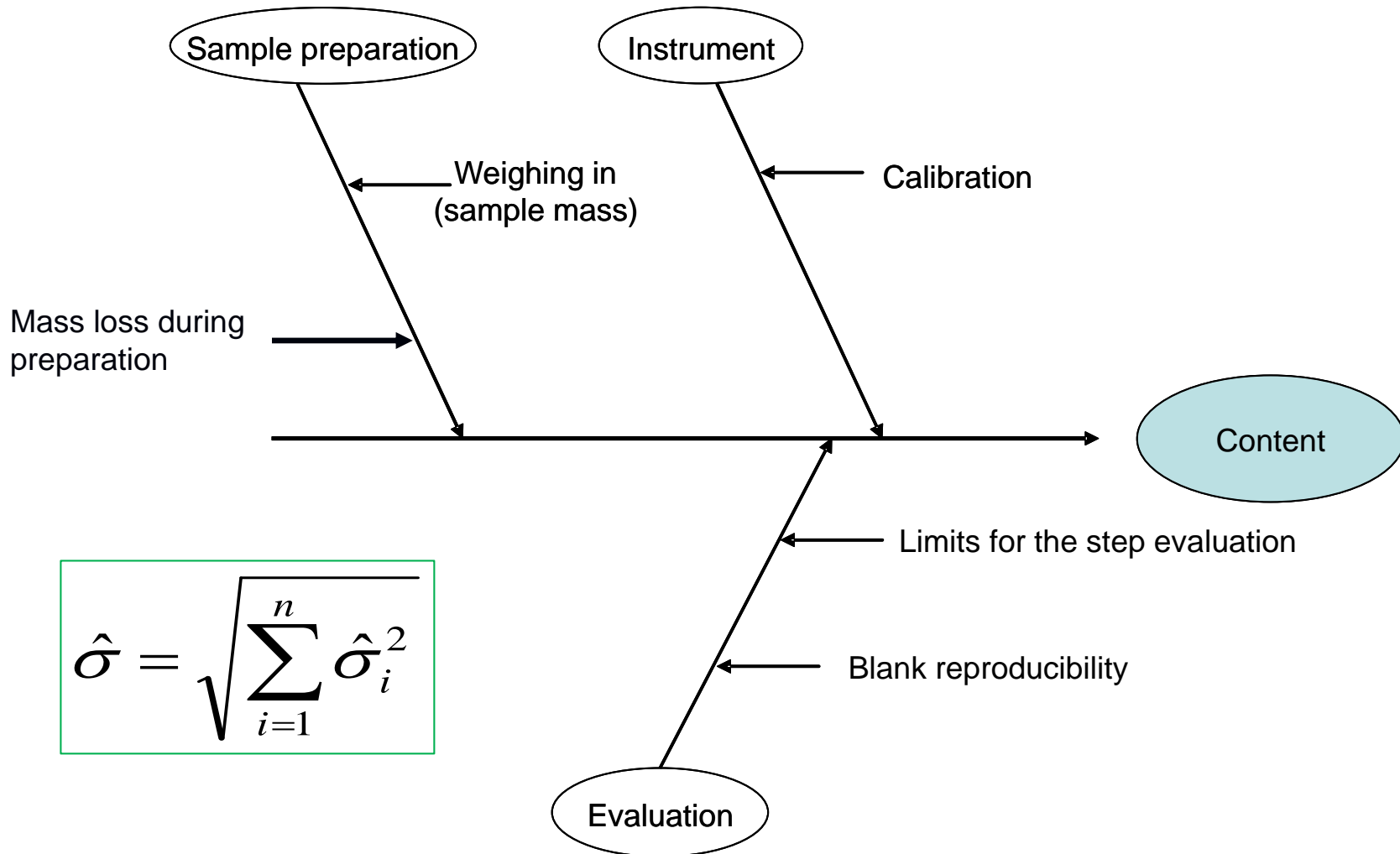
S_{RP} = standard deviation (repeatability)

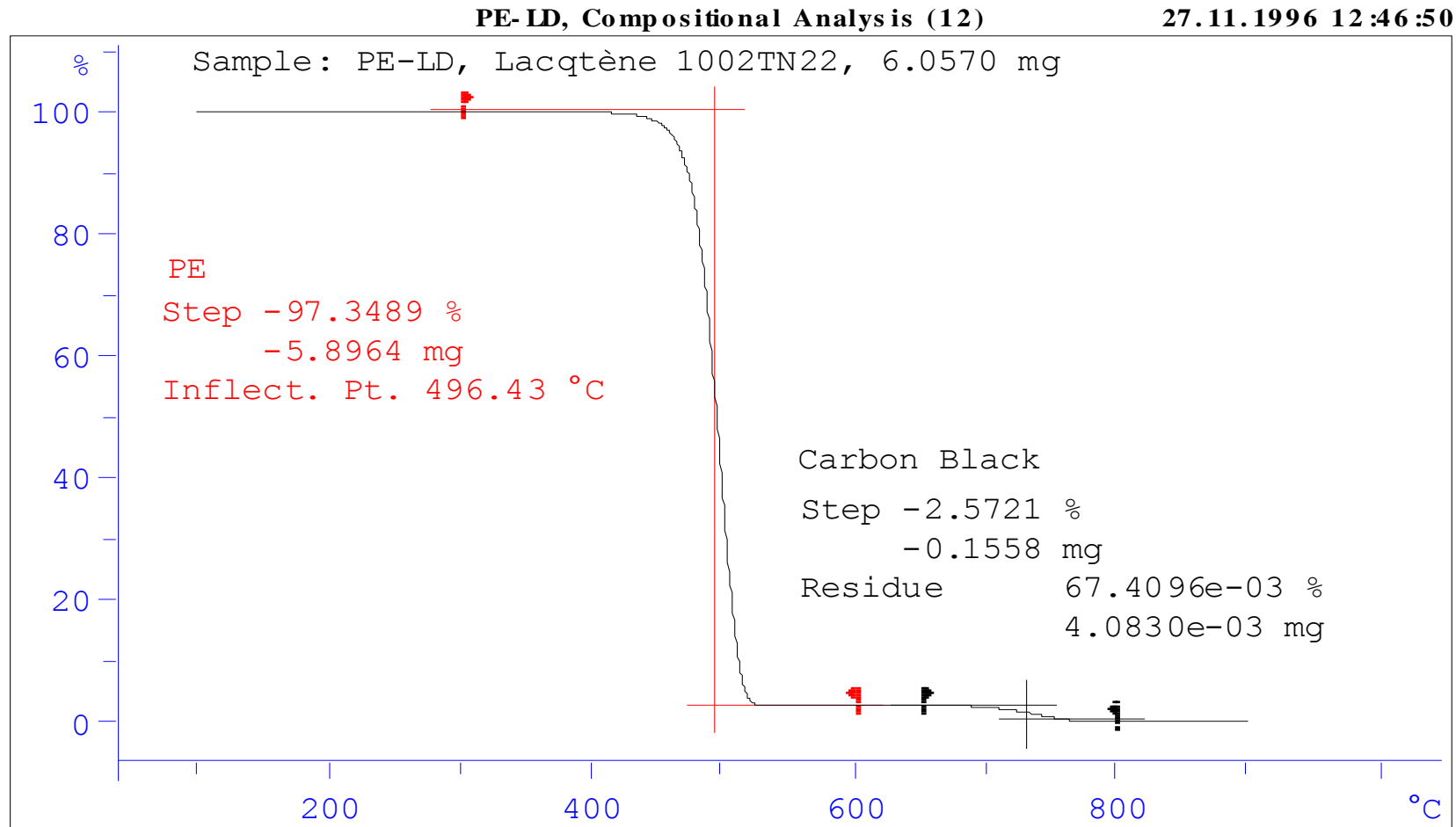
A_{req} = relative uncertainty required



- Example: Repeatability 1 ug, A_{req} 1%, $k = 2$

$$m_{\min} = 200 \text{ ug}$$





$$m_{\min} \approx \frac{k \cdot S_{RP}}{A_{\text{req}} \cdot EP}$$

k = coverage factor (usually 2)

A_{req} = required accuracy in %

EP = Expected percentage of the "effect" (residue, step)

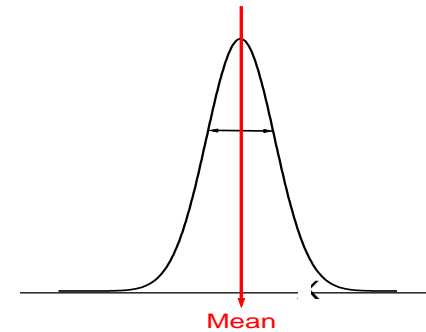
s = Standard deviation of the "effect" (step or residue)

Rules of thumb:

s for steps: 0.3 ug (ruido de fondo)

s for residues: 15 ug (reproducibilidad de la línea base)

$$m_{\min} \approx \frac{k \times s}{0.01 \cdot A_{\text{req}} \cdot 0.01 \cdot EP}$$



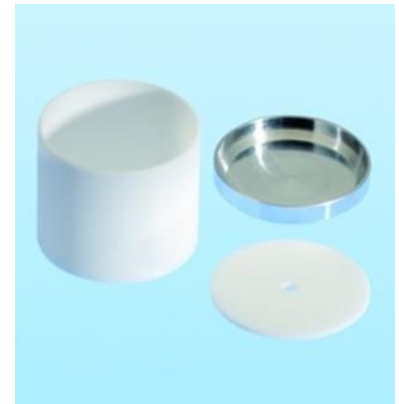
- Factor de cobertura: 2 (95% de probabilidad)
- Exactitud deseada: 1%
- Porcentaje de carbono: 1%
- Incertidumbre del TGA: 10 μ g (desviación máxima en 1 hora)

$$P_{\min} \approx \frac{2 \times 10}{0,01 \times 0,01} = 200.000\mu g = 200mg$$

**From simple to demanding applications.
You can choose from more than 30 types of crucibles.**

Alumina crucibles

- Alumina crucibles with lids: 30 μl , 70 μl , 150 μl , 600 μl and 900 μl
- Special aluminum lids to prevent contamination and evaporation before the measurement



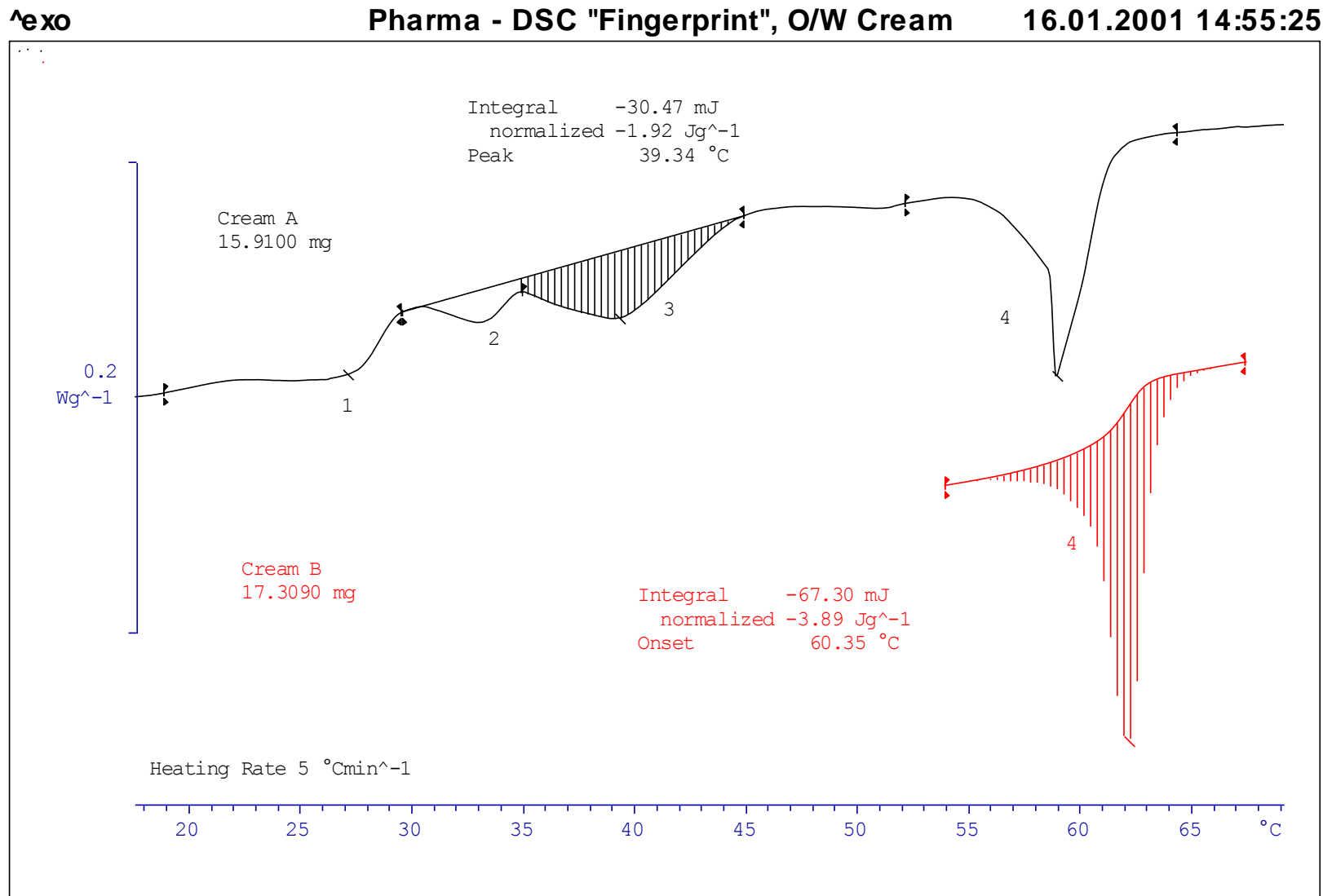


Química Farmacéutica

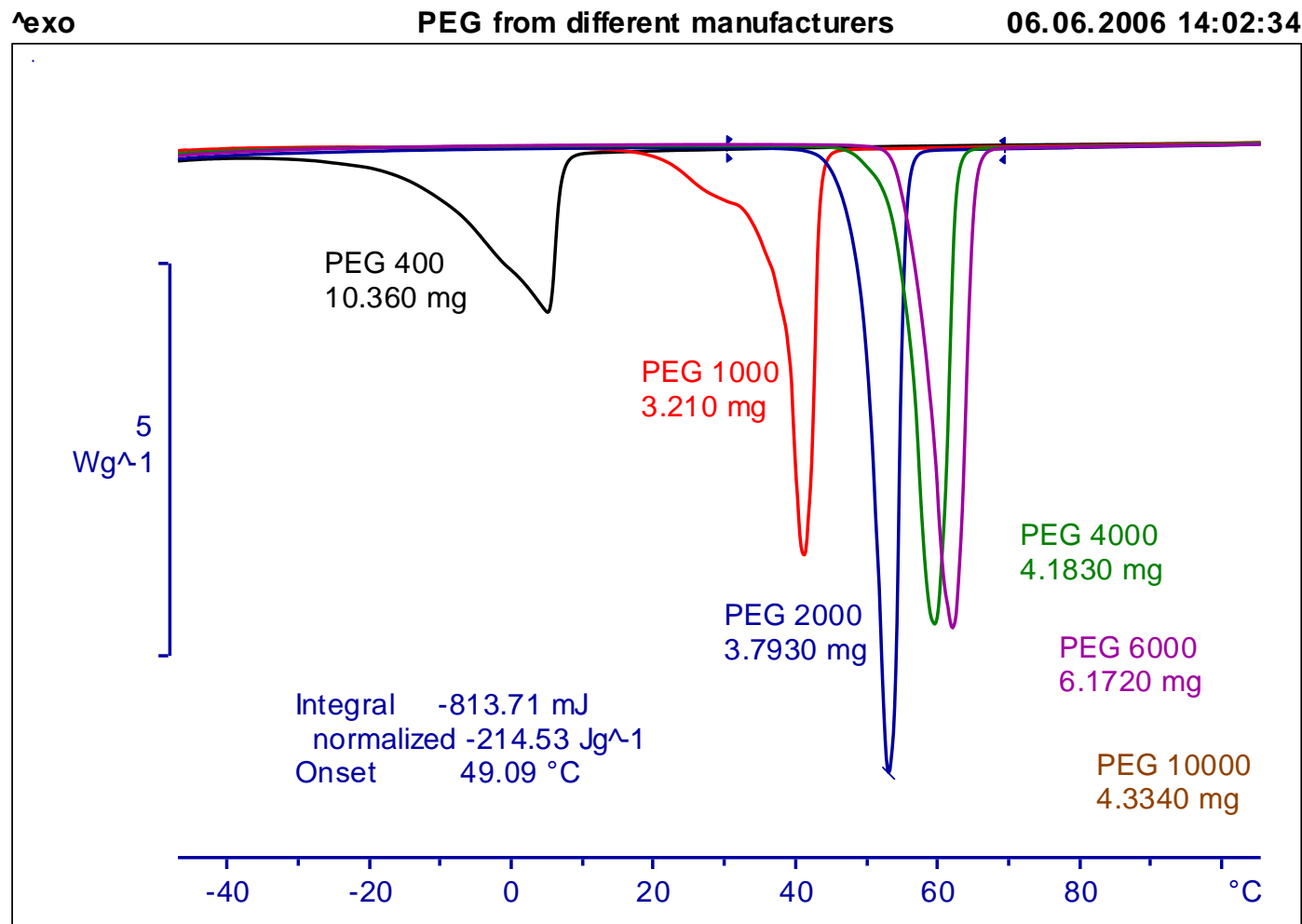
Aplicaciones DSC y TGA

METTLER **TOLEDO**





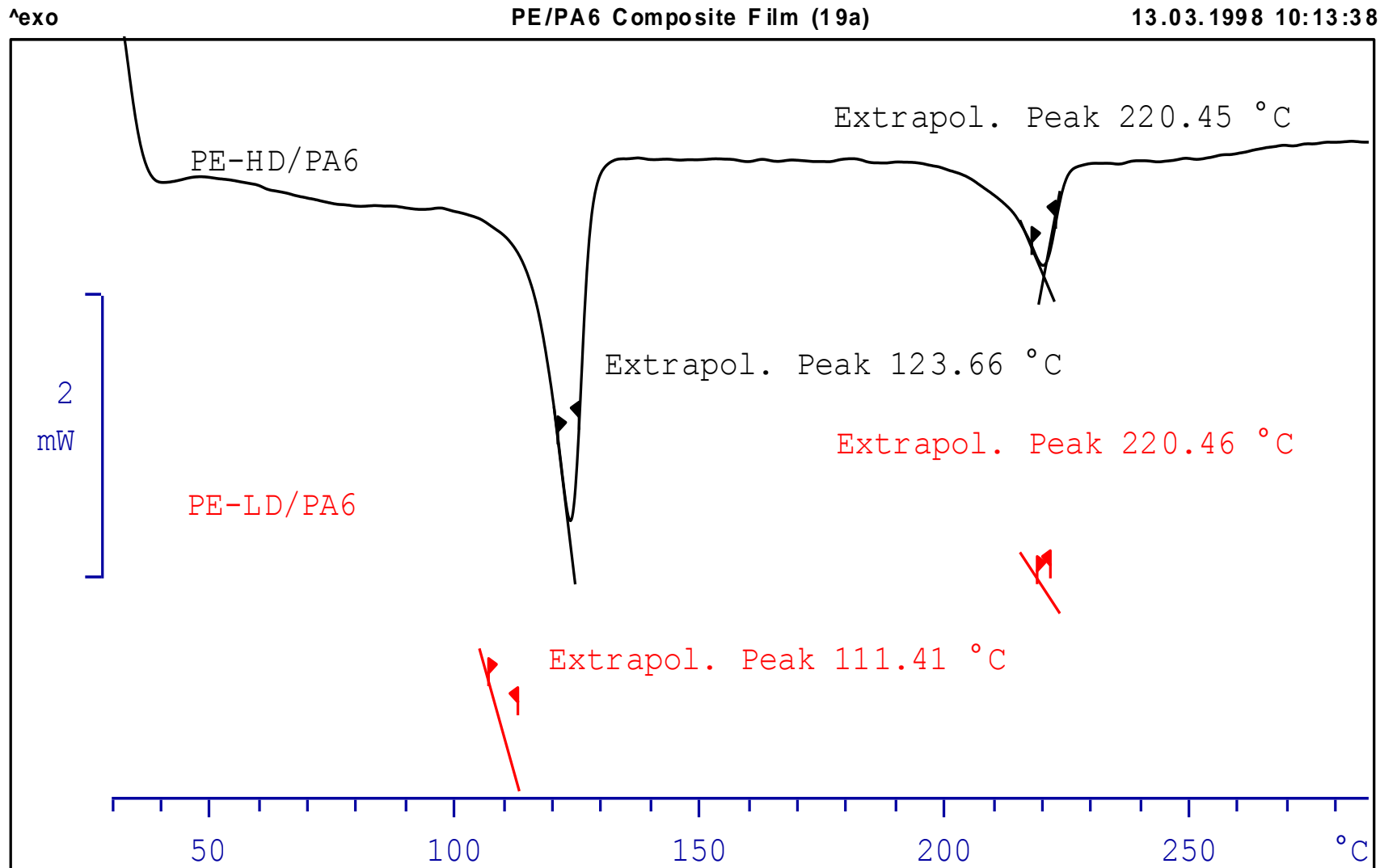
Melting behavior of different PEGs

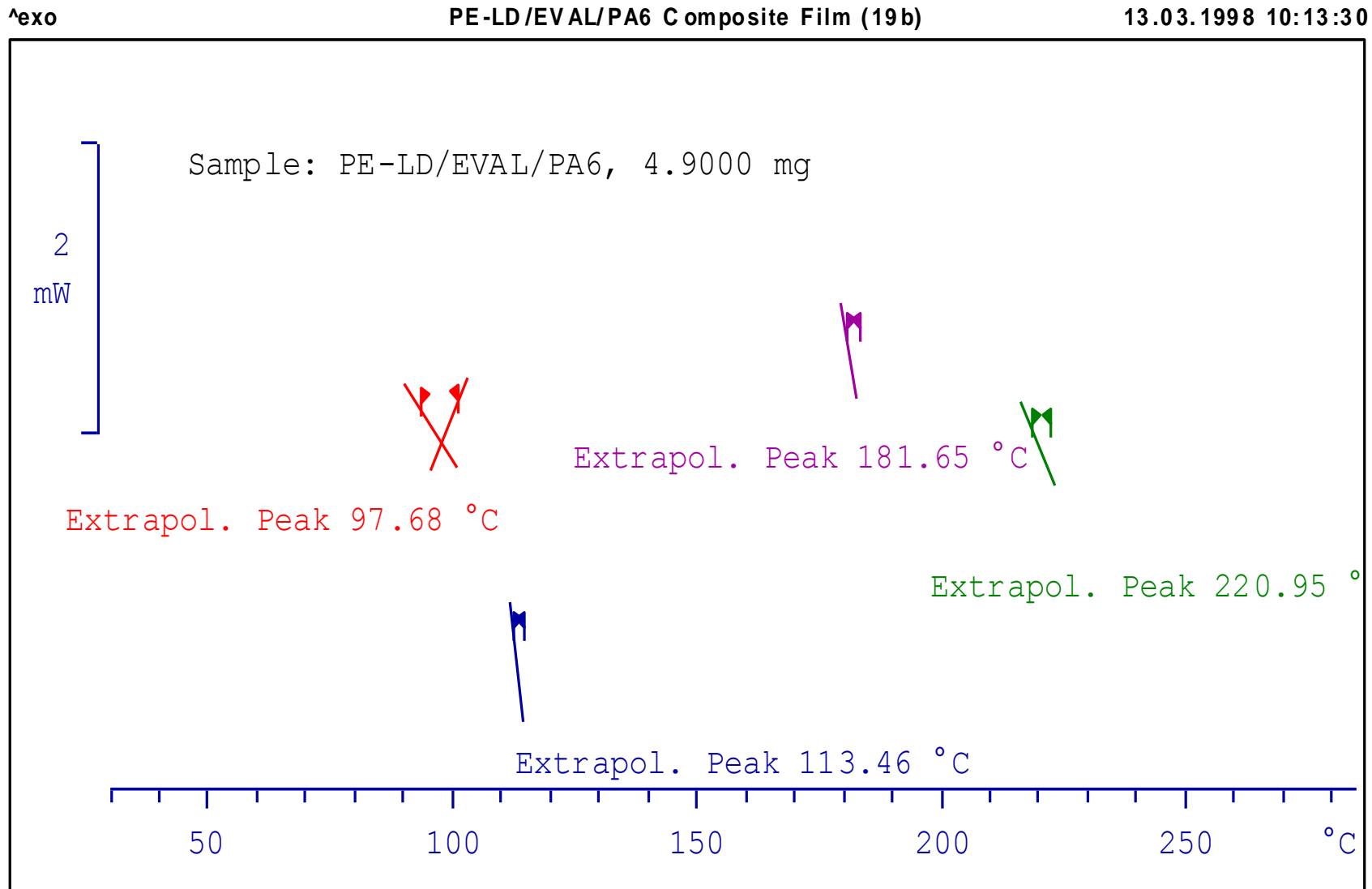


Packaging films are best analyzed by DSC. Here are some examples and tips on how to characterize such films.

- Packaging materials:
 - external packaging
 - in direct contact with the pharmaceutical preparation, stringent requirements
- Synthetic polymers (e.g. PE) are increasingly being used.
- Thermal analysis is used for the quality control and identification purposes.



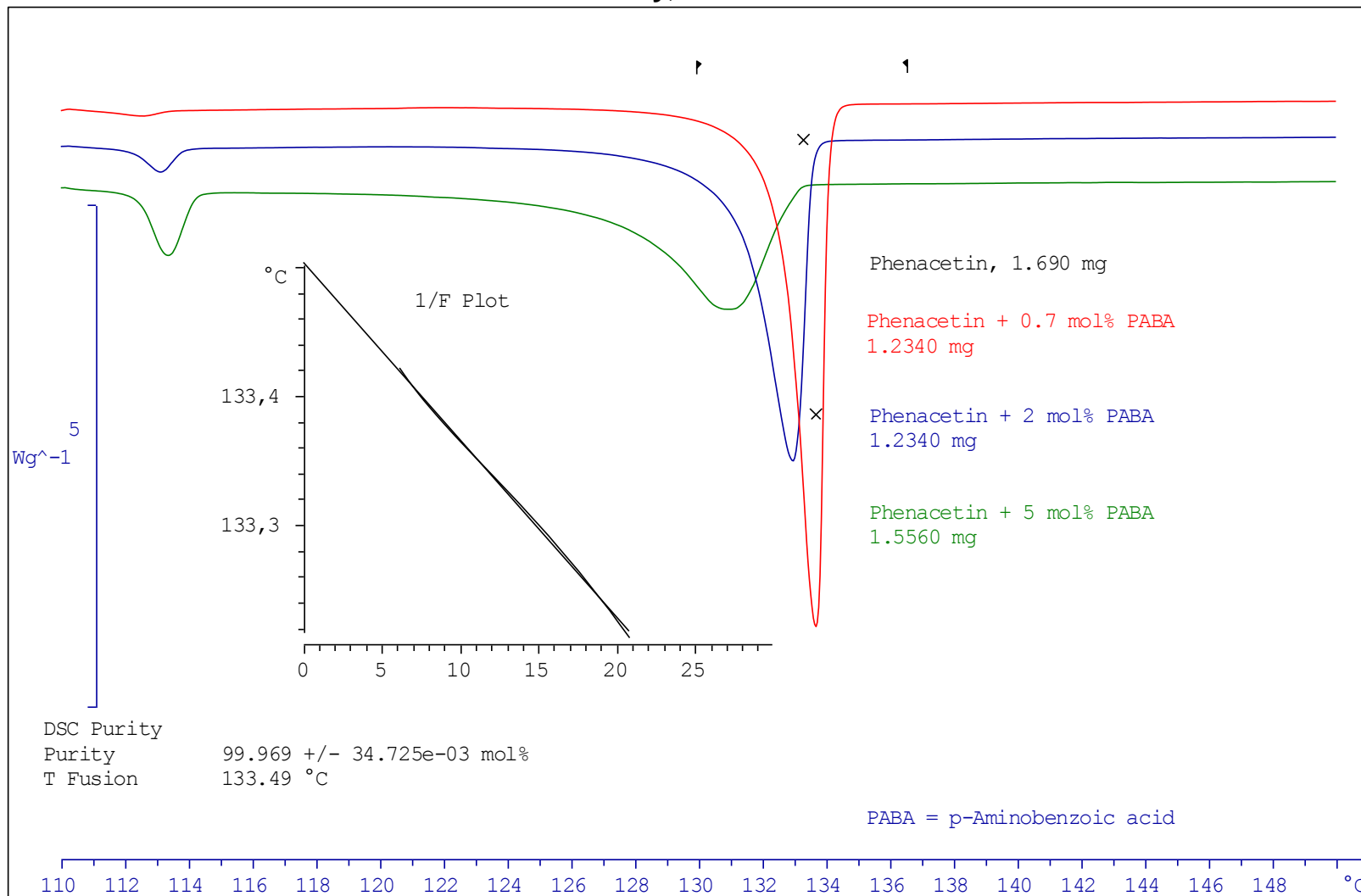


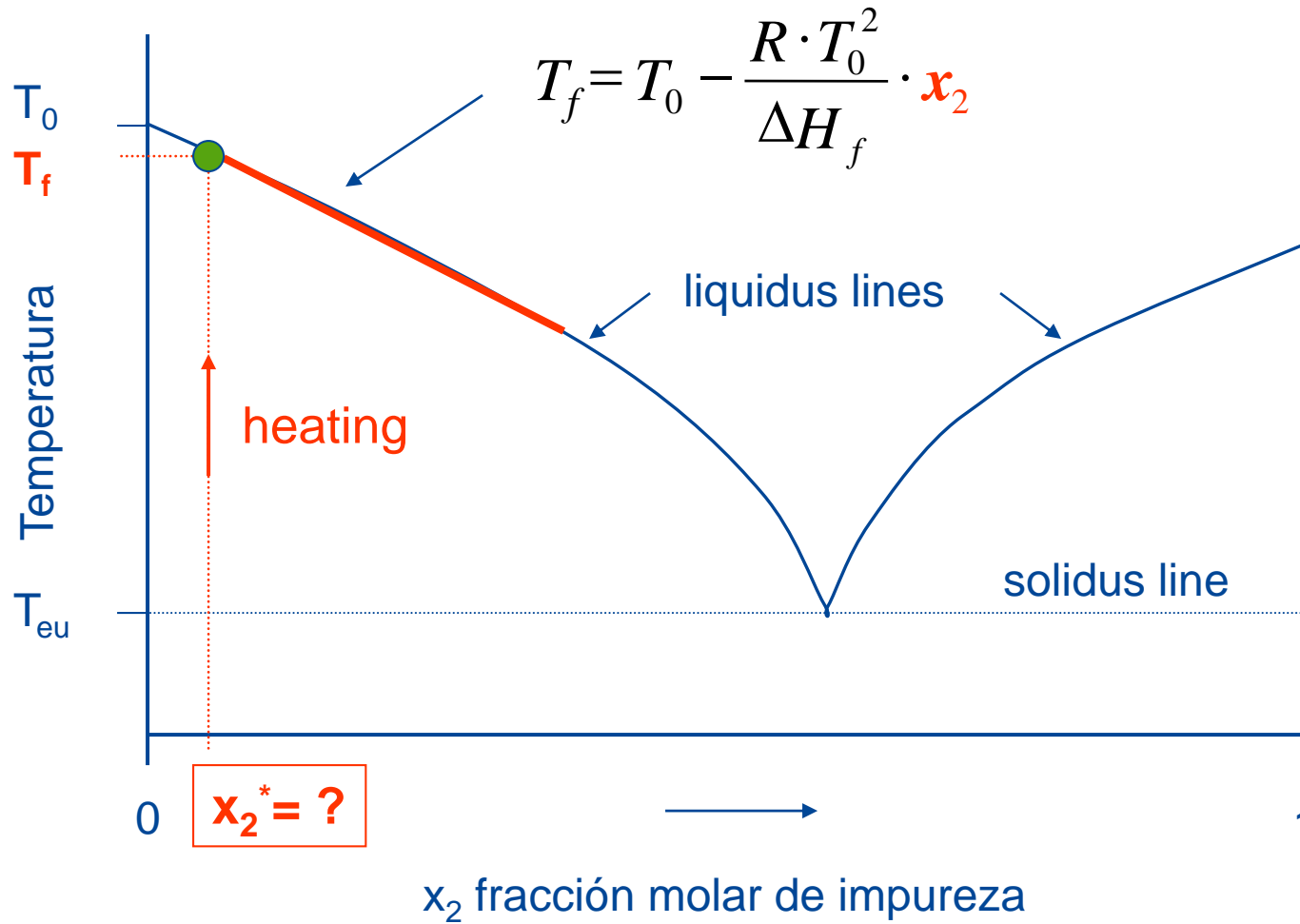


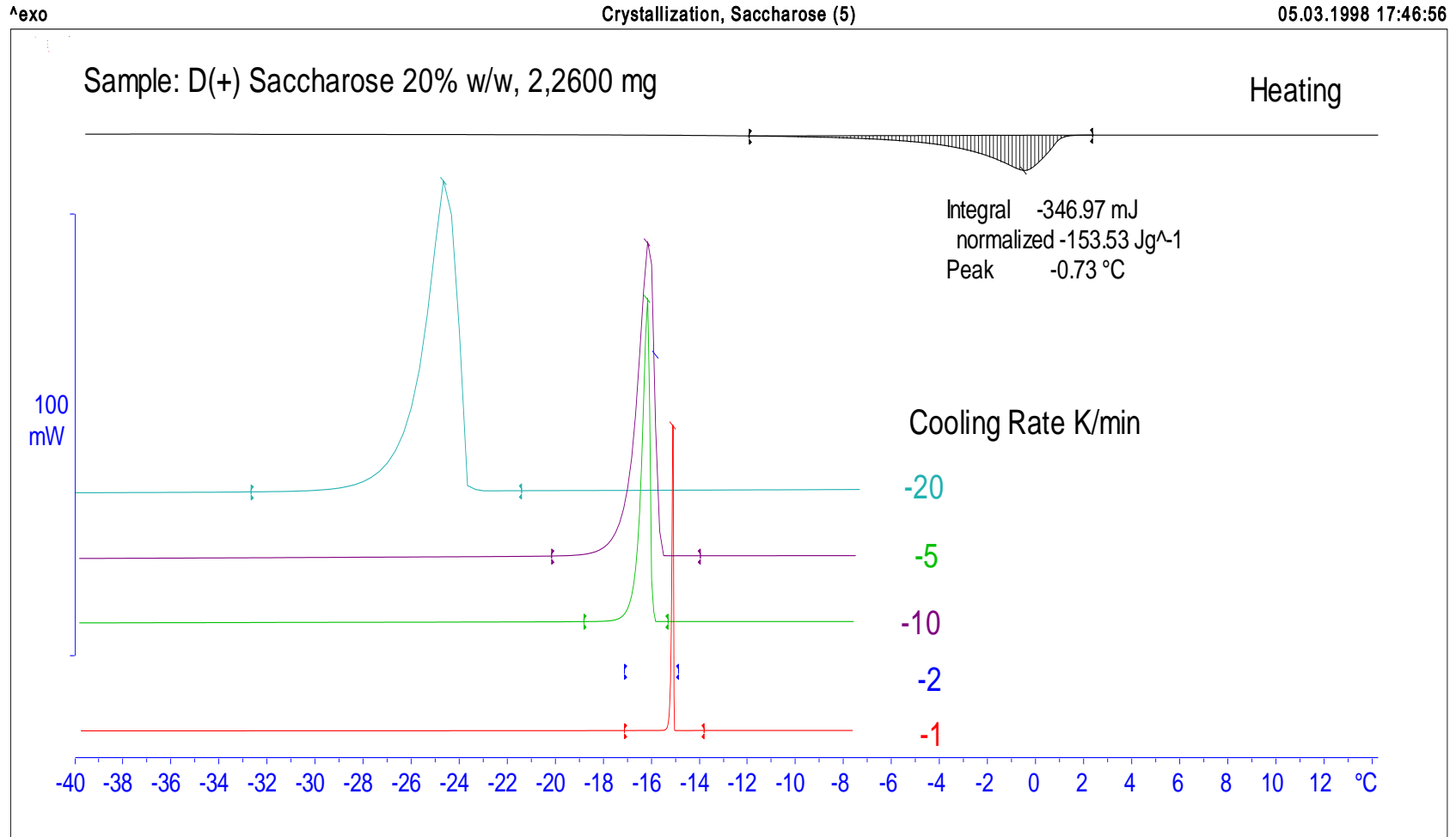
exo

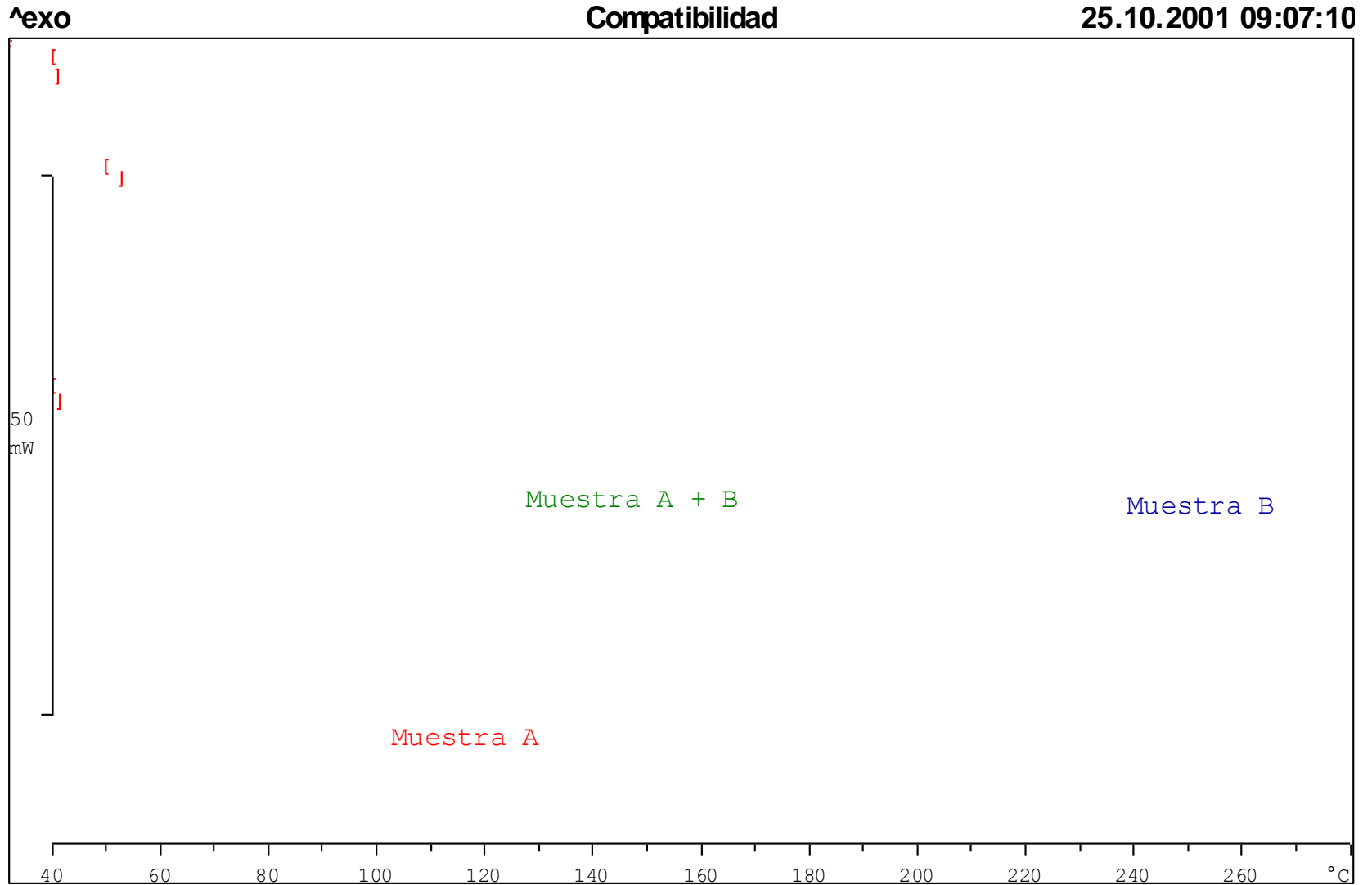
Pharma - Purity, Phenacetin/PABA

16.01.2001 15:10:37

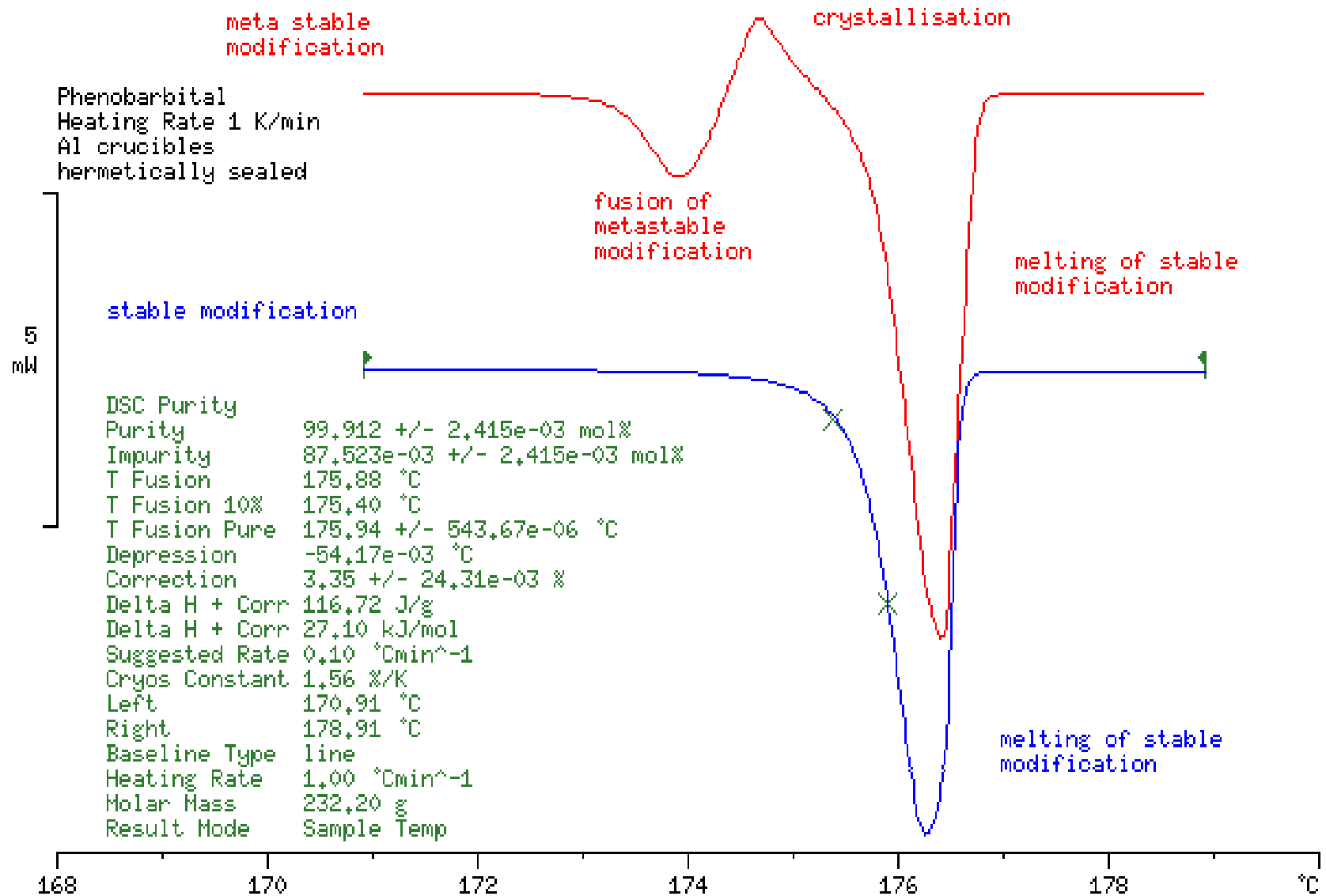


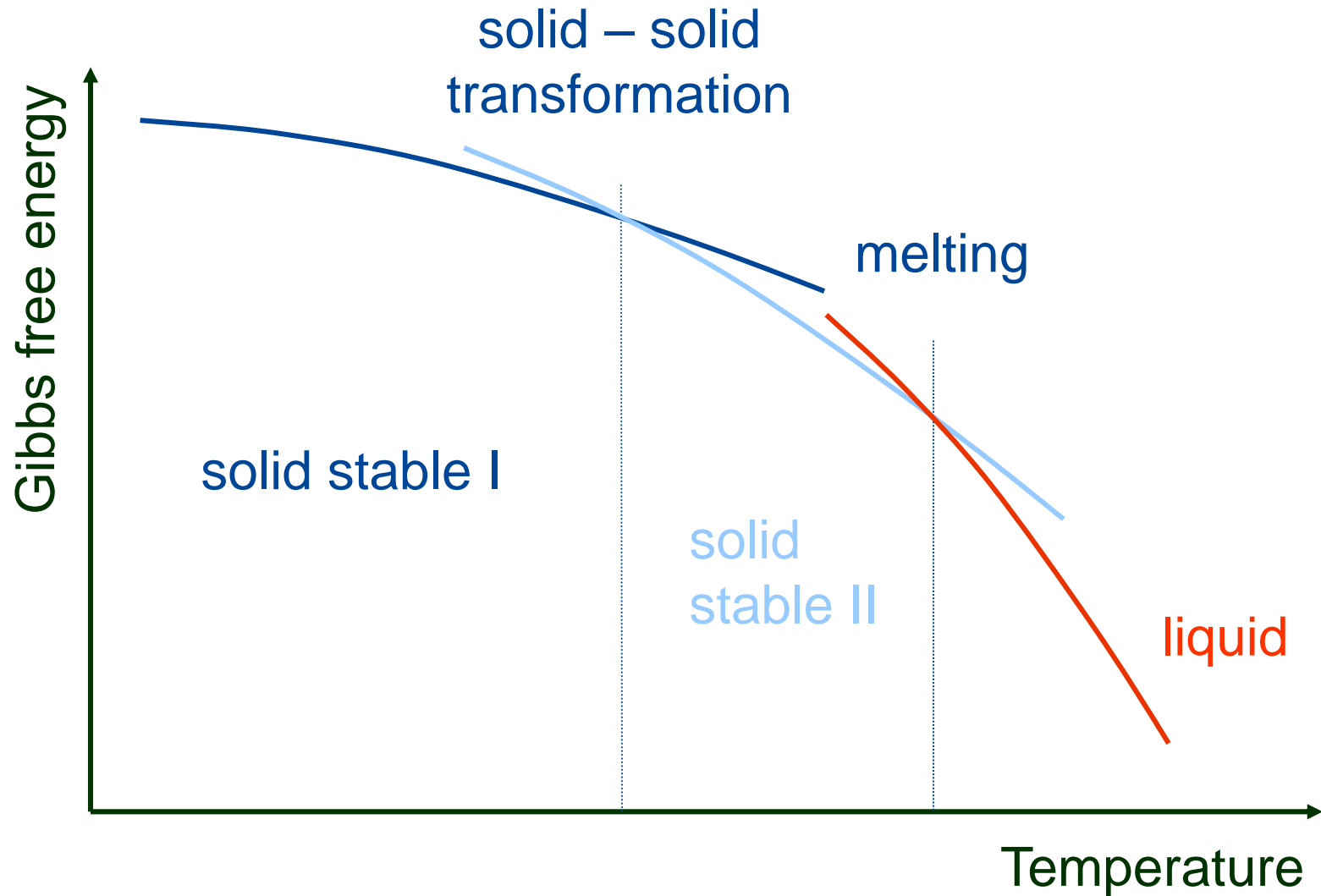




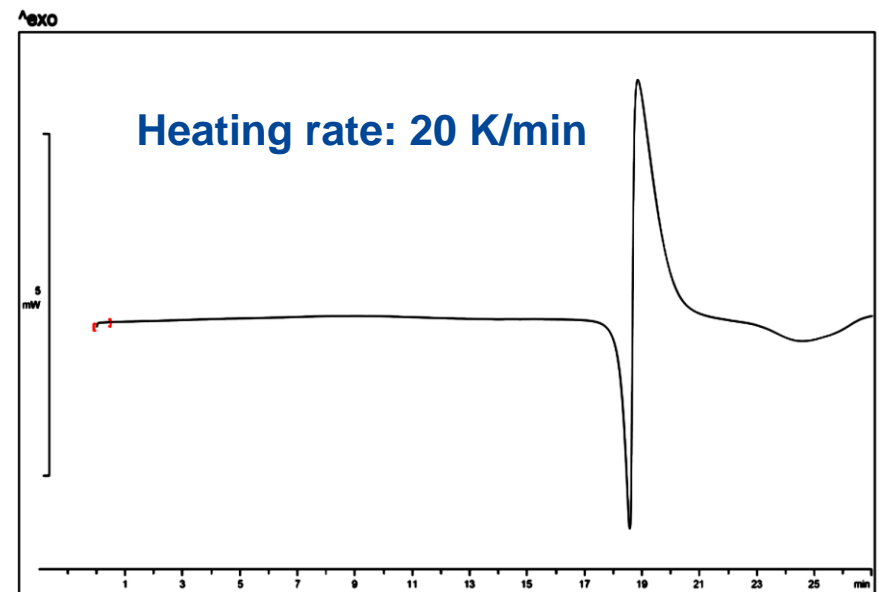
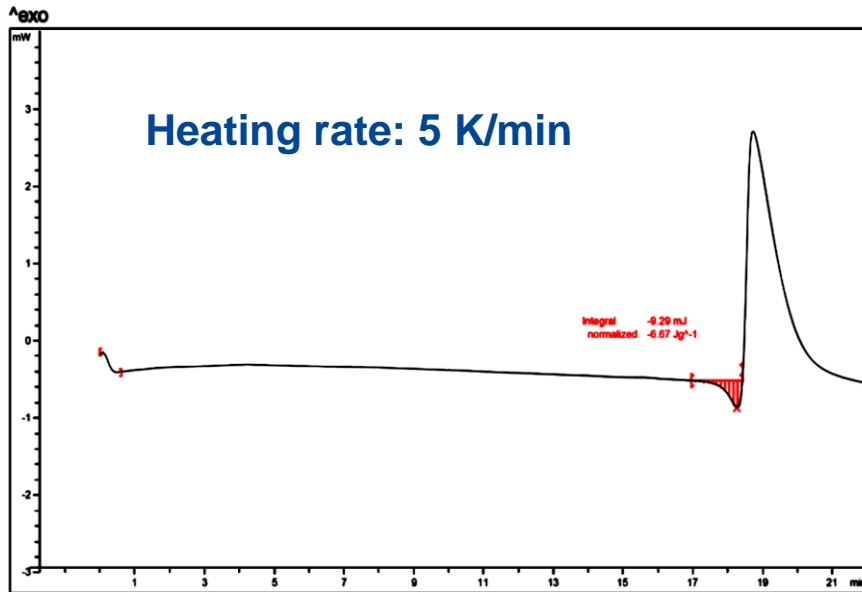


Polimorfismo: Fenobarbital

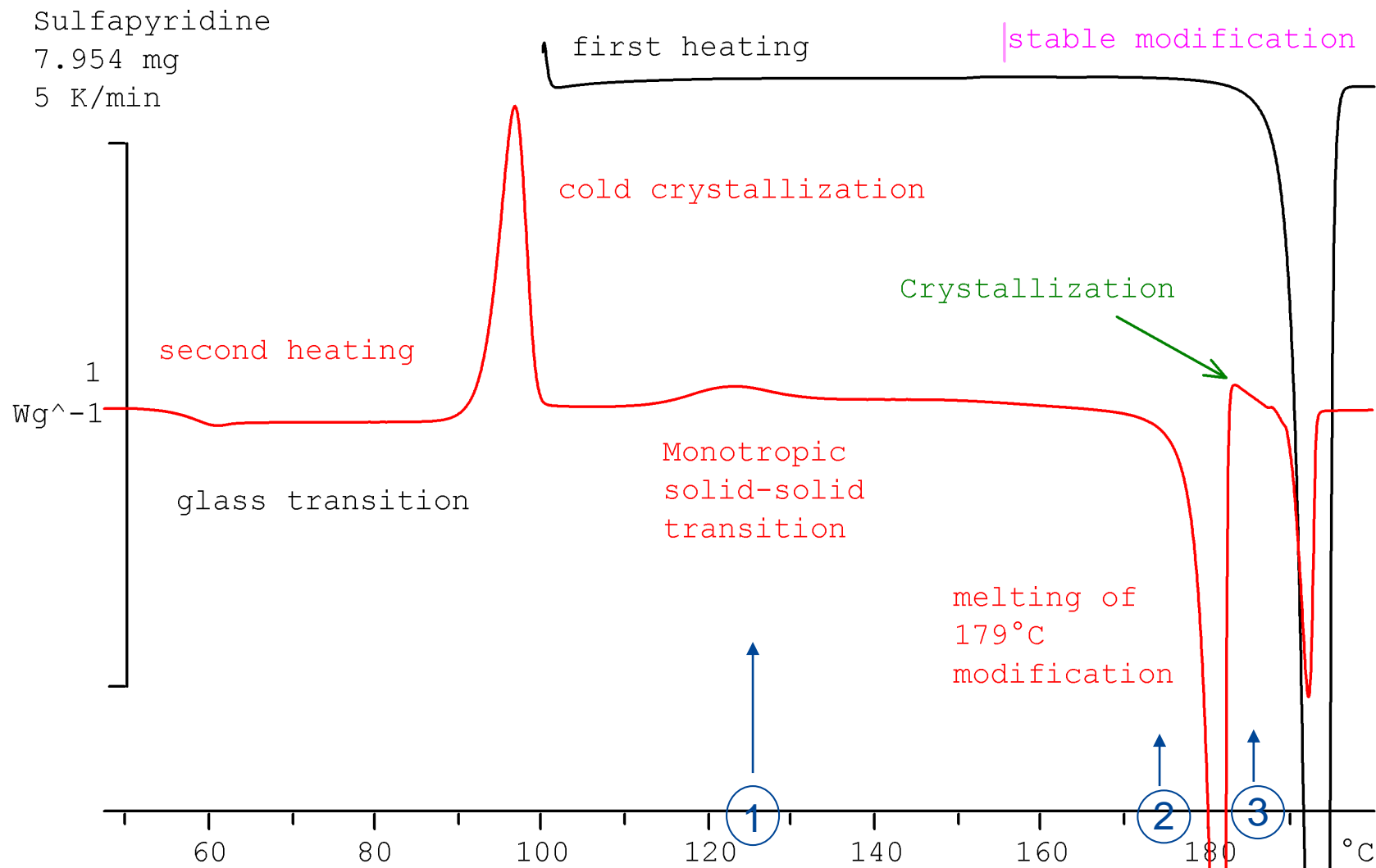


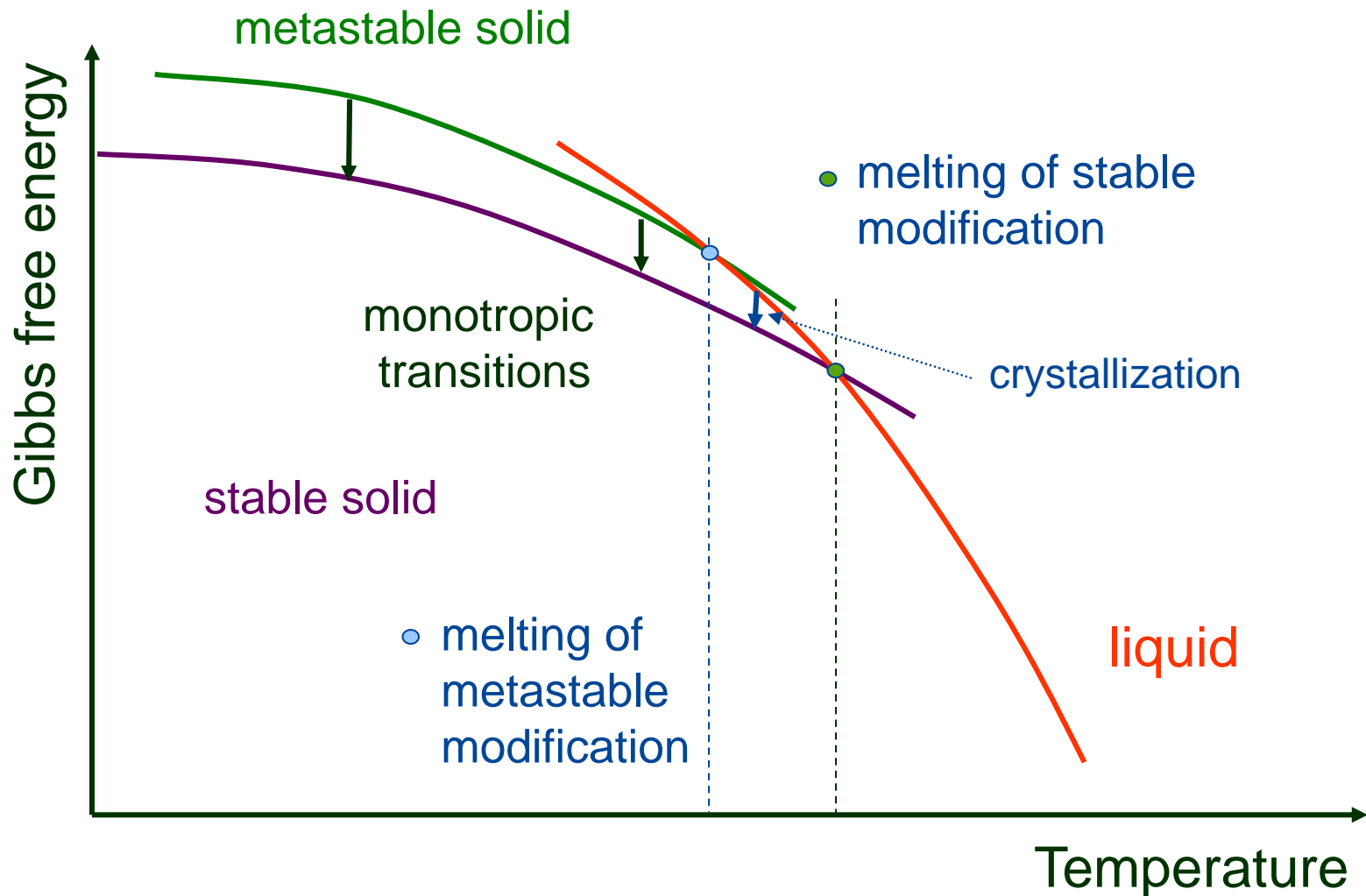


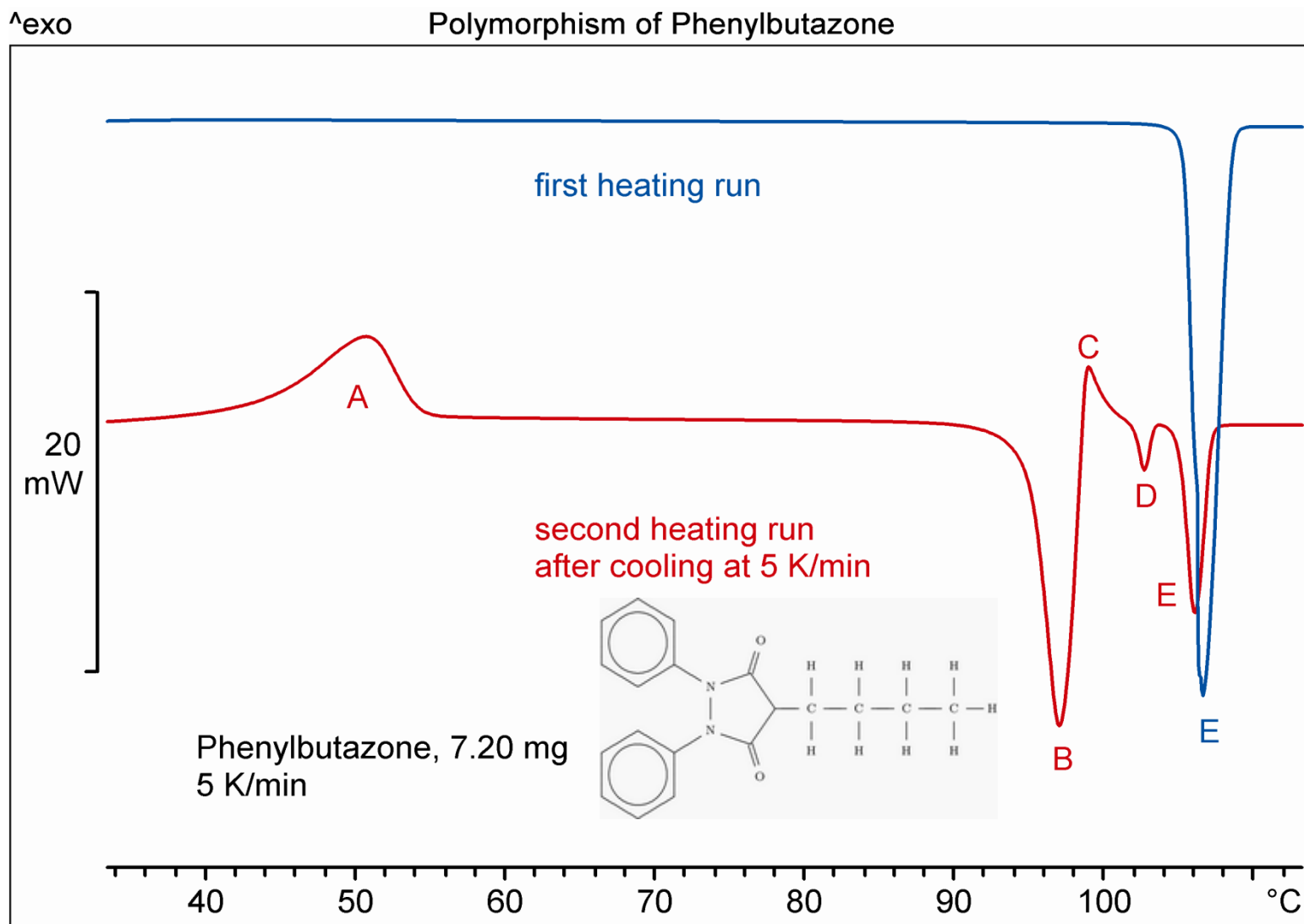
Fusión y descomposición



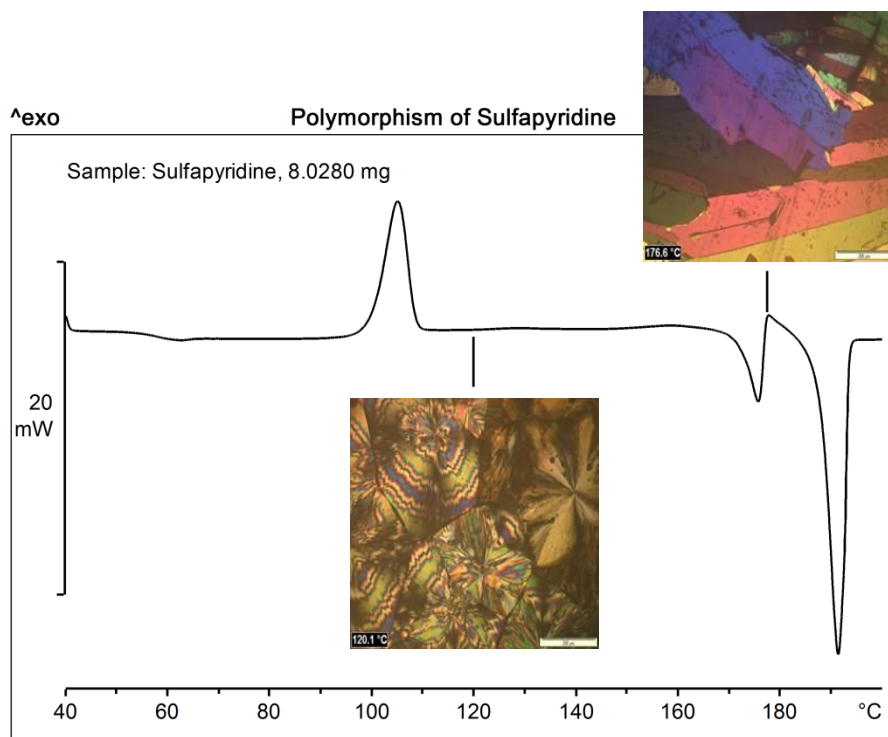
- Gráficas cedidas por CRISFORMA (ICIQ) -







Thermomicroscopy (or hot-stage microscopy) is when the sample is observed while under a microscope, as it is heated or cooled.



A typical HS investigation of a polymorphic transition:

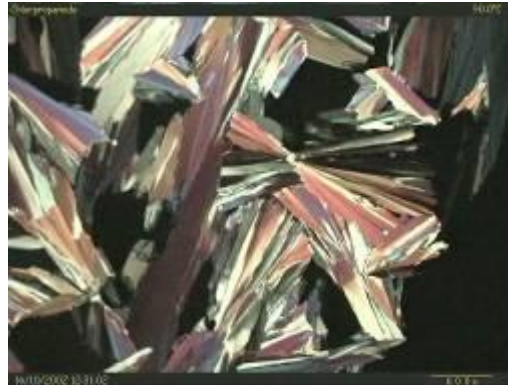
120 °C spherulites after cold crystallization at 100 °C

176 °C rhomboid after melting and recrystallization

Crystallization of chlorpropamide



Cooled from 135 °C at 10 K/min and held isothermally at **80 °C**.



Cooled from 135 °C at 10 K/min and held isothermally at **90 °C**.

Crystallization temperature ↑

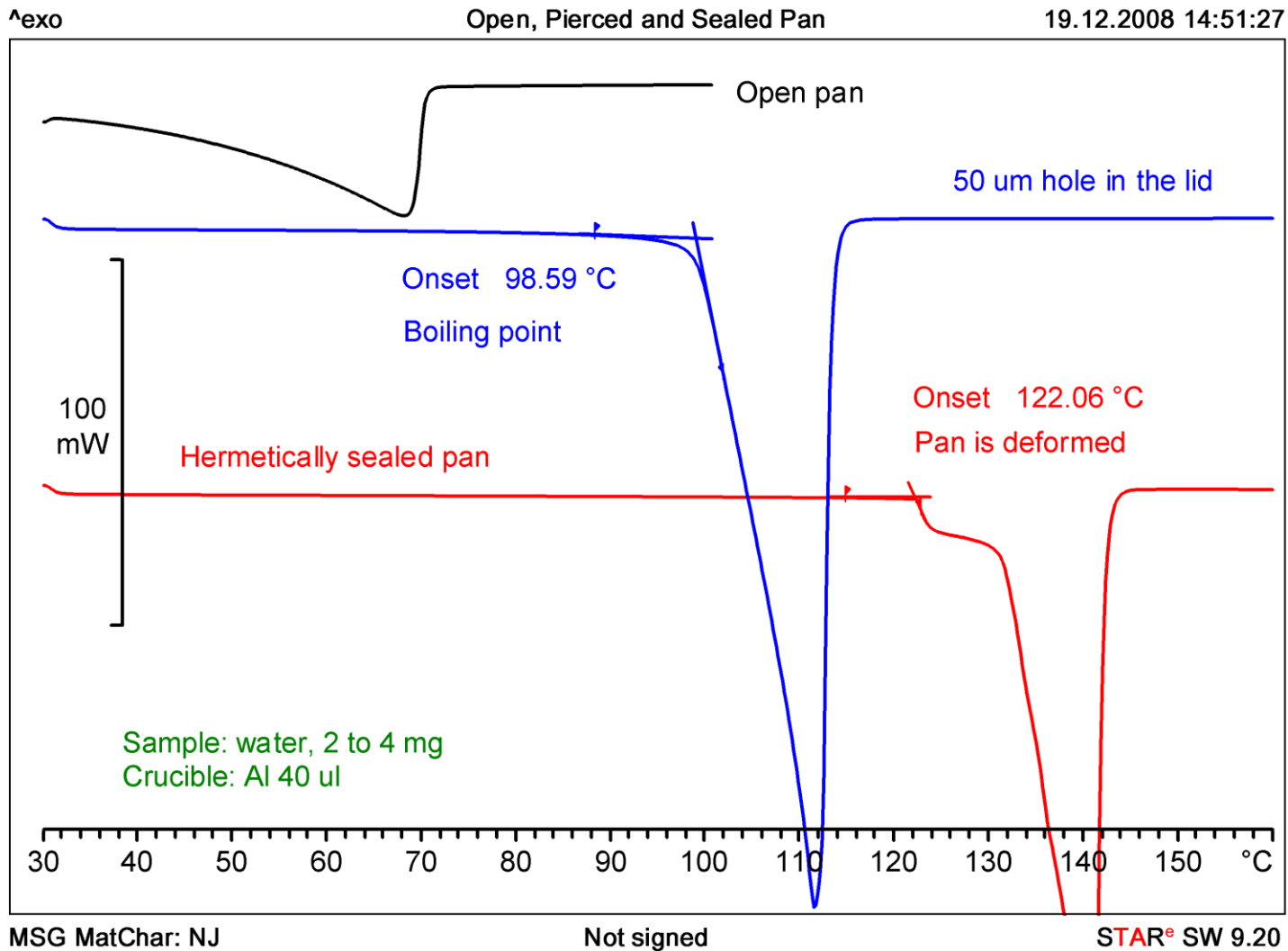
- lower nucleation rate, less crystals
- higher crystal growth rate, bigger crystals

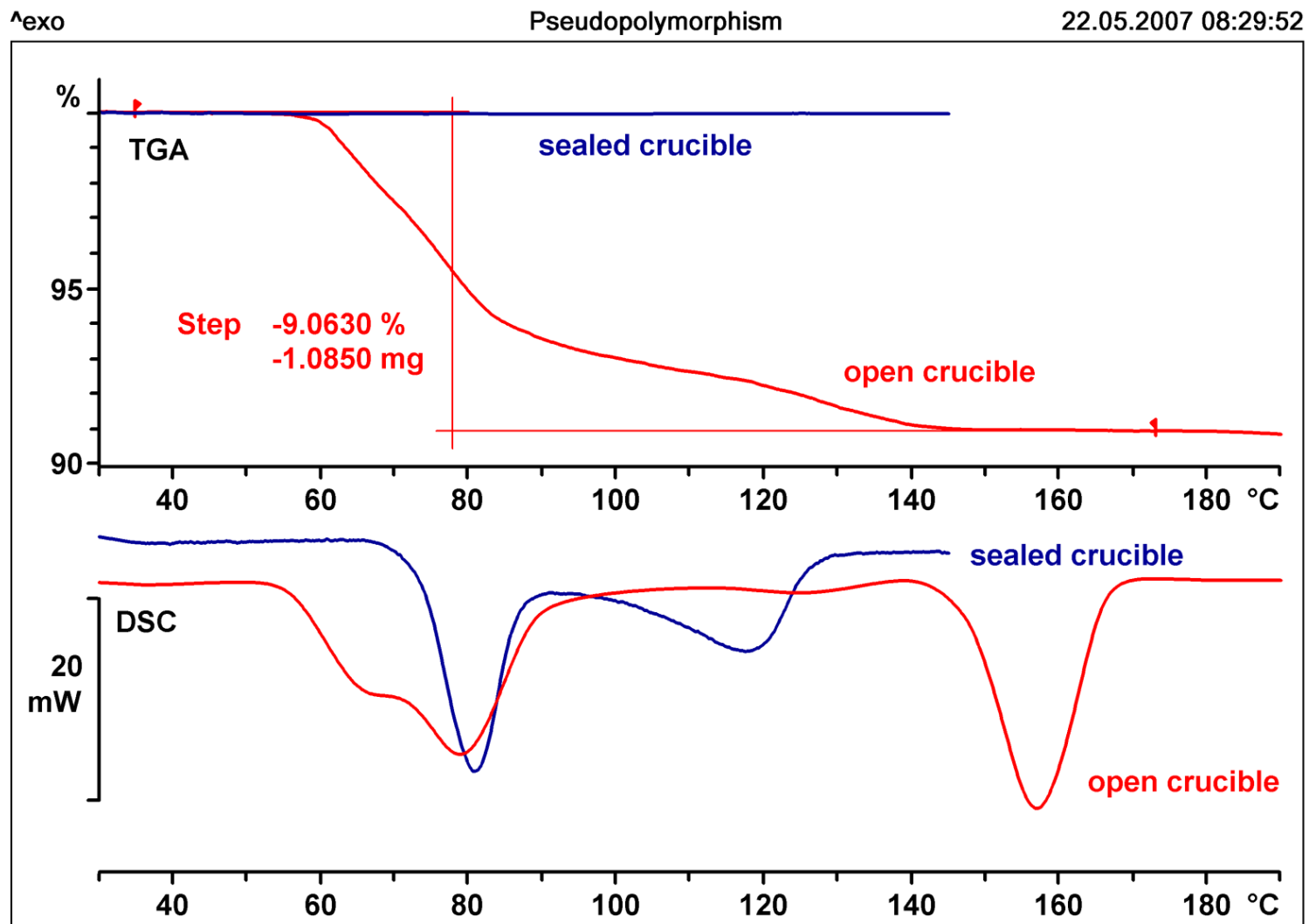


Cooled from 135 °C at 10 K/min and held isothermally at **100 °C**.

Hot-stage microscope enables visual observation of polymorphic transitions.

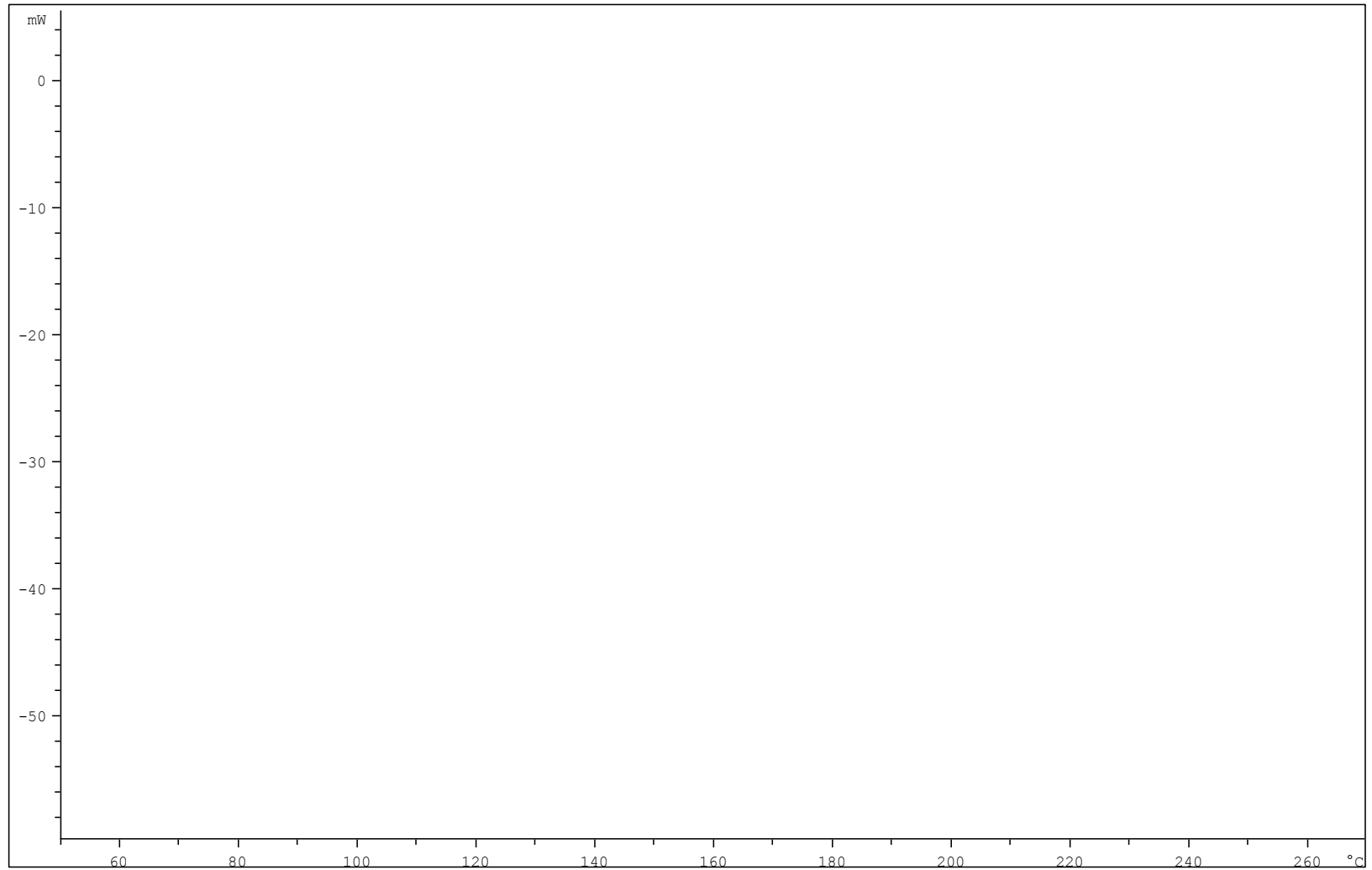
Influence of gas atmosphere on water vaporization





¿Fusión o transición?

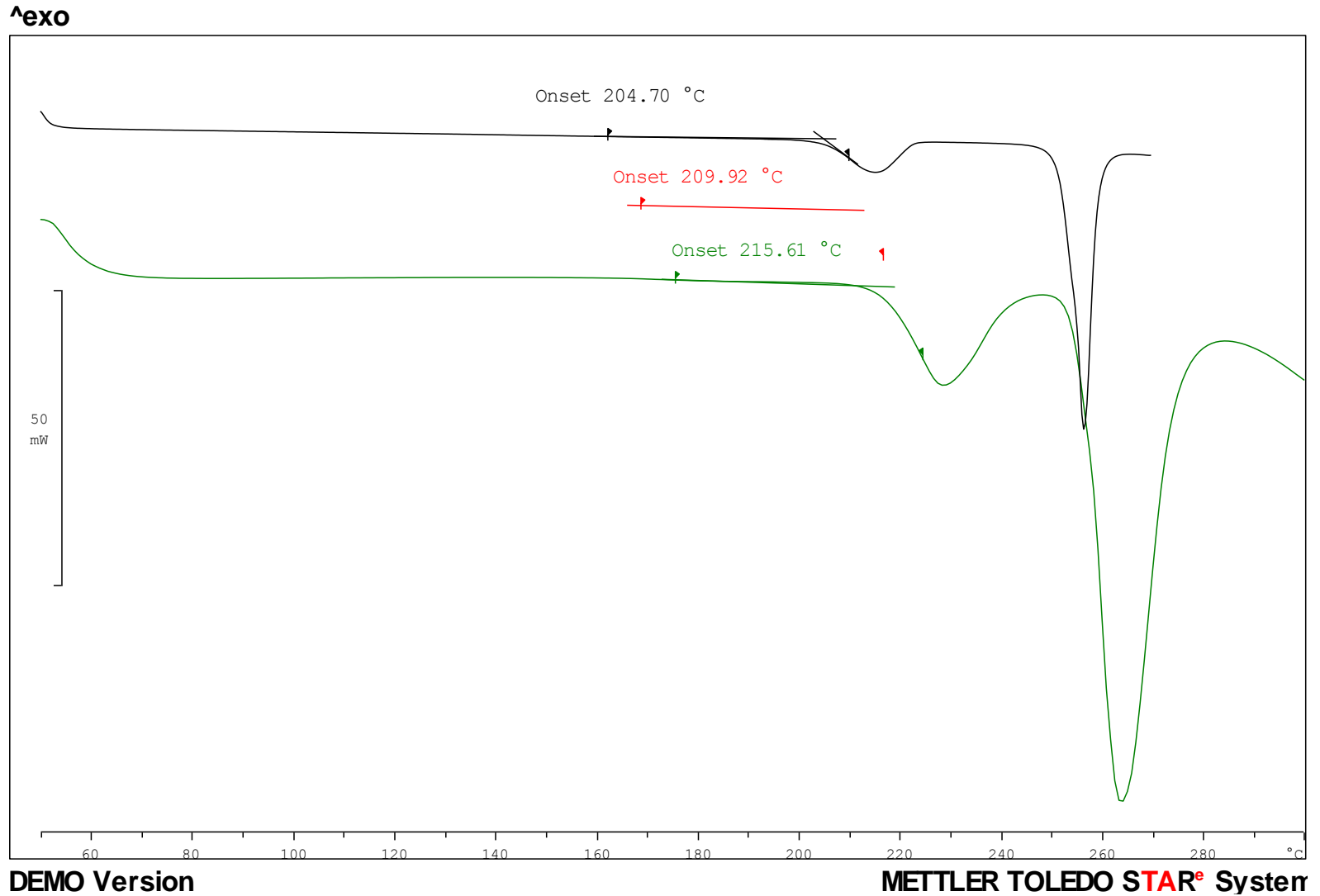
^exo

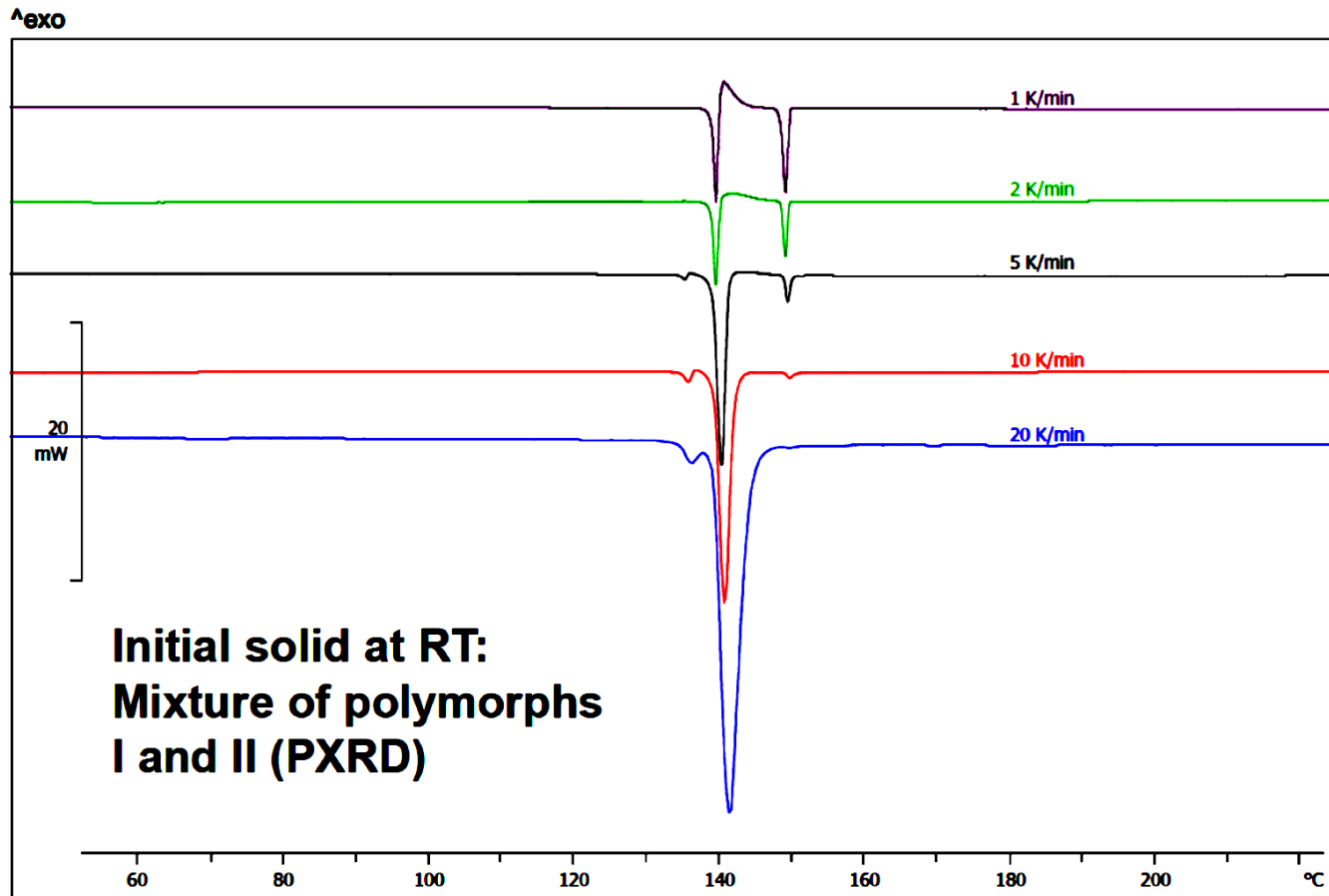


DEMO Version

METTLER TOLEDO STAR[®] System

¿Fusión o transición?





Melting temperatures:

- Polymorph I: 135°C
- Polymorph II: 139°C
- Polymorph III: 149°C

Processes observed:

- Melting of I
- Melting of II
- Recrystallization of III
- Melting of III

- Gráfica cedida por CRISFORMA (ICIQ) -

Basic equations

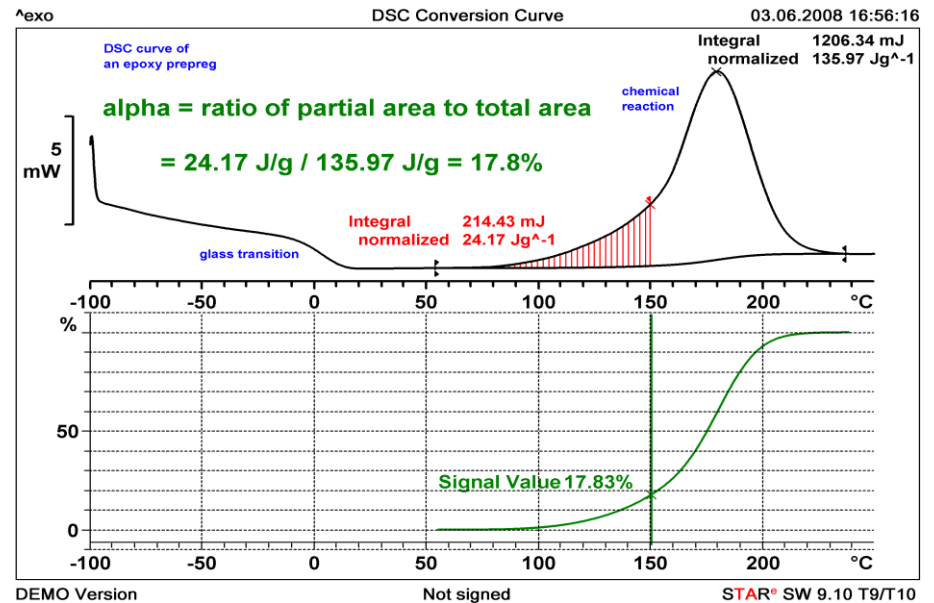
$$\frac{d\alpha}{dt} = k(T) f(\alpha)$$

$$\frac{d\alpha}{dt} = \frac{dH/dt}{\Delta H_{tot}}$$

$$\alpha = \frac{\Delta H}{\Delta H_{tot}}$$

$$k(T) = k_0 e^{-E_a/RT}$$

$$f(\alpha) = (1 - \alpha)^n$$

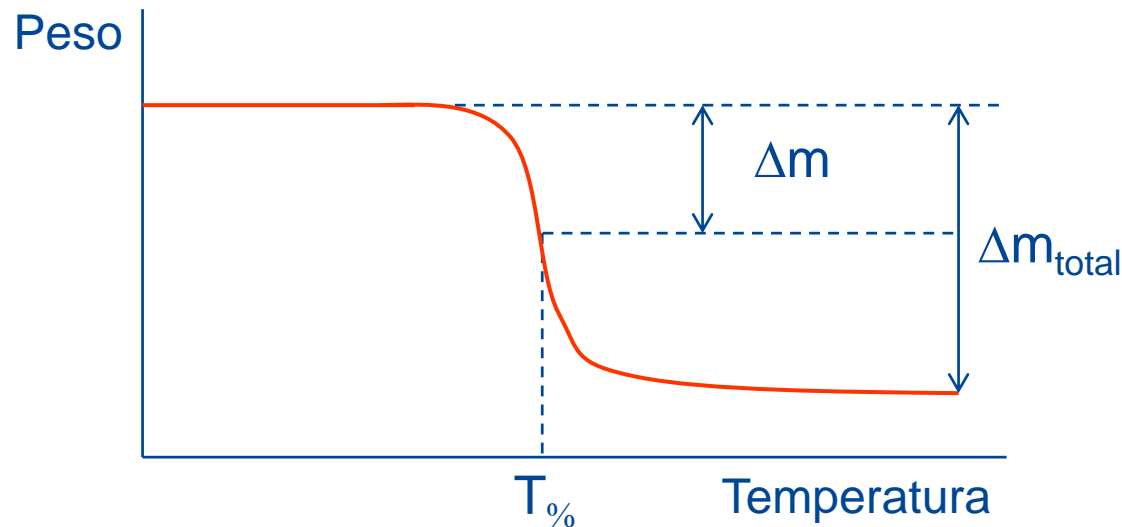


Grado de avance = $d\alpha/dt = f(\text{concentración, temperatura})$

- conversión = Salto normalizado
- grado de avance = DTG normalizado

$$\alpha = \frac{\Delta m}{\Delta m_{\text{tot}}}$$

$$\frac{d\alpha}{dt} = \frac{dm/dt}{\Delta m_{\text{tot}}}$$



La velocidad de reacción aumenta con la temperatura

Arrhenius (1889):
$$k(T) = k_0 e^{-E_a / RT}$$

k : rate constant

k_0 : pre-exponential factor (rate constant at infinite temperature)

E_a : activation energy (“temperature coefficient” of rate constant, typically 50 to 200 kJ/mol)

R : gas constant (8.314 J/mol·K)

Modelo de orden n

A simple model is nth order kinetics (only for elementary reactions):

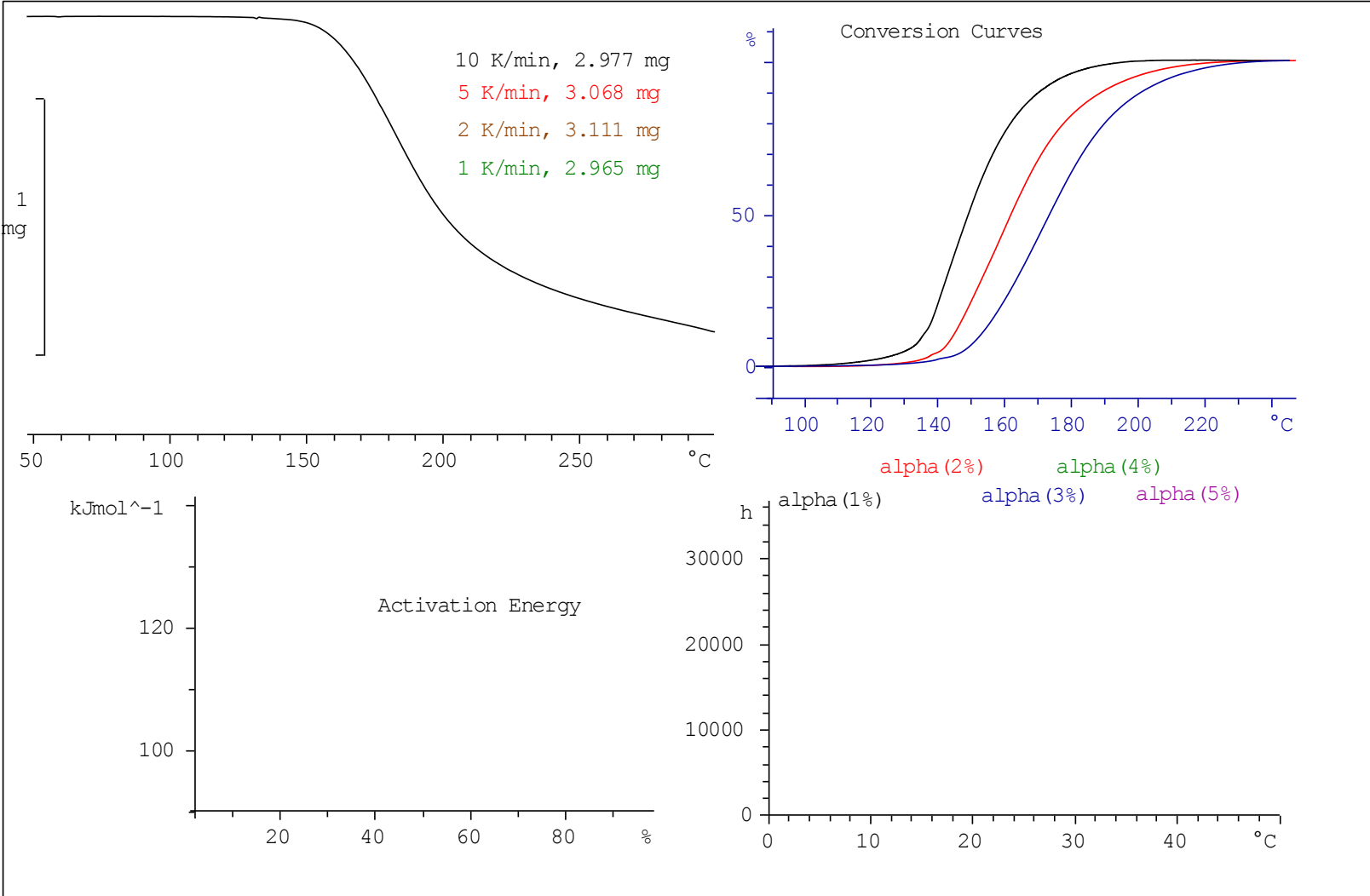
$$f(\alpha) = (1 - \alpha)^n \quad \text{Wilhelmy (1850)}$$

Options:

- 1 dynamic measurement
 - ASTM E698 Kinetics (> 2 dynamic measurements)
 - ASTM E1641 Kinetics (> 3 dynamic measurements)
 - Isothermal nth order kinetics (1 or more isothermal measurements)
-
- ▶ Calculation of kinetic parameters k_0 , E_a and n
(For a single isothermal measurement, k and n are calculated)

Pharma - Kinetics, Acetylsalicylic Acid

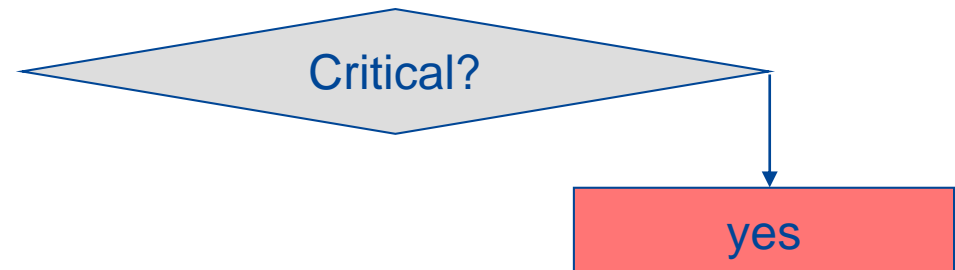
30.01.2001 14:12:24



When is a reaction dangerous?

- + Heat of the reaction is big and exothermic ⇐ **DSC**
 - + Reaction rate is fast (auto-acceleration)
 - + Gaseous products are released
 - + Apparatus is not pressure resistant ⇐ **TGA**

 - + Secondary events affect the surroundings (e. g. burning, melting)
- = High risk of damage**



Fast screening

Screening

DSC: heat production, enthalpy change, rate of reaction

→ provides c_p , ΔH_r , ΔT_{adiab} , maximum power output, temperature range

→ **HPDSC** provides $T_{\text{decomp}} = f(\text{pressure})$:

TGA: weight, mass change, rate of change

→ provides amount of gas, T_{decomp} .

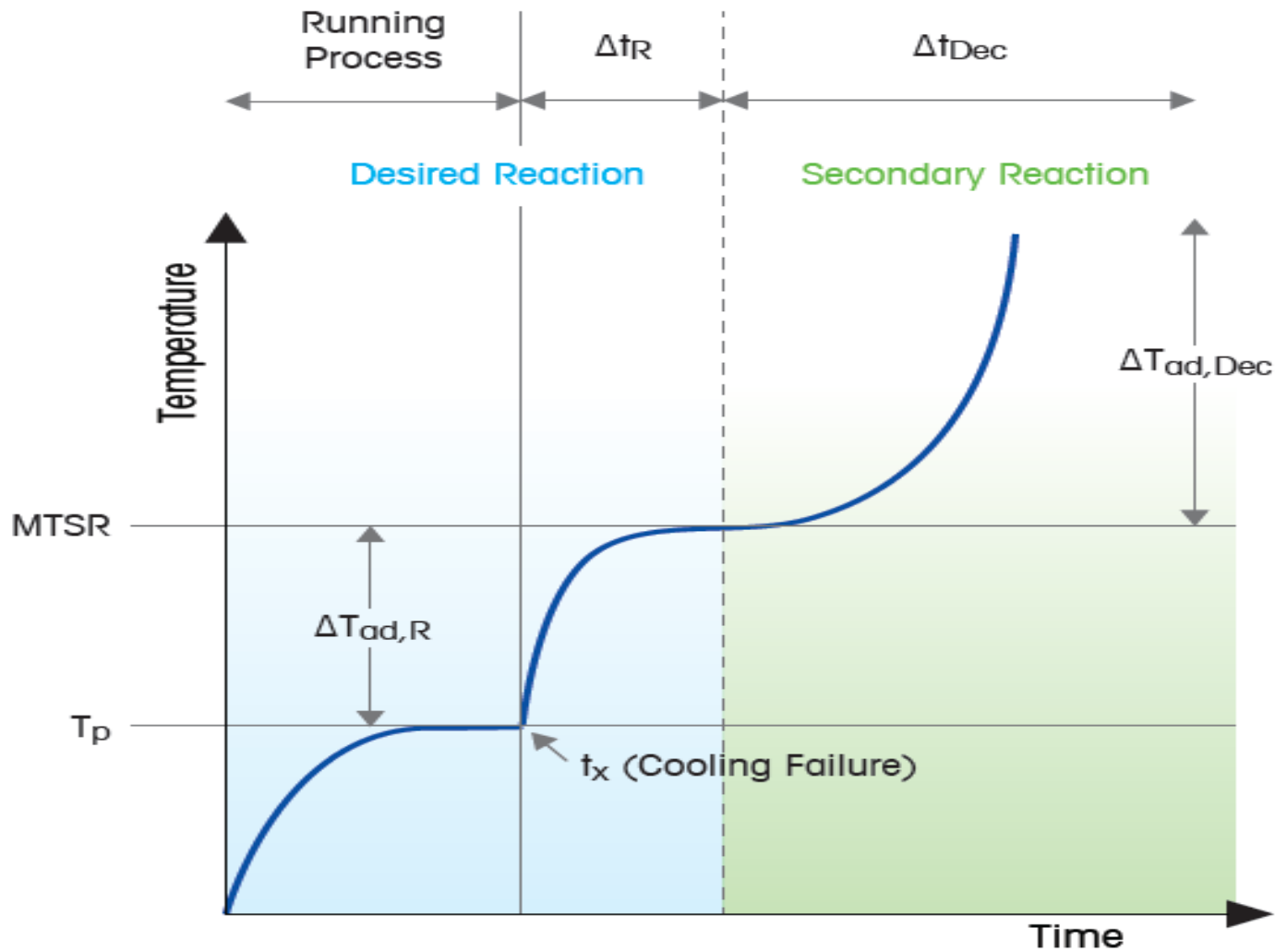
EGA: measurement of nature and concentrations of chemical species
(TGA-MS, TGA-FTIR, TGA-GCMS, ...)

Risk evaluation of a chemical reaction, decomposition or other transition:

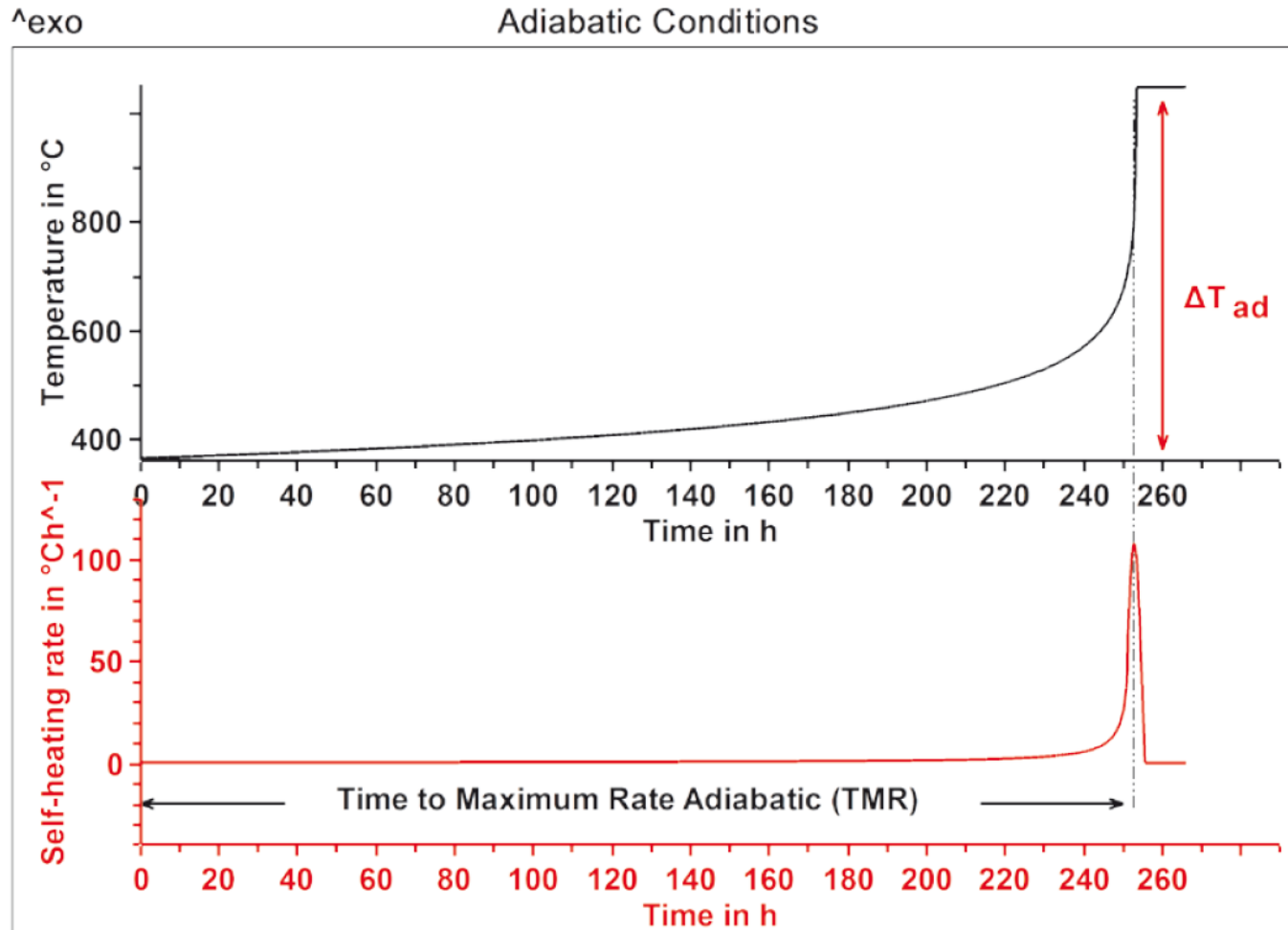
$$\Delta T_{\text{adiabat}} = \frac{\Delta h}{c_p}$$

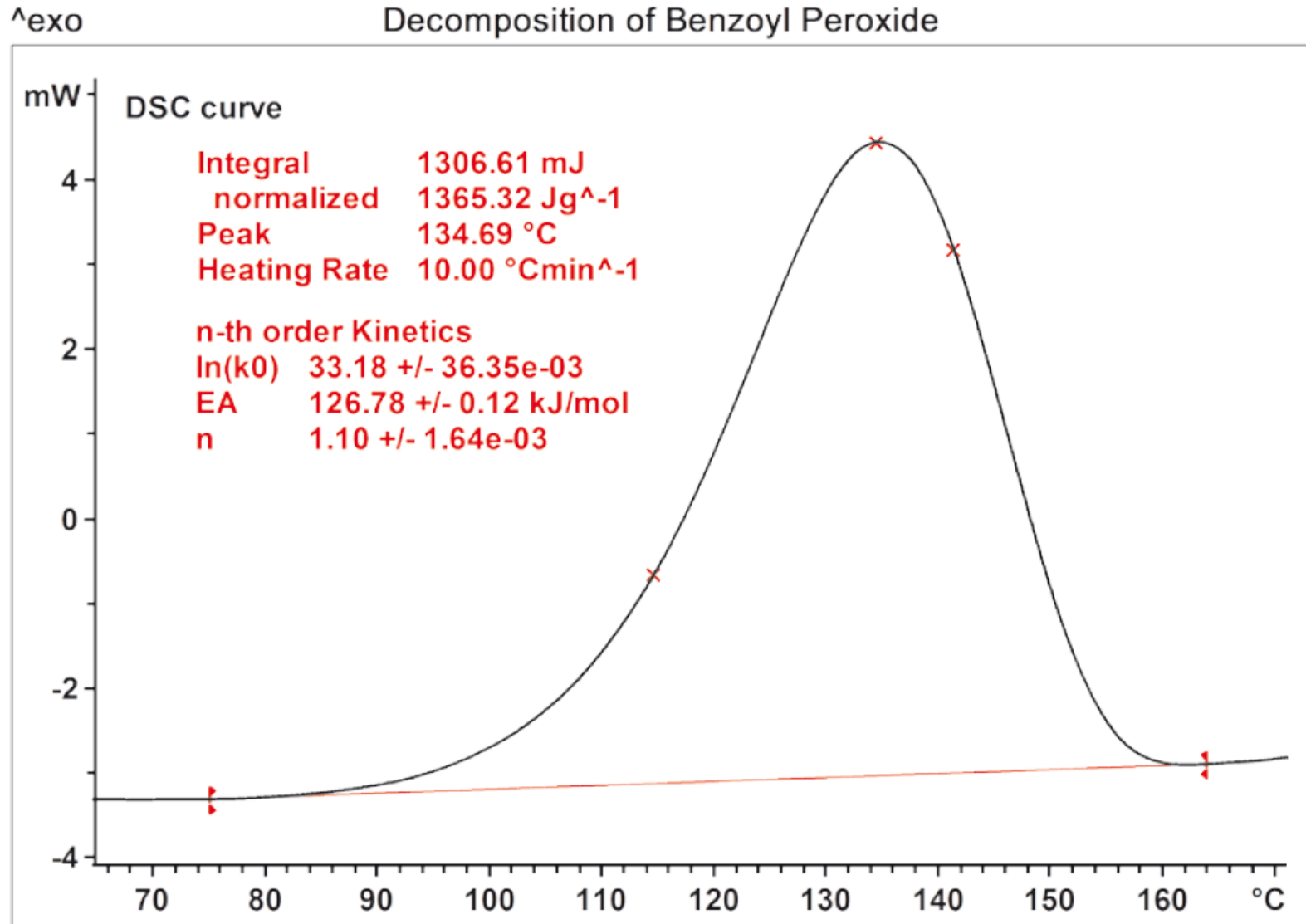
| Exothermic reaction enthalpy Δh in J/g | Adiabatic temperature increase $\Delta T_{\text{adiabat}}$ in K | Hazard potential |
|------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------|
| 0 ... 50 | less than 50 | low |
| 50 ... 500 | less than 200 | high |
| 500 ... ca. 25 000 | greater than 200 | very high |

Runaway Scenarios



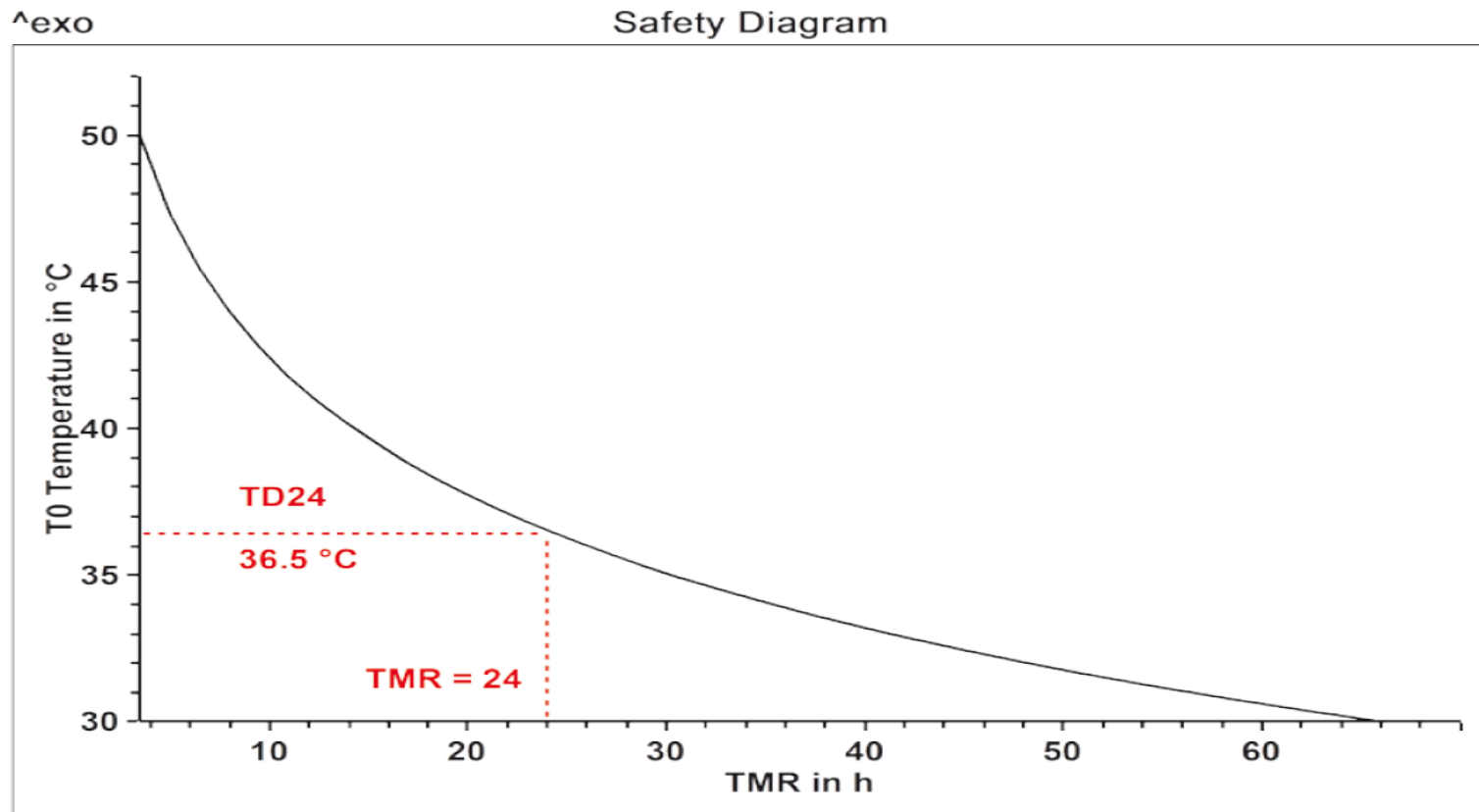
TMR: Time to Maximum Rate





TMR: Time to Maximum Rate

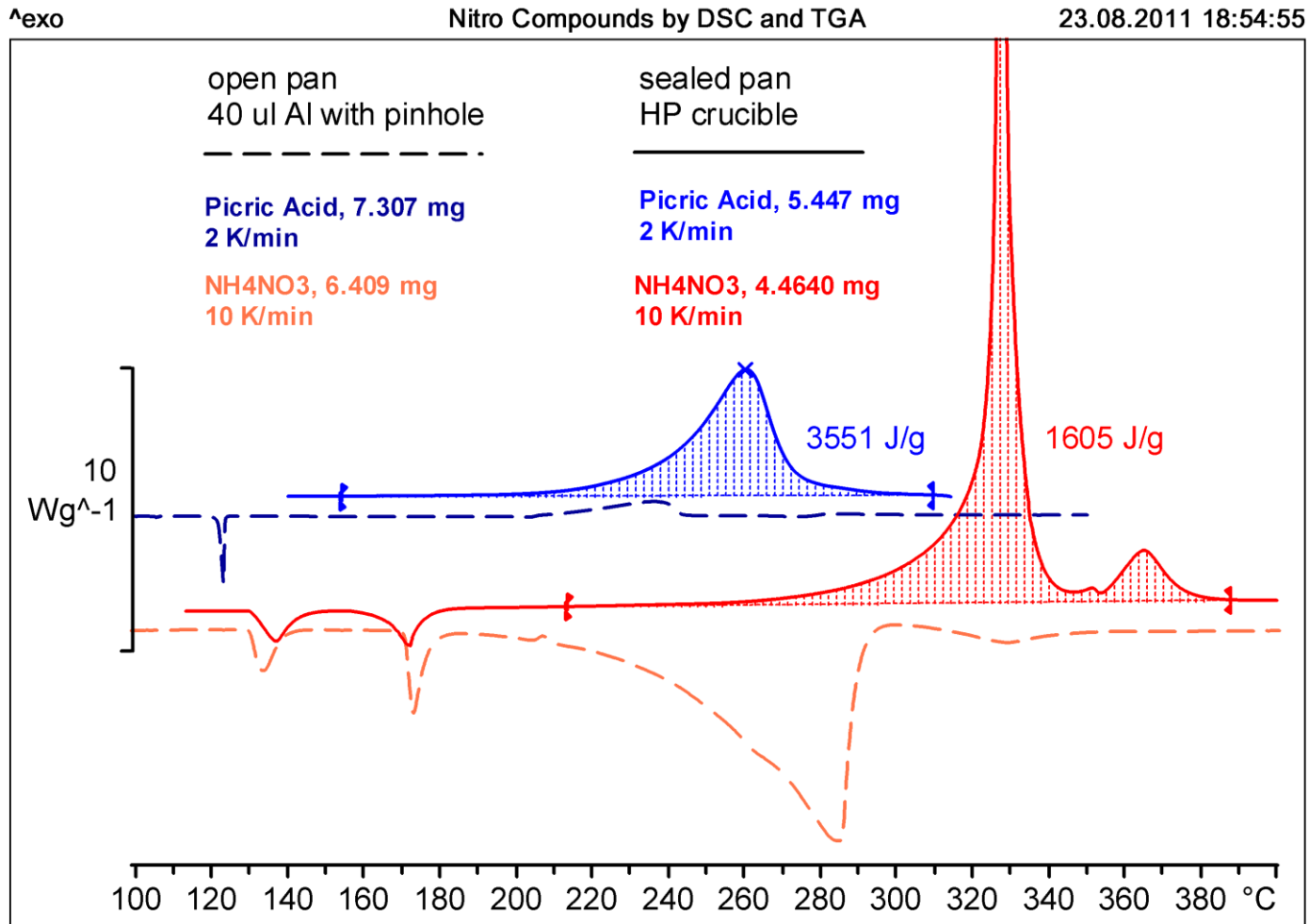
TD24: Temperatura a la cual el TMR es de 24 horas

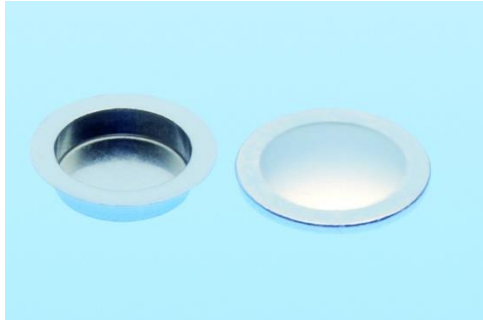


Severidad y Probabilidad

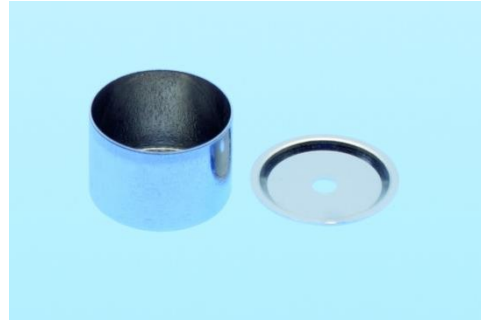
| Risk/Factor | ΔT_{ad} in K | TMR in hours |
|--------------------|----------------------------------------|-----------------------|
| High | >200 | <8 |
| Medium | $50 < \Delta T_{ad} < 200$ | $8 < \text{TMR} < 24$ |
| Low | <50 | >24 |

DSC open / closed system (pan)





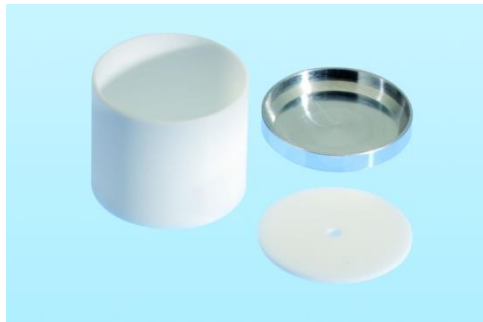
Standard crucible
aluminum, 40 μL



Platinum crucible 30,
70 and 150 μL



Medium pressure crucible,
steel 120 μL 2 MPa



Alumina, 70 μL



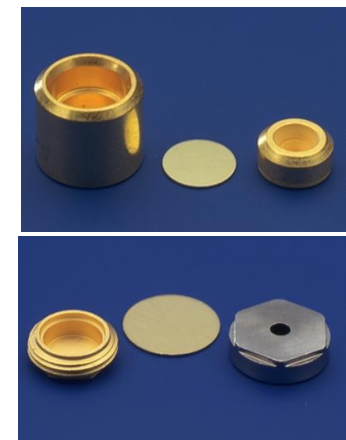
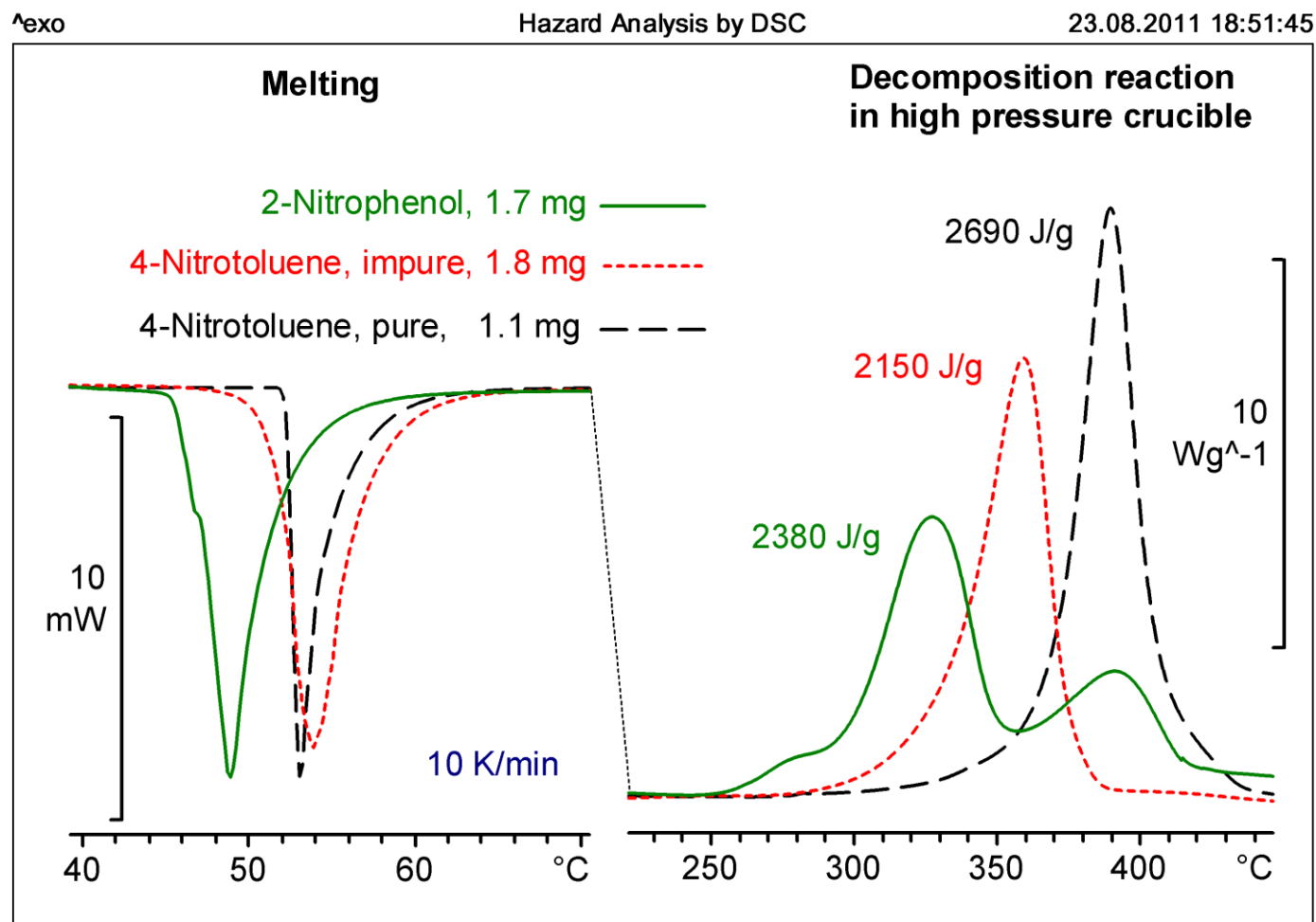
Gold, 40 μL



High pressure crucible
150 MPa, steel/gold

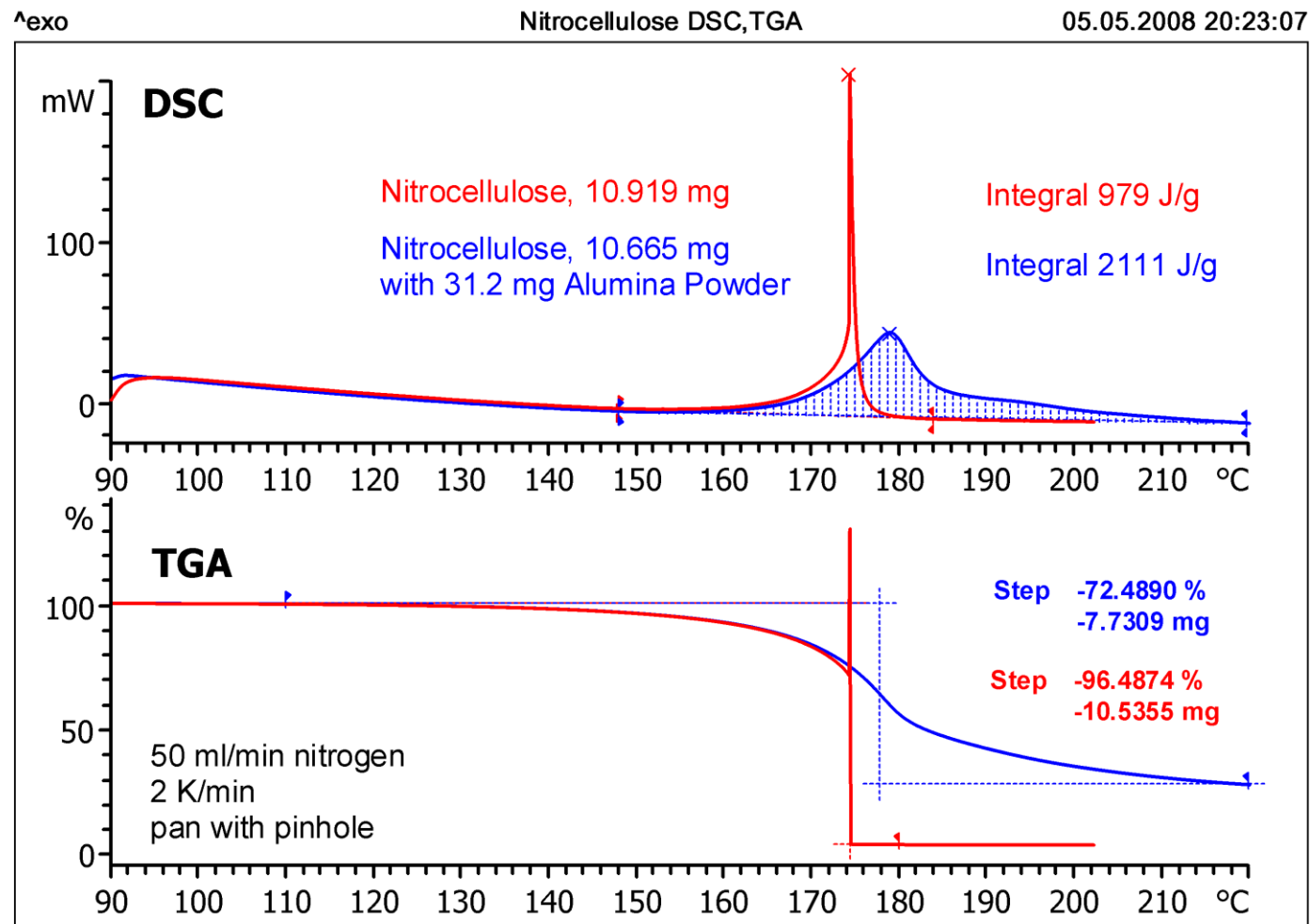
DSC Safety investigations: mostly high pressure pans are used

Influencia de la pureza en la temperatura de descomposición

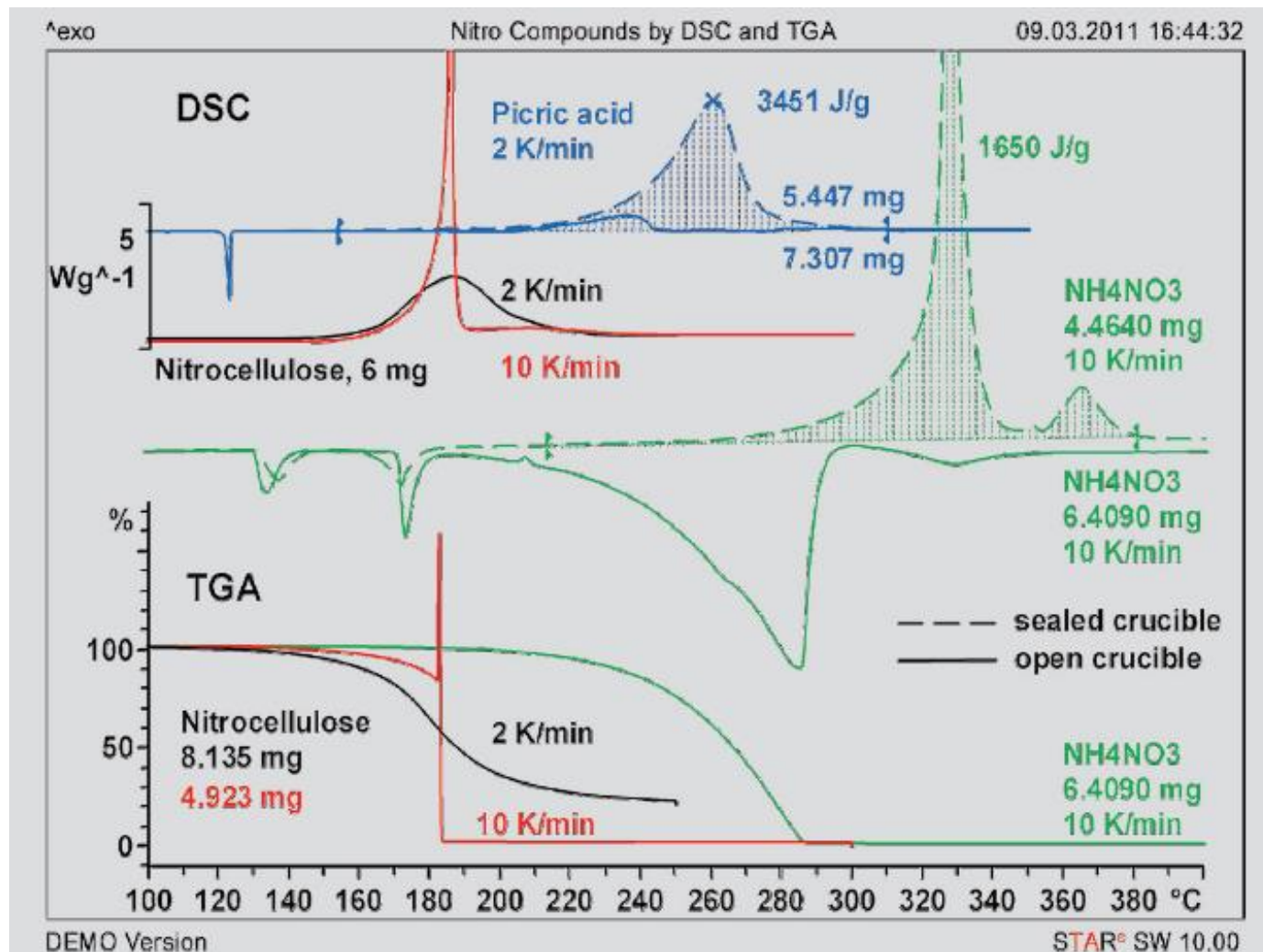


Efecto de añadir un polvo inerte en la muestra

open system:



Efecto de la velocidad de calentamiento (Nitrocelulosa)



open system:



| | DSC | TGA | TOA |
|------------------------------|-----|-----|-----|
| Melting point, melting range | ● | ● | ○ |
| Heat of fusion | ● | | |
| Polymorphism | ● | | ○ |
| Glass transition | ● | | |
| Thermal stability | | ● | ○ |
| Kinetics of decomposition | ○ | ● | |
| Purity, phase diagram | ● | | ○ |
| Evaporation, desorption | ○ | ● | |
| Compositional analysis | ○ | ○ | |
| Pseudo polymorphism | ○ | ○ | ○ |
| Interactions, compatibility | ○ | | |